

07-30-1999

RECORD

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



101105757

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Thomas R. Callahan, Anthony J. Bauer,  
Edward R. Hanson, Charles Moehling and  
Ronald R. EversAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: July 19, 1999

## 2. Name and address of receiving party(ies):

Name: AMSTED Industries Incorporated

Internal Address: \_\_\_\_\_

44th Floor - Boulevard Towers SouthStreet Address: 205 North Michigan AvenueCity: Chicago State: IL Zip: 60601Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

09 357061

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: AMSTED Industries Incorporated

Internal Address: \_\_\_\_\_

44th Floor - Boulevard Towers SouthStreet Address: 205 North Michigan AvenueCity: Chicago State: IL Zip: 606016. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41)

\$40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

01-1780

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen J. Manich

Name of Person Signing

Signature

Stephen J. ManichJuly 19, 1999

Date

**ASSIGNMENT AND AGREEMENT**  
**Joint Inventors**

WHEREAS, Thomas R. Callahan, County of Madison, State of Illinois; Anthony J. Bauer, County of Madison, State of Illinois; Edward R. Hanson, County of Madison, State of Illinois; Charles Moehling, County of Cook, State of Illinois; and Ronald R. Evers, County of Stark, State of Ohio, hereinafter called the "Assignors", have invented certain new and useful improvements in BOLSTERS FOR RAILWAY TRUCKS for a full description of which reference is here made to an application for Letters Patent of the United States and identified as their attorney's Case No. 6154 DIV C, executed of even date herewith; and

WHEREAS, AMSTED Industries Incorporated, a corporation organized and existing under the laws of the State of Delaware, having an office and place of business at 44th Floor-Boulevard Towers South, 205 N. Michigan Avenue, Chicago, Illinois 60601, hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient considerations, the receipt of which by the said Assignors from the said Assignee is hereby acknowledged, the said Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and its successors, assigns, and legal representatives, the entire right, title and interest for the United States in and to the invention and application herein identified, and any Letters Patent of the United States that may issue for said invention, together with the entire right, title and interest in and to said


invention and application for Letters Patent and Letters Patent therefor in all countries foreign to the United States; to have and to hold for the sole and exclusive use and benefit of the said Assignee, its successors, assigns and legal representatives, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issued.

And the said Assignors do hereby covenant and agree, for themselves and their legal representatives, that they will assist their said Assignee in the prosecution of the application herein identified; in the making and prosecution of any other application for Letters Patent that the said Assignee may elect to make covering the invention herein identified, as hereinbefore set forth; in vesting in the said Assignee like exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patent herein contemplated; and that they will execute and deliver to the said Assignee any and all additional papers which may be requested by the said Assignee to fully carry out the terms of this Assignment.

And the said Assignors do hereby covenant with said Assignee, its successors, assigns and legal representatives that the rights and property herein conveyed are free and clear of any adverse assignment, grant, mortgage, license or other encumbrance, and that they have the full right to convey to the same in manner and form as herein expressed.

IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this

7 day of JULY 1999.

  
Thomas R. Callahan


STATE OF ILLINOIS )  
 ) ss.  
COUNTY OF MADISON )

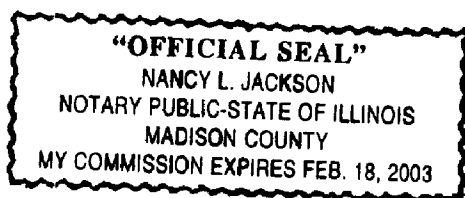
I, Nancy L. Jackson, a Notary Public in and for the County and

State aforesaid, do hereby certify that Thomas R. Callahan personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 7<sup>th</sup>

day of July 1999.

  
Notary Public



IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this

7 day of July 1999.

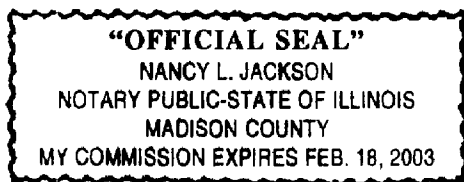
Anthony J. Bauer  
Anthony J. Bauer

STATE OF ILLINOIS )  
 ) ss.  
COUNTY OF MADISON )

I, Nancy L. Jackson, a Notary Public in and for the County and State aforesaid, do hereby certify that Anthony J. Bauer, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 7<sup>th</sup> day of July 1999.

Nancy L. Jackson  
Notary Public



IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this

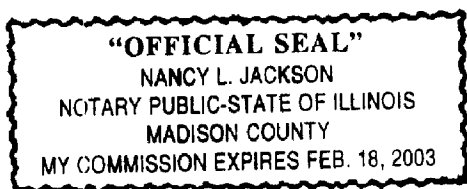
7 day of JULY 1999.

Edward R. Hanson  
Edward R. Hanson

STATE OF Illinois )  
COUNTY OF Madison ) ss.

I, Nancy L. Jackson, a Notary Public in and for the County and State aforesaid, do hereby certify that Edward R. Hanson, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 7<sup>th</sup> day of July 1999.



Nancy L. Jackson  
Notary Public

IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this

12 day of July 1999.

Charles Moehling  
Charles Moehling

STATE OF Illinois)  
) ss.  
COUNTY OF Cook)

I, Deborah A. Simpson, a Notary Public in and for the County and State aforesaid, do hereby certify that Charles Moehling, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 12<sup>TH</sup> day of July 1999.

Deborah A. Simpson  
Notary Public



IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand on this

14<sup>th</sup> day of JULY 1999.

Ronald R. Evers  
Ronald R. Evers

STATE OF OHIO)  
) ss.  
COUNTY OF WILLIAM)

I, SALLY ANN CRITEAN, a Notary Public in and for the County and State aforesaid, do hereby certify that Ronald R. Evers, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal this 14<sup>th</sup> day of July 1999.

Sally Ann Critean  
Notary Public

SALLY ANN CRITEAN, Notary Public, State of Ohio  
My Commission Expires 7-12-2000