

07-23-1999



To the Honorable Commissioner of Patents and Trademark

101099720

or copy thereof.

1. Name of conveying party(ies)

MRD
7-7-99

2. Name and address of receiving party(ies)

Randy Clinton Giles

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Name: Lucent Technologies, Inc.

Internal Address: _____

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other: _____

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Execution Date: July 7, 1999 Additional name(s) & address(es) attached? ☐ Yes ☐ No

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/349,261

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John A. Caccuro

Internal Address: _____

Street Address: Ladwood Dr.

City: Holmdel State: NJ ZIP 07733

6. Total number of applications and patents involved :

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

07/20/1999 JARTIC 00000020 122325 09749261

9. Statement and signature

02 FC1504 40.00 DU I, the undersigned, declare under oath that the foregoing information is true and correct and any attached copy is a true copy of the original document.

JOHN A. CACCURO

Name of Person Signing

Signature

Date

7/7/99

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT AND AGREEMENT

For value received, we, Vladimir Anatolyevich Aksyuk of Piscataway, in the County of Middlesex, and State of New Jersey, David John Bishop of Summit, in the County of Union, and State of New Jersey, Randy Clinton Giles of Whippany, in the County of Morris, and State of New Jersey and Rene R. Ruel of Bridgewater, in the County of Somerset, and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **CHANNELIZED WAVELENGTH DIVISION MULTIPLEX EQUALIZER USING REFLECTIVE ATTENUATORS** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Vladimir Anatolyevich Aksyuk

Date:_____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19_____,
before me personally came Vladimir Anatolyevich Aksyuk, to me known to be the
individual described in and who executed the foregoing instrument, and
acknowledged execution of the same.

Notary Public

David John Bishop

Date:_____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19_____,
before me personally came David John Bishop, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.

Notary Public

Randy Clinton Giles
Randy Clinton Giles

Date: July 7/99

United States of America)

State of) ss.:

County of)

On this 7th day of July, 1999,
before me personally came Randy Clinton Giles, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.

John A. Accuro
Notary Public
John A. Accuro
An Attorney of the State
of New Jersey

Rene R. Ruel

Date: _____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19____, before me personally came Rene R. Ruel, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636