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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

101105582 To the Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): Name of conveying party(ies): SOHMEI ENDOH Sony Corporation KATSUHIKO OHTOMO MITSUO ARIMA Internal Address:\_ Additional name(s) of conveying party(ies) attached? Street Address: 6-7-35 Kitashinagawa, 6-Chome Yes City, State and Zip: Shinagawa-ku, Tokyo 141-0001 Japan Nature of Conveyance: Additional Name(s) & address(es) attached? X No Yes Assignment Merger Change of Name Security Agreement Other Execution Date: July 8, 1999 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <u>July 8, 1999</u> B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? Yes X No Name and address of party to whom correspondence concerning 6. Total number of applications and patents involved: 1 document should be mailed: 7. Total fee (37 CFR 3.41):.....\$\_40.00 Name: Charles P. Sammut X Enclosed Internal Address: Limbach & Limbach L.L.P. Charge any deficiencies in the enclosed fee to 2001 Ferry Building Street Address: Deposit Account No. 12-1420 San Francisco, California 94111 City, State, ZIP: Authorized to be charged to deposit account (415) 433-4150 Telephone: Facsimile: (415) 433-8716 8. Deposit account number: 12-1420 Attorney Docket No. SONY-P9759 [S99P0759US00] DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charles P. Sammut, Reg. No. 28,901 Name of Person Signing Total number of pages including cover sheet, attachments and document: 3 Do not detach this portion Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20213, and to the Office of Management and Budget. Paperwork

PATENT Revised: 04/21/97

PATENTS\RECFORM.MRG PATENT Re REEL: 010122 FRAME: 0037

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## BY EXPRESS MAIL NO. EL254064469US S99P0759US00

Docket Number: SONY-P9759

## **ASSIGNMENT**

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in Optical Recording Medium, Master for Use to Produce Same, and Optical Recording and /or Reproducing Apparatus for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address; AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome. Shinagawa-Ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention. said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries; NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration. the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto: And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention. applications and Letters Patent of the United States and countries foreign thereto; And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense; And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto; And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale. And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: \_\_\_\_\_\_\_, Filing Date:\_\_\_\_\_\_ This assignment executed on the dates indicated below.

This assignment executed on the dates indicated below.

SOHMEI ENDOH

Name of first or sole inventor

MIYAGI, JAPAN

Residence of first or sole inventor

Sohme's Endoh

Signature of first or sole inventor

Date of this assignment

PATENT REEL: 010122 FRAME: 0038

KATSUHIKO OHTOMO	Tuly 8, 1999
Name of second inventor	Tuly 8, 1999 Execution date of U.S. Patent Application
MIYAGI JAPAN	
MIYAGI, JAPAN Residence of second inventor	
Signature of second inventor	July 8 / 1999  Date of this assignment
Signature of second inventor	Date of this assignment
	2 2
MITSUO ARIMA	July 8, 1998
Name of third inventor	Execution date of U.S. Patent Application
. MIYAGI, JAPAN	7 12 0 00 July 8. 185
Residence of third inventor	July 8, 1999  July 8, 1999  Date of this assignment
Signature of third inventor	July 8, 1999
Signature of third inventor	1 Date of this assignment
Name of fourth inventor	Execution date of U.S. Patent Application
Residence of fourth inventor	
Signature of fourth inventor	Date of this assignment
Name of fifth inventor	Execution date of U.S. Patent Application
Residence of fifth inventor	
Signature of fifth inventor	Date of this assignment

PATENT REEL: 010122 FRAME: 0039