

08-03-1999



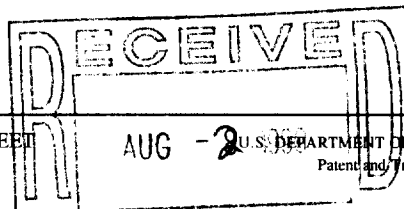
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FORM PTO-1596
1-31-92

RECORDATION FORM COVER SHEET

DOCKET NO.: C/99-152

PATENTS ONLY



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of conveying party(ies):

UTEK SEMICONDUCTOR CORPORATION

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: July 12, 1999

2. Name and address of receiving party(ies):

Name: HOLTEK SEMICONDUCTOR, INC.Street Address: No. 3, Creation Road, II Science-Based Industrial ParkCity: Hsinchu State/Country: Taiwan, R.O.C. ZIP:Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

B. Patent No(s).

5,825,859

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Donald C. Casey, Esq.

Internal Address: _____

Street Address: 311 North Washington Street, Suite 100City: Alexandria State: VA ZIP: 223146. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Commissioner is authorized to Deposit Account number:

03-1045, in case of deficiency or overpayment.

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Donald C. Casey, Reg. No. 24,022

Name and Registration No. of Person Signing

Signature

July 26, 1999

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

on July 26, 1999 By: Shirley Vogel

R&E: 010121 FRAME: 0909

ASSIGNMENT

THIS AGREEMENT is effective the 7th day of June, 1999.

BETWEEN:

UTEK SEMICONDUCTOR CORPORATION organized under the existing laws of Taiwan, R.O.C., having a business place at No. 5, Creation Rd. II Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C., and referred to hereinafter as "the Assignor"; and

HOLTEK SEMICONDUCTOR INC. organized under the existing laws of Taiwan, R.O.C., having a business place at No. 3, Creation Rd. II Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C. and referred to hereinafter as "the Assignee".

WHEREAS:

- 1) The Assignor is the registered proprietor of the Patents, "the Patents", details of which are set out in Schedule below.
- 2) The parties to this Assignment have agreed that the Patents should be transferred to the Assignee for the consideration hereinafter set forth.

NOW IT IS HEREBY AGREED as follows:

- 1) In consideration of the sum of one US dollars (USD1) and the other good/valuable consideration (the receipt of which is hereby acknowledged by the Assignor), the Assignor as beneficial owner hereby assigns to the Assignee, and the Assignee hereby accepts: the Patents, the right, title and interest in the Patents, and all the rights, powers, privileges and immunities conferred on the proprietor thereof by the grant of the Patents free from all encumbrances and including the right to sue for damages and other remedies in respect of any infringement of the Patents which might have occurred prior to the date of this Assignment.

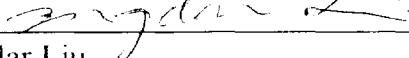
TO HOLD unto the Assignee absolutely,

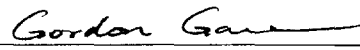
- 2) The Assignor covenants with the Assignee that it will at the request and expense of the Assignee execute any further document that the Assignee may reasonably require to enable the Assignee to become registered in any Register of Patents as registered owners of the Patents.
- 3) It is hereby certified that the Assignment does not form part of a larger transaction or series of transactions in respect of which the amount or value or the aggregate amount or value of the consideration exceeds £ 60,000 (sixty thousand pounds).

IN WITNESS WHEREOF, each of the parties hereto has caused this Assignment to be duly executed and delivered by its representative as of the date first above written.

UTEK SEMICONDUCTOR CORPORATION

HOLTEK SEMICONDUCTOR INC.

By: 
 Name: Ingdar Liu
 Title: President
 Date of Signing: July 12, 1999
 Place of Signing: Hsinchu, Taiwan, R.O.C.

By: 
 Name: Gordon Gau
 Title: President
 Date of Signing: July 12, 1999
 Place of Signing: Hsinchu, Taiwan, R.O.C.

Schedule

		Country	Patent/Utility Model No.	Application No.	Filing Date
1	Utility Model	Japan	3025318	9467/95	September 6, 1995
2	Patent	USA	5,748,948	08/526,850	September 11, 1995
3	Patent	United Kingdom	2305036B	9517664.0	August 30, 1995
4	Patent	Germany	195 34 525	195 34 525.8-53	September 7, 1995
5	Utility Model	Korea	121334	95-024810	September 14, 1995
6	Utility Model	Japan	3031020	3895/96	May 9, 1996
7	Patent	USA	5,786,717	08/881,909	June 25, 1997
8	Patent	United Kingdom	2308687	9526556.7	December 27, 1995
9	Utility Model	Germany	296 00 287.9	296 00 287.9	January 9, 1996
10	Patent	Japan	2764024	315674/95	December 4, 1995
11	Patent	USA	5,754,806	08/552,217	November 3, 1995
12	Patent	United Kingdom	2307065B	9522788.0	November 7, 1995
13	Patent	USA	5,825,859	08/508,139	July 27, 1995
14	Utility Model	Germany	297 05 025.7	297 05 025.7	March 19, 1997
15	Utility Model	Japan	3040868	960/97	February 24, 1997
16	Patent	USA	5,745,541	08/797,006	February 7, 1997
17	Utility Model	Germany	297 01 339.4	297 01 339.4	January 28, 1997