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U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Comm

1. Name of conveying party(ies):

A. Ian Alexander Macpherson  
B. Iain Frank Fraser  
C. Sharon Kathleen Wilson  
D. William Ewen Smith  
E. Peter Cyril White  
F. Calum Hugh Munro

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

5-17-99

☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other -Corrected Assignment to add three Assignors  
and one Assignee to a recording at Reel 9703, Frame 0486  
Execution Date: A. 3/26/99; B. 3/18/99;  
C. 4/16/99; D. 3/18/99; E. 4/6/99; F. 5/6/99

2. Name and address of receiving party(ies)

Name: Ciba Specialty Chemicals Corp.  
Internal Address: P.O. Box 2005  
Street Address: 540 White Plains Road  
City: Tarrytown State: NY ZIP: 10591

and

Name: University of Strathclyde  
Internal Address:  
Street Address: 16 Richmond Street  
City: Glasgow State: Scotland, UK ZIP: G1 1XQ

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s) 5,853,464

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JoAnn Villamizar  
Ciba Specialty Chemicals Corp.  
Internal Address: Patent Department  
P.O. Box 2005  
Street Address: 540 White Plains Road  
City: Tarrytown State: NY ZIP: 10591

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account  
and any other additional fees required.

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David R. Crichton  
Signature

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To the Honorable Commissioner

Check original documents or copy thereof

## 1. Name of conveying party(ies):

**Ian Alexander Macpherson; Iain Frank Fraser;  
Sharon Kathleen Wilson**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other The first name of the second inventor was misspelled on the first page of the Notice of Recordation of Assignment document.

## 2. Name and address of receiving party(ies)

Name: **Ciba Specialty Chemicals Corp.**Internal Address: **P.O. Box 2005**Street Address: **540 White Plains Road**City: **Tarrytown** State: **NY** ZIP: **10591**Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s) **5,853,464**Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**  
**Ciba Specialty Chemicals Corp.**  
Internal Address: **Patent Department**  
**P.O. Box 2005**  
Street Address: **540 White Plains Road**  
City: **Tarrytown** State: **NY** ZIP: **10591**

6. Total number of applications and patents involved: **1**

## 7. Total fee (37 CFR 3.41)...\$

- ☐ Enclosed **40E**  
☒ Authorized to be charged to deposit account and any other additional fees required.

## 8. Deposit account number:

**03-1935**

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Kevin T. Mansfield**Name of Person Signing  
Reg. No. 32,509

Signature

Date

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ASSIGNMENT

I / We **Ian Alexander Macpherson**  
**Iain Frank Fraser**  
**Sharon Kathleen Wilson**

**William Ewen Smith**  
**Peter Cyril White**  
**Calum Hugh Munro**

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**Pigment Compositions**

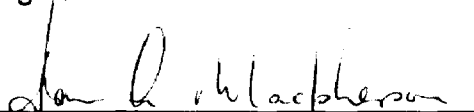
invented by me/us and described in the application for United States Letters Patent therefor,

Serial No. **08/854,009** filed **05/08/97**

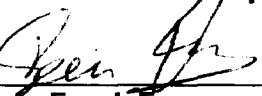
and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the **Ciba Specialty Chemicals Holding Inc.**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Specialty Chemicals Holding Inc.**

Signed on

A.   
**Ian Alexander Macpherson**

A. 24/1/98  
 (day/month/year)

B.   
**Iain Frank Fraser**

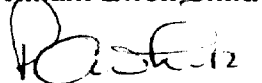
B. 24/12/97  
 (day/month/year)

C.   
**Sharon Kathleen Wilson**


C. 24/12/97  
 (day/month/year)

D.   
**William Ewen Smith**

D. 3/2/98  
 (day/month/year)

E.   
**Peter Cyril White**

E. 3/2/98  
 (day/month/year)

F.   
**Calum Hugh Munro**

F. 21/2/98  
 (day/month/year)