

7.22.99

07-30-1999

Practitioner's Docket No. 1576.73A
Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231



101105855

09/359444
07/22/99

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 C.F.R. § 3.31)

IDENTIFICATION OF APPLICATION
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

1. The ☒ patent application ☐ provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

a. Type of application

- ☒ Application of patent (37 C.F.R. § 1.53(b))
☐ Continued prosecution (nonprovisional) application (37 C.F.R. § 1.53(d))
☐ Provisional application (37 C.F.R. § 1.53(c))
☐ International application (37 C.F.R. § 1.431)

09/359444

b. Date of execution: July 22, 1999

NOTE: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

- | | | |
|--------------------|--------------------|----------------------|
| 1: Hiroyuki ADACHI | 4: Masami KOGUCHI | 7: Akihiro TAKAHASHI |
| 2: Masao YAMAGUCHI | 5: Kazuyuki TOMITA | |
| 3: Takahiro SAGAE | 6: Takashi KAWANA | |

d. Title of invention: Heterocycle-Substituted Benzene Derivatives And
Herbicides

CERTIFICATION UNDER 37 C.F.R. § 1.10*
(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application," along with any document referred to, is being deposited with the United States Postal Service on this date July 22, 1999 in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number EL382056951US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

07/29/1999 NTHAI1 00000188 131992 09359444
01 FC:581 40.00 CH

Diane Miller, LA

(type or print name of person mailing paper)

Diane Miller

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Hiroyuki ADACHI

Name 2: Masao YAMAGUCHI

Name 3: Takahiro SAGAE

Name 4: Masami KOGUCHI

Name 6: Takashi KAWANA

Name 5: Kazuyuki TOMITA

Name 7: Akihiro TAKAHASHI

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 C.F.R. § 3.31(a)(2))

3. The rights are being conveyed to:

Name: Nippon Soda Co., Ltd.

Address: 2-1, Ohtemachi, 2-chome

Chiyoda-Ku

Tokyo 100-8165, Japan

Telephone No.: ()

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))**

4. The accompanying document intends to accomplish:

☒ an assignment.

☐ a security agreement.

☐ a license.

☐ a merger.

☐ a change of name.

☐ a change of address.

☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 C.F.R. § 3.31(a)(5))**

5. Please address correspondence to:

Name: Joseph C. Mason, Jr.

Address: Mason & Associates, P.A.

17757 U.S. Hwy 19 North, Suite 500

Clearwater, FL 33764

Telephone No.: (727) 538-3800

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))

6. The attached assignment (document) was executed on July 14, 1999 :
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation." 37 C.F.R. § 3.26.

7. The attached document:

- ☒ is in the English language.
☐ is not in the English language. An English translation signed by the individual making the translation and statement as to its accuracy is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 C.F.R. § 3.24.

8. Submitted herewith is:

- ☒ the original document.
☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE**

NOTE: "All requests to record documents must be accompanied by the appropriate fee. Except as provided in paragraph (b) of this section, a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). . . ." 37 C.F.R. § 3.41.

9. A. This cover sheet identifies only one application:

- B. The fee for recordal (37 C.F.R. § 1.21(h)) is \$40.00.

- ☐ Attached is a check for \$40.00.
☒ Please charge Account 13-1992 \$40.00. ✓
A duplicate of this cover sheet is attached.

**STATEMENT (37 C.F.R. § 3.31(a)(9))
AND SIGNATURE (37 C.F.R. § 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: _____

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Reg. No. 20,153



Signature of Practitioner

Tel. No. (727) 538-3800

Joseph C. Mason, Jr.

(type or print name of practitioner)

Customer No.

Mason & Associates, P.A.

P.O. Address

17757 U.S. Hwy 19 North, Suite 500
Clearwater, FL 33764

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

7

Total number of pages submitted

Practitioner's Docket No. 1576.73A**PATENT**

For: ☒ U.S. and/or ☐ Foreign Rights
For: ☐ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):Hiroyuki ADACHI*(type or print name of inventors)*1169 Tajima Odawara-shi, Kanagawa

Address 256-0811, Japan Nationality JAPAN

Masao YAMAGUCHI1156 Shinomiya Hiratsuka-shi, Kanagawa
254-0014, Japan JAPANTakahiro SAGAE1160-2 Fujisawa Nakagomura Nakakubiki-
gun Niigata 949-2302, Japan JAPANMasami KOGUCHI201, 1-6-16 Sakae-cho Odawara-shi,
Kanagawa 250-0011, Japan JAPANKazuyuki TOMITA1296-4 Hosoe Haibara-cho Haibara-gun,
Shizuoka 421-0421, Japan JAPANTakashi KAWANA644-2 Iwahara Minamishigara-shi,
Kanagawa 250-0113, Japan JAPANAkihiro TAKAHASHI314-21 nuda, Minamishigarashi, Kanagawa
250-0106, Japan JAPAN

hereby sells, assigns and transfers to

ASSIGNEE:

Nippon Soda Co., Ltd.

(type or print name of ASSIGNEE)

2-1, Ohtemachi, 2-chome

Address

Japan

Nationality

Chiyoda-Ku, Tokyo 100-8165, Japan

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (____ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority

in and to any and all improvements which are disclosed in the invention entitled:

Heterocycle-Substituted Benzene Derivatives And Herbicides

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

(a) ☐ U.S. patent application executed on even date herewith

(b) ☒ U.S. patent application executed on July 22, 1999

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: _____

Mailed: _____

☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☐ U.S. application no. _____ / _____ filed on _____

(e) ☐ International application no. PCT _____ / _____ / _____
filed on _____

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

(Assignment of Invention—Multiple Inventors [16-3.2]—page 2 of 3)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this 7/14/99
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Hiroyuki ADACHI
(type name of Inventor)

Hiroyuki Adachi
Signature of INVENTOR

Masao YAMAGUCHI

Masao Yamaguchi

Takahiro SAGAE

Takahiro Sagae

Masami KOGUCHI

Masami Koguchi

Kazuyuki TOMITA

Kazuyuki Tomita

Takashi KAWANA

Takashi Kawan

Akihiro TAKAHASHI

Akihiro Takahashi

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

(Assignment of Invention—Multiple Inventors [16-3.2]—page 3 of 3)