

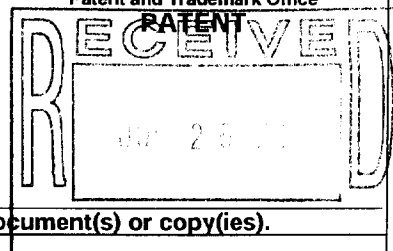
07-28-1999

U.S. Department of Commerce  
Patent and Trademark Office



101101752

RECORDATION FORM COVER SHEET  
PATENTS ONLY



MRO  
7-26-99

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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Resubmission (Non-Recordation)  
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Correction of PTO Error  
Reel #  Frame #

Corrective Document  
Reel #  Frame #

Conveyance Type

Assignment  Security Agreement

License  Change of Name

Merger  Other

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Mail documents to be recorded with required cover sheet(s) information to: **RPMS 101/101 CON/101 CON(2)**  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231  
PATENT

REEL: 010113 FRAME: 0746

**Correspondent Name and Address**

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Name

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**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**

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**Patent Application Number(s)**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved. #

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:  
Deposit Account

Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

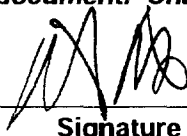
Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Robert A. Hodges



7/22/99

Name of Person Signing  
Reg. No. 41,074

Signature

Date

**THIS AGREEMENT** is made the 24<sup>th</sup> day of June 1998 between

- (1) RPMS Technology Limited of Commonwealth Building, Du Cane Road, London W12 0NN ("RPMSt")

and

- (2) Imperial College Innovations Limited whose registered office is at Sherfield Building, London SW7 2AZ ("IC Innovations")

**WHEREAS**

- A. RPMSt owns the Patent Rights and the Know-How as hereinafter defined.
- B. RPMSt and IC Innovations are both wholly-owned subsidiaries of Imperial College of Science, Technology and Medicine ("the College") and are both concerned with the transfer of technology from the College to commerce and industry.
- C. The College wishes the technology transfer activities of RPMSt and IC Innovations to be amalgamated within IC Innovations and to this end RPMSt intends to assign the Patent Rights and the Know-How to IC Innovations and IC Innovations agrees to take assignment of same.

**IT IS HEREBY AGREED** as follows:

1. In this Agreement the following terms shall have the following meanings :

"Know-How" shall mean the know-how listed in Appendix 2 to the Microscience Licence.

"Microscience Licence" shall mean the licence agreement dated 20 May 1997 between RPMSt and Microscience Limited.

"Patent Rights" shall mean International PCT filing nos PCT/GB95/02875 (based on GB priority filings 9424921.6, 9501881.8 and 9509239.1) and US national filing no 08/637,759, and any continuation applications, divisional applications or continuation-in-part applications relating thereto and any patents or patent applications claiming priority from such patent applications anywhere in the

world and any extensions of the exclusivity granted in connection with such patents, including but not limited to extensions granted under the US Drug Price Competition and Patent Term Restoration Act 1984 and the EC Supplementary Protection Certificate Regulation (Council Regulation (EEC) No 1768/92) and any legislation amending, replacing or implementing the foregoing and includes the patent applications listed in the attached Schedule.

2. In consideration of the sum of £1 (one pound) the receipt of which is hereby acknowledged RPMSt assigns and confirms absolutely to IC Innovations its entire right, title and interest in and to the Patent Rights and the Know-How.
3. IC Innovations accepts such assignment.
4. RPMSt warrants and guarantees that it has full right and title to the Patent Rights and the Know-How and has not agreed to assign its right and title therein to any other person or entity other than IC Innovations or encumbered the Patent Rights or the Know-How other than as provided for in the Microscience Licence and in the Existing Licence Agreements as such term is defined in the Microscience Licence.
5. The transaction hereby effected does not form part of a larger transaction or of a series of transactions in respect of which the amount or value or the aggregate amount or value exceeds £60,000 (sixty thousand pounds).
6. This Agreement, its construction and performance shall be governed by the laws of England and subject to the jurisdiction of the English courts.

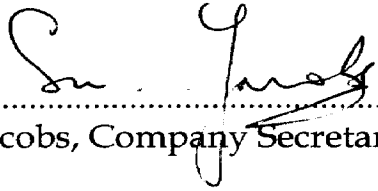
**SIGNED FOR AND ON BEHALF OF**

**RPMS Technology Limited**

.....  
Dr Jonathan Gee, Chief Executive

(Signature)

in the presence of:- *Freddie Honnell*



.....  
Susan Jacobs, Company Secretary

(Signature)

in the presence of:-



**SCHEDULE**

<b>Territory</b>	<b>Patent Application No</b>
Australia	41219/96
Canada	2206515
China	95197575.7
Czech Republic	PV 1755-97
European Patent Office	95939362.0
EPO divisional	98201907.7
Finland	972424
Hungary	P9701765
Japan	8-517428
Korea	97-703866
Norway	972468
New Zealand	296581
Russian Federation	97111781
Singapore	9703322-9
United States	08/637,759) 08/871,355)

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