(Rev. 6:0)       Patent and Trademark         OMB No. 0651:0011 (kap. 494)       1011 (05033)         To the Honorable Commissioner of Patents and Trademarks: Please record the attached deglaal decuments arcopy theme         1. Name of conveying partylies):       Assignment internal Address of receiving partylies)         Hans Peter ISENRING       Assignment internal Address:         Stephan TRAH       Merger         Bettina DRECHSEL-WEISS       Internal Address:         Additional name(s) of conveying partylies) attached?       Yes         Assignment internal Address:       Merger         Other       City: New York         Street Address:       608 5th Avenue         Other       City: New York         Application number(s) or patent number(s):       B. Patent No.(s)         If this document is being filed together with a new application, the execution date of the application is:       Patent Application No.(s)         08/935.208       Additional numbers atached?       Yes       No         5. Name and address of party to whom correspondence concerning document should be mailed:       6. Total number of applications and patents involved:         Name:       Michael W. Glym       Enclosed       Internal Address:       40         Internal Address:       State:       NJ 2/P: 07901-1027       100 Cocerning document.			
OMB No. 0651-0011 (exp. 434)       Image: International internatinternation internatinteric international international internatin		07-29-1999	
To the Honorable Commissioner of Patents and Trademarks: Prease record the attached deginal documents ere copy there         1. Name of conveying party(ies):         Hans Peter ISENRING         Stephan TRAH         Bettina DRECHSEL-WEISS         Additional name(s) of conveying party(ies) attached?         Yes         Security Agreement         Other         Execution Date:         4. Application number(s) or patent number(s):         If this document is being filed together with a new application, the execution date of the application is:         A. Patent Application No. (s)         08/935.208         Additional numbers attached?         Yes       No         Street Address:       564 Morris Avenue         Internal Address:       564 Morris Avenue         Patent and Trademark Dept,       1. Total fee (37 CFR 3.41)         Internal Address:       564 Morris Avenue         Berposit account number:       1.9-0134 (in the name of Novartis Corporation)         (Internal and signature.       0.90111027	<b>`</b>		
Hans Peter ISENRING       1 - 23 - 49         Stephan TRAH       Internal Address:         Bettina DRECHSEL-WEISS       Internal Address:         Additional name(s) of conveying party(ies) attached?       Yes INo         3. Nature of conveying party(ies) attached?       Yes INo         3. Nature of conveying party(ies) attached?       Yes INo         3. Nature of conveying party(ies) attached?       Yes INO         Steptint Internal Address:       608 5th Avenue         Other       City: New York       State: NY         ZIP: 100       Additional name(s) & address(es) attached?       Yes INO         4. Application number(s) or patent number(s):       If this document is being filed together with a new application, the execution date of the application is:       Internal Address(es) attached?       Yes INO         A. Patent Application No.(s)       B. Patent No.(s)       B. Patent No.(s)       08/935,208         Additional numbers attached?       Yee INO       No       Internal Address:       Involved:         Steet Address:       Sof4 Morris Avenue       Internal Address:       Statement and signature.       Internal Address:       Statement and signature.       Internal Address: Corporation       Internal Address: Corporation       Internal Address (or portis)       Internal Address (or portis)       Internal Address (or portis)       Internal Address (or po	To the Honorable Comm	101105033 hissioner of Patents and Trademark	ks: Please record the attached driginal documents or copy there
Stephan TRAH       II - 20 III       Internal Address:	1. Name of conveying part	y(ies):	2. Name and address of receiving party(ies)
Stephan TRAH       Internal Address:         Bettina DRECHSEL-WEISS       Internal Address:         Additional name(s) of conveying party(ies) attached?       Yes INo         3. Nature of conveyance:       Street Address:         Assignment       Merger         Security Agreement       Change of Name         Other       City:         Neutron Date:       Additional name(s) & address(es) attached?         Yes       Additional name(s) & address(es) attached?         Yes       Additional name(s) & address(es) attached?         Yes       No         A. Application number(s) or patent number(s):       B. Patent No.(s)         By/935,208       B. Patent No.(s)         Additional numbers attached?       Yes INO         Street Address:       Novartis Corporation         Patent and Trademark Dept.       F. Total number of applications and patents involved:         Riter Address:       State:       NJ ZIP: 07901-1027         Street Address:       S64 Morris Avenue       B. Deposit account number:         City:       Summt       State:       NJ ZIP: 07901-1027         Natuch duptcate coy of this page if paying by deposit account)       Cher additional rese required.         B. Deposit account number:       Tothe best of my knowledge and bellef, the foregoing info	Hans Peter ISENRING	G 0. 28 -99	Name: Novartis Finance Corporation
