

3.20.99

07-30-1999



Docket No.: CMI-321

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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101104314

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joseph A. Chinn
R. Michael Casanova

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 07/07/99

2. Name and address of receiving party(ies):

Name: SULZER CARBOMEDICS INC.

Internal Address: _____

Street Address: 1300 East Anderson Lane

City: Austin State: TX ZIP: 78752

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 07/07/99

A. Patent Application No.(s)

B. Patent No.(s)

091357584

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence

concerning document(s) mailed:

Name: Timothy L. Scott 40.00 DP

Internal Address: SULZER MEDICA USA INC.

Street Address: 3 East Greenway Plaza, Suite 1600

City: Houston State: TX ZIP: 77046

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

09-0473

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy L. Scott

Name of Person Signing

Signature

3

Date

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

In consideration of my/our technology purchase agreement(s) or employee agreement(s) with SULZER CARBOMEDICS INC., and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, Joseph A. Chinn, resident of Austin, Texas, and R. Michael Casanova, resident of Austin, Texas, hereby severally assign, transfer, and deliver to SULZER CARBOMEDICS INC., a Delaware corporation, having offices at Austin, Texas, U.S.A., its successors and assigns (collectively referred to as "SULZER CARBOMEDICS"), the entire right, title and interest throughout the world to and in my/our invention(s) ANTIMICROBIAL ANNULOPLASTY RING HAVING A BIODEGRADABLE INSERT, (Attorney Docket #CMI-321), the application for United States patent on the invention signed on JULY 7, 1999 as well as all continuations and divisions of that application, and all patents granted on that and any other application(s) on the invention(s) in the United States and elsewhere, together with the exclusive right to make application for patents and similar protection, reissues, renewals and extensions on the invention(s) in the U.S. and foreign countries, and I/we hereby request the U.S. Commissioner of Patents and Trademarks and the corresponding official(s) having the authority to issue patents in any foreign country(ies) to issue patents on the invention(s) to SULZER CARBOMEDICS.

I/We confirm that I/we have not made any agreement in conflict with this Assignment. I/We promise and agree that I/we will promptly sign and deliver such other documents, provide such evidence and other information within my/our knowledge or belief, and do all other relevant things that SULZER CARBOMEDICS may reasonably deem necessary or desirable and request of me/us in connection with obtaining or maintaining any of the patents, or in connection with any proceeding, controversy or litigation pertaining to any of the applications or patents, or in order to perfect, protect or enforce SULZER CARBOMEDICS ownership of the right, title and interest conveyed by this Assignment, or in connection with any other matter relating to or arising out of

this Assignment, and that I/we will do all of these things without need for further payment of money or other consideration to myself/ourselves on the understanding that **SULZER CARBOMEDICS** will bear all reasonable expenses actually incurred for or in connection with such matters. This Assignment and the obligations I/we have assumed by signing this document shall be binding on my/our respective legal representatives.

7/7/99
Date


Inventor's Signature

Joseph A. Chinn
(Type Inventor's Name)

7/7/99
Date


Inventor's Signature

R. Michael Casanova
(Type Inventor's Name)