

09-27-1999

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

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To the Honorable Commissioner of Patents and Trademarks

attached original documents or copy thereof.

1. Name of conveying party(ies):

Tobin J. Marks and Hongsang Ahn

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: August 27, 1999

2. Name and Address of receiving party(ies)

Name: Northwestern University

Internal Address: _____

Street Address: 1301 Maple AvenueCity: Evanston State: Illinois ZIP: 60201Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/364,216

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald T. Shakleton

Internal Address: _____

Street Address: 120 South Riverside Plaza22nd FloorCity: Chicago State: Illinois ZIP: 30303-3913

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) 9

- ☐ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald T. Shakleton

Name of Person Signing

Signature

September 27, 1999

Date

Total number of pages including cover sheet, attachments, and document:

1

Mail documents to be recorded with required cover sheet information
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231



SECTOR/4

D

Date: September 3, 1999

File No: 76566

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Tobin J. Marks and Hongsang Ahn

Serial No.: 09/364,216

Filed: July 29, 1999

For: SUPPORTED ORGANOMETALLIC CATALYSTS
FOR HYDROGENATION AND OLEFIN
POLYMERIZATION

) I hereby certify that this correspondence is
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) Gerald T. Shekleton
) Gerald T. Shekleton Reg. No. 27,466 Date

COMPLETION OF FILING REQUIREMENTS

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Assistant Commissioner for Patents
Washington, D.C. 20231

09/08/1999 REMITTANCE 00000052 09364216

04 FC:581

40.00 UP

Sir:

Transmitted herewith in response to the Notice to File Missing Parts of
Application -- Filing Date Granted dated August 17, 1999, are:

- (X) Declaration or Oath for this application.
- (X) Filing fee for this application.
- (X) Assignment(s) of the invention to Northwestern University.
- (X) Verified Statement Claiming Small Entity Status--Nonprofit Organization.
- () Petition for Extension of Time.
- () Other: _____.
- (X) A Check in the amount of \$563.00 to cover the filing fee, surcharge and assignment recordal fee.
- () Charge \$_____ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza, 22nd Floor
Chicago, Illinois 60606-3913
Telephone: (312) 655-1500

WELSH & KATZ, LTD.

By Gerald T. Shekleton
Gerald T. Shekleton
Registration No. 27,466

ASSIGNMENT

WHEREAS:

Tobin J. Marks of 2300 Central Park Ave., Evanston, Illinois 60201 and Hongsang Ahn of 1002 Greenleaf, Wilmette, Illinois 60091 (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled:

**SUPPORTED ORGANOMETALLIC CATALYSTS FOR
HYDROGENATION AND OLEFIN POLYMERIZATION**

for which application for Letters Patent of the United States has been executed on even date herewith, and

WHEREAS:


Northwestern University of 1301 Maple Avenue, Evanston, Illinois 60201 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

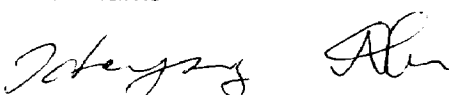
Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof and in and to all Letters Patent and all Convention and Treaty rights of all kinds, in all countries throughout the world for said invention for the full term or terms for which the same may be granted.

ASSIGNORS covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said rights, said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 21th day of August, 1999.



Tobin J. Marks



Hongsang Ahn