Bettina DRECHSEL-WEISS         Additional name(s) of conveying partylies) attached?       Yes         Assignment       Merger         Security Agreement       Change of Name         Other       City: New York         Street Address:       608 5th Avenue         Additional name(s) & address(es) attached?       Yes         Application number(s) or patent number(s):       If this document is being filed together with a new application, the execution date of the application is:         A. Patent Application No.(s)       B. Patent No.(s)         08/935,208       Additional numbers attached?         Attach duplication No.(s)       B. Patent No.(s)         08/935,208       Additional numbers attached?         Anne:       Michael W. Glynn         Internal Address:       Novartis Corporation         Patent and Trademark Dept.       Total fee (37 CFR 3.41)         Street Address:       564 Morris Avenue         B. Deposit account number:       19-0134 (in the name of Novartis Corporation)         (Attach duplicate copy of this page if paying by deposit account a other additional fees required.         Street Address:       564 Morris Avenue         B. Statement and signature.       Total the foregoing information is true and correct and any attached copy is a copy of the original document.         Witchael P. Morris	Stephan TRAH	11- 4- 11	
3. Nature of conveyance:	Bettina DRECHSEL-V	VEISS	
Assignment Merger   Security Agreement Change of Name   Other Change of Name   Other City: New York   Street Address: 608 5th Avenue   Additional name(s) & address(es) attached? Yes   4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:   A. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:   A. Application No.(s) B. Patent No.(s)   08/935.208 Additional numbers attached?   Yes No   5. Name and address of party to whom correspondence concerning document should be mailed:   Name: Michael W. Glynn   Internal Address: Novartis Corporation   Patent and Trademark Dept.   Street Address: 564 Morris Avenue   8. Deposit account number:   19-0134 (in the name of Novartis Corporation)   City: Statement and signature.   To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document.   Michael P. Morris Michael P. Morris   Name of Porson Signing Michael P. Morris   Name of Porson Signing Total number of mailing on reverse side   Yayy mitait 1 00000131 19014 Mitoas of mailing on reverse side		arty(ies) attached? ☐ Yes ⊠ No	
□ Other	-	Merger	Street Address: 608 5th Avenue
Execution Date:       Additional name(s) & address(es) attached?       Yes         4. Application number(s) or patent number(s):       If this document is being filed together with a new application, the execution date of the application is:	Security Agreement	Change of Name	
4. Application number(s) or patent number(s):         If this document is being filed together with a new application, the execution date of the application is:         A. Patent Application No.(s)       B. Patent No.(s)         08/935,208       B. Patent No.(s)         Additional numbers attached?       Yes         Yes       No         5. Name and address of party to whom correspondence concerning document should be mailed:       6. Total number of applications and patents involved:         Name:       Michael W. Glynn       7. Total fee (37 CFR 3.41)       40         Internal Address:       Novartis Corporation       □       Enclosed         Patent and Trademark Dept.       8. Deposit account number:       19-0134 (in the name of Novartis Corporation)         Kattach duplicate copy of this page if paying by deposit account)       DO NOT USE THIS SPACE       9. Statement and signature.         To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document.       Muchael P. Morris       July 26, 1999         Name of Person Signing Reg. No. 34,513       Mississe       Certificate of mailing on reverse side       July 26, 1999         Yayy minit       Court dates including cover sheet attachments, and document:       2	Other		City: <u>New York</u> State: <u>NY</u> ZIP: <u>100</u>
If this document is being filed together with a new application, the execution date of the application is:         A. Patent Application No.(s)       B. Patent No.(s)         08/935,208       Additional numbers attached?       Yes       No         5. Name and address of party to whom correspondence concerning document should be mailed:       6. Total number of applications and patents involved:         Name:       Michael W. Glynn       7. Total fee (37 CFR 3.41)       \$ 40         Internal Address:       Novartis Corporation       □       Enclosed	Execution Date:		Additional name(s) & address(es) attached? 🛛 Yes 🖾
