

08-03-1999

S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Pat.

101106560

hed original documents or copy thereof.

1. Name of conveying party(ies):

Ulrich KALCK

2. Name and address of receiving party(ies):

Name: BASF Coatings Aktiengesellschaft
Internal Address: Mary E. Golota
Street Address: Glasuritstrasse 1
48165 Muenster-Hiltrup, GERMANY

Additional numbers attached?

☒ Yes ☐ No

3. Nature of conveyance:

7-30-99

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: July 5th, 99; 7/7/99; 29.6.99; 2-7-99;
28.6.99; 5.July 99; 28.6.1999; 27.6.99; 26.
June 1999

Additional numbers attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5335
US Serial No. 09/331,271

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of Party to whom
correspondence concerning document
should be mailed:

Name: Mary E. Golota
Internal Address: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents
involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 23-3425

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

08/02/1999 DNGUYEN 00000308 233425 09331271

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40.00 CH

Majorie Ellis

Name of Person Signature

Signature

I hereby certify that the attached
correspondence is being deposited with
the United States Postal Service as first
class mail in an envelope addressed to
Assistant Commissioner for Patents,
Washington, D.C. 20231, on July 27,
1999.

Total number of pages comprising cover sheet:

-14-

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

2. Joachim WOLTERING
3. Maximilian BENDIX
4. Heike SCHUCHMANN
5. Klaus BORHO
6. Werner WEINLE
7. Michael SCHIESSL
8. Sven RÜCK
9. Markus ANTONIETTI

ASSIGNMENT

WHEREAS, I (WE), Ulrich KALCK, Joachim WOLTERING, Maximilian BENDIX, Heike SCHUCHMANN, Klaus BORHO, Werner WEINLE, Michael SCHIESSL, Sven RÜCK, Markus ANTONIETTI residing at Grenkuhlenweg 15, D-48167 Münster, Federal Republic of Germany; Althausweg 121, D-48159 Münster, Federal Republic of Germany; Von-Steinfurt-Str. 20, D-59302 Oelde, Federal Republic of Germany; Schillerstr. 46, D-71665 Vaihingen/Enz, Federal Republic of Germany; Dalienstr. 16, D-67112 Mutterstadt, Federal Republic of Germany; Malev-Köster-Str. 8, D-67159 Friedelsheim, Federal Republic of Germany; Otto-Hahn-Str. 11b, D-67454m Hasslach, Federal Republic of Germany; Holderbaumstr. 27, D-67549 Worms, Federal Republic of Germany; Bergblick 20, D-35043 Marburg-Schröck, Federal Republic of Germany (declarant(s)) declare that I (WE) have invented certain improvements in: hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 09/331,271, filed on June 17, 1999 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Coatings Aktiengesellschaft, a corporation of the Federal Republic of Germany having a place of business at, Glasuritstr. 1, 48165 Münster, Federal Republic of Germany (assignee), is desirous of

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acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference

purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Docket: IN-5335

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated:

July 5th 99

Signed:

Ulrich Kalck

Typed Name: Ulrich KALCK

Witnessed:

M. Bohn

Name

Michael Bohn

Address

Horst-Schock-Str. 100a
D-67069 Ludwigshafen

Witnessed:

K. W. Exner

Name

Klaus W. Exner

Address

V. Derrstr. 12
65117 Limburg a. d. Rh. - Germany

Docket: IN-5335

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 7/7/99

Signed: Joachim Woltering
Typed Name: Joachim WOLTERING

Witnessed:

Name Heike Florack

Address Von-Schoenebeck-Ring 71
48161 Münster

Witnessed:

Name Andreas Wenzel

Address Paul-Bachardt-Weg 1
54829 Veltheim

Docket: IN-5335

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 29.6.99

Signed: Maximilian Bendix
Typed Name: Maximilian BENDIX

Witnessed: R. Nikolaus

Name: R. Nikolaus

Address: Rüther Str. 61
48317 Drensteinfurt

Witnessed: H. Heubrich

Name: H. Heubrich

Address: Thomas-Haus-Weg 13
48165 Münster

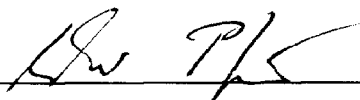
Docket: IN-5335

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Dated: 2-7-99

Signed: 
Typed Name: Heike SCHUCHMANN

Witnessed:

Name 
Address Werner Pfaller,
Ch. des Couets 67, 1350 Olbe

Witnessed:

Name P. FOURRE
Address PIERRE FOURRE
PHILOSOPHES 21A
1400 YVERDON
SUISSE

Docket: IN-5335

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Dated: 28.6.1999

Signed: 
Typed Name: Klaus BORHO

Witnessed: 

Name Manuel Rudich

Address BASF AG, ZAT/A -
2540, D-67056 Ludwigshafen

Witnessed: 

Name Christel Seeling

Address BASF AG ZAT - L 540
D-67056 Ludwigshafen

Docket: IN-5335

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Dated: 5. Juli 1982

Signed: Werner Weinle
Typed Name: Werner WEINLE

Witnessed: Terstappen

Name Sabine Terstappen

Address Am Lang 6
41517 Grevenbroich

Witnessed: S. Müller

Name Susanne Müller

Address Deutzer Str. 49
40223 Düsseldorf

Docket: IN-5335

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Dated: 28.6.1989

Signed: 
Typed Name: Michael SCHIESSL

Witnessed:

Name TISCHER FRANK

Address DROSSELWEG 39

D-68535 EDINGEN
GERMANY

Witnessed:

Name Eser Claus

Address Dietlindestraße 40

67069 Ludwigshafen

Docket: IN-5335

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 27.6.99

Signed: S. Rück
Typed Name: Sven RÜCK

Witnessed: Rück

Name Karin Rück

Address Holderbaumstr. 27
67549 Loorns

Witnessed: E. Rück


Name Kensche, Jürgen

Address Friedrich Ebel Strasse 120
67549 Loorns

Docket: IN-5335

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 26. June 1999

Signed: 
Typed Name: Markus ANTONIETTI

Witnessed: An. Pape

Name Pape, Annette

Address Am Winkel 21
D-14552 Michendorf

Witnessed: Chr. Goltner

Name GÖLTNER, CHRISTINE

Address FERDINANDSTR. 24
D-12209 BERLIN