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Attorney Docket No.: 07668/004001

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Assistant Commissioner for Patents



101110080

COVER SHEET

Y

PTO/PCT Rec'd 16 JUL 1999

1. Name of conveying party(ies):  
**Phillip A. Reece, Keith Geoffrey Watson,  
 Wen-Yang Wu, Betty Jin and Guy Y. Krippner**

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):  
**Biota Scientific Management Pty Ltd  
 Level 4  
 616 St. Kilda Road  
 Melbourne, Victoria 3004, Australia**

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: Reece and Krippner, June 15,  
 1999; Watson, Wu and Jin, June 10, 1999

4. Application number(s) or patent number(s):  
 If this document is being filed with a new application, the execution date of the application is:  
 A. Patent Application No.(s): **09/308,076**  
 B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

**Janis K. Fraser  
 Fish & Richardson P.C.  
 225 Franklin Street  
 Boston, MA 02110-2804**

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): **\$40**

☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: **06-1050**  
 If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

**Janis K. Fraser**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

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Date of Deposit **July 16, 1999**

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**Nathaniel Madera**

**Nathaniel Madera**

PATENT

REEL: 010131 FRAME: 0924

## ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

*name and* BIOTA SCIENTIFIC MANAGEMENT PTY LTD  
*address of* Level 4  
*assignee* 616 St Kilda Road  
Melbourne, Victoria 3004, Australia

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to this invention relating to

*title of* Method and Novel Compounds for Use Therein  
*invention*

as set forth in this United States Patent Application

*check one* ☐ executed concurrently herewith  
☐ executed on \_\_\_\_\_  
☐ Serial No. PCT/AU97/00771 Filed 13 November 1997

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and request the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FISH & RICHARDSON P.C.** the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

NAME AND SIGNATURES OF INVENTORS			
1	Name: Phillip A REECE	Signature: <i>[Signature]</i>	Date: 15/6/99
2	Name: Keith Geoffrey WATSON	Signature: <i>[Signature]</i>	Date: 10/6/99
3	Name: Wen-Yang WU	Signature: <i>[Signature]</i>	Date: 10/6/99
4	Name: Betty JIN	Signature: <i>[Signature]</i>	Date: 10/6/99
5	Name: Guy Y. KRIPPNER	Signature: <i>[Signature]</i>	Date: 15/6/99
NAMES AND SIGNATURES OF WITNESSES			
2	Name: Rachel Cameron-Smith	Signature: <i>[Signature]</i>	Date: 10.6.99
5	Name: Renee Brown	Signature: <i>[Signature]</i>	Date: 15.6.99.
3	Name: DAVID CHALMERS	Signature: <i>[Signature]</i>	Date: 10/6/99
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6	Name: Leonie Loveday	Signature: <i>[Signature]</i>	Date: 15.6.99