Name:       Michael W. Glynn         Internal Address:       Novartis Corporation         Patent and Trademark Dept.       Enclosed         Street Address:       564 Morris Avenue         City:       State:         Numit       State:         Nume:       19-0134 (in the name of Novartis Corporation)         (Attach duplicate copy of this page if paying by deposit account)         DO NOT USE THIS SPACE         9.       Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document.         Michael P. Morris Reg. No. 34,513       Multicate of mailing on reverse side         Certificate of mailing on reverse side       Certificate of mailing on reverse side		arty to whom correspondence	
Patent and Trademark Dept.       Authorized to be charged to deposit account an other additional fees required.         Street Address:       564 Morris Avenue       8. Deposit account number:         City:       State:       NJ_ZIP:       07901-1027         ONOT USE THIS SPACE       9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document.       Michael P. Morris Name of Person Signing Reg. No. 34,513         Michael P. Morris       Michael P. Morris       July 26, 1999         Total number of pages including cover sheet, attachments, and document:       2	-		7. Total fee (37 CFR 3.41) \$
Street Address:       564 Morris Avenue         Street Address:       564 Morris Avenue         City:       State:         Nummit       State:         Nummit       State:         Nummit       State:         Nummit       State:         Nummit       State:         Numme of Person Signing         Reg. No. 34,513         Numme of Person Signing         Reg. No. 34,513         Numme of Person Signing         Total number of rages including cover sheet, attachments, and document:         2	Internal Address: Novartie	s Corporation	Enclosed
8. Deposit account number:         City:       State:       NJ_ZIP:       07901-1027       19-0134 (in the name of Novartis Corporation) (Attach duplicate copy of this page if paying by deposit account)         DO NOT USE THIS SPACE         9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document.         Michael P. Morris Name of Person Signing Reg. No. 34,513       Michael P. Morris Name of Person Signing Reg. No. 34,513         /1999 MTHAI1       0000131 190134       04935206         Total number of mages including cover sheet, attachments, and document:       2	Patent a	and Trademark Dept.	
(Attach duplicate copy of this page if paying by deposit account)         DO NOT USE THIS SPACE         9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document.         Michael P. Morris Name of Person Signing Reg. No. 34,513         Michael P. Morris Name of Person Signing Reg. No. 34,513         Total number of pages including cover sheet, attachments, and document:	Street Address: 564 Mo	rris Avenue	8. Deposit account number:
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document. <u>Michael P. Morris</u> Name of Person Signing Reg. No. 34,513 /1999 NTHAI1 00000131 190134 04935208 Total number of mages including cover sheet, attachments, and document: 2	City: Summit State	e: <u>NJ</u> ZIP: <u>07901-1027</u>	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a copy of the original document. <u>Michael P. Morris</u> Name of Person Signing Reg. No. 34,513  1999 NTHAI1 00000131 190134 06935208  Total number of mages including cover sheet, attachments, and document: 2		DO NOT U	
Total number of rages including cover sheet, attachments, and document: 2	To the best of my knowl copy of the original docu <u>Michael P. Morris</u> Name of Person Signing Reg. No. 34,513	edge and belief, the foregoing i iment	<u>Signature</u> Monis July 26, 1999 Date
Mail documents to be recorded with required cover sheet information to:	- RA1 40.00 CH	Total number of pages including cov	er sheet, attachments, and document: 2

## ASSIGNMENT

We,

Hans Peter ISENRING	residing at	Himmelrainweg 5 4450 Sissach Switzerland
Stephan TRAH	residing at	Andreas Hofer-Strasse 150a 79111 Freiburg Germany
Bettina DRECHSEL-WEISS	residing at	Beatenbergstr. 142 3800 Unterseen Switzerland,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis Finance Corporation**, a New Jersey corporation, having a place of business at 608 5th Avenue, New York, NY 10020, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

## DERIVATIVES OF ACRYLIC ACID

invented by us and described in the application for United States Letters Patent

Application No. 08/935,208, filed September 22, 1997,

including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Novartis Finance Corporation and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent),

extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 23 day of June , 199

by fer

lans Peter ISENRING

Signed this 21. day of June, 1999 by Stephan TRAH Signed this 28 day of June , 199 S by Be