Docket No.: FI9-99-018

08-04-1999 U.S. DEPARTMENT OF COMMERCE RECORDATI FORM PTO-1595 (Modified) (Rev. 6-93) Patent and Trademark Office OMB No. 0651-0011 (exp.4/94) PAT 7.27.99 Copyright 1996-97 LegalStar PORA/REV02 Tab settings → → 101109905 al documents or copy thereof. To the Honorable Commissioner of Patents and Tradeniaino. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Ramachandra Divakaruni Name: International Business Machines Corporation Jeffrey Peter Gambino Carl J. Radens Address: New Orchard Road Jeremy K. Stephens ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: ☐ Merger ■ Assignment _____ State/Prov.: NY City: Armonk ☐ Change of Name ☐ Security Agreement ZIP: 10504 Country: USA ☐ Other ☐ Yes **⊠** No Execution Date: 7/21/99;7/23/99;7/21/99;7/27/99 Additional name(s) & address(es) 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: 7/21/99; 7/23/99; 7/21/99; 7/27/99 EE468937374US EXPRESS MAIL NO.: I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT AS THE FILE DATE. DATE OF DEPOSIT:___ ☐ Yes 🛛 No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: 7. Total fee (37 CFR 3.41):....\$ 40.00 Name: Daryl K. Neff, Esq. □ Enclosed - Any excess or insufficiency should be 38,253 Registration No. credited or debited to deposit account Address: IBM Corporation, East Fishkill Facility Authorized to be charged to deposit account Dept. 18G, Building 300-482 1580 Route 52 8. Deposit account number: City: Hopewell Junction State/Prov.: NY ZIP: 12533-6531 Country: USA DO NOT USE THIS SPACE 08703/1999 DNGUYEN 00000093 090458 09361961 01 FC:581 40.00 CH To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy 9. Statement and signature. of the original document. Daryl K. Neff, Esq. REG. No. 38, 253 Name of Person Signing Total number of pages including cover sheet, attachments, and (914)894-2664

REEL: 010136 FRAME: 0223

ASSIGNMENT

Whereas, we

Inventor (1) Ramachandra Divakaruni and City County of Orange

City of Middletown and State of New York

Inventor and City

(2) Jeffrey Peter Gambino County of Litchfield

City of Gaylordsville and State of Connecticut

Inventor and City

(3) Carl J. Radens County of Dutchess City of LaGrangeville and State of New York

Inventor and City

(4) Jeremy K. Stephens County of <u>Dutchess</u> City of Wappingers Falls OSSINING and State of New York

JKS 7/27/97

have invented certain improvements in

WESTCHESTER

Title

PROCESS FOR IMPROVING LOCAL UNIFORMITY OF CHEMICAL MECHANICAL POLISHING USING A SELF-ALIGNED POLISH RATE ENHANCEMENT LAYER

and have executed, respectively, a United States patent application therefor on

Dates That Inventors Signed the Declaration

(1) July 21 1999, (2)

1999, (3) 5-17d \ 1999, and (4) July 27 1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at E. Fishkill, NY City Tuly 21, 199 Date signature) inventor First Name **Middle Initial Last Name** City (2) Signed at **Date** on signature **Jeffrey** Peter Gambino) inventor First Name Middle Name **Last Name** (3) Signed at $\mathcal{E} = \mathcal{F}_{1} + \mathcal{F}_{2} + \mathcal{F}_{3} + \mathcal{F}_{4} + \mathcal{F}_{5} +$ City Date signature Radens) inventor First Name Middle Initial **Last Name** (4) Signed at E. FISHKILL, NY
on JULY 27, 1999 City Date

Page 2 of 2

First Name

Middle Initial

Last Name

signature) inventor

ASSIGNMENT

Whereas, we

Inventor (1) Ramachandra Divakaruni and City County of Orange Inventor (2) Jeffrey Peter Gambino and City County of Litchfield Chitfenden		City of Middletown and State of New York City of Gaylordsville Town of Westford JG- and State of Connecticut Vermont		
Inventor and City	(4) Jeremy K. Stephens County of Dutchess	City of Wappingers Falls and State of New York		

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Dates That Inventors Signed the Declaration

(1)

and have executed, respectively, a United States patent application therefor on

1999, (2) 7/23/1999, (3)

1999, and (4)

1999.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

ty	(1) Signed at				
ite	on	(Ramachandra First Name	Middle Initial	Divakaruni Last Name	signature) inventor
y te	(2) Signed at Essex Justin Woon 7/23/99	(Jeffrey First Name	Peter Middle Name	Gambino Last Name	signature) inventor
y e	(3) Signed at	(Carl First Name	J. Middle Initial	Radens Last Name	signature) inventor
	(4) Signed at on	(Jeremy First Name	K. Middle Initial	Stephens Last Name	signature) inventor

Page 2 of 2