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FORM PTO-

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PATENTS ON

08-04-1999

ENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please

1. Name of conveying party(ies):
Richard Edward Epworth, Terry Bricheno, and
George Horace Brooke Thompson

Additional name(s) of conveying party(ies) attached? _ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other

Execution Date: November 3, 1998 and November 4, 1998

101110766

Name: Northern Telecom Limited

Internal Address: World Trade Center of Montreal

Street Address: 380 St. Antoine St. West, 8th Floor

City: Montreal, Quebec State: Canada Zip Code: H2Y 3Y4

Additional name(s) & address(es) attached? _ Yes ☒ No

300 Rec'd PCT/PTO 16 NOV 1998

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: November 3, 1998 and November 4, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached: _ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: William M. Lee, Jr.

Internal Address: Lee, Mann, Smith, McWilliams, Sweeney & Ohlson

Street Address: P.O. Box 2786

City: Chicago State: Illinois Zip: 60690-2786

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

12-0913

(Attach duplicate copy of this form if paying by deposit account.)

11/14/1998 UCLAYRD 00000023 09194004

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William M. Lee, Jr.

Name of Person Signing

Signature

November 16, 1998

Date

Total number of pages including cover sheet, attachments and document 3

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ASSIGNMENT NORTHERN TELECOM LIMITED

For value received, I (we) the undersigned, whose full post office addresses is (are) adjacent my (our) name(s), hereby sell, assign and transfer to

the address of whose registered office is: **NORTHERN TELECOM LIMITED**
World Trade Center of Montreal,
380 St. Antoine Street West, 8th floor,
Montreal, Quebec
Canada H2Y 3Y4

(hereinafter called "Nortel"), its successors, assigns and legal representatives, the entire right, title and interest for all countries, including any and all priority rights, in and to certain inventions relating to

OPTICAL MULTIPLEXER/DEMULTIPLEXER


identified in Nortel's records as Epworth et al 85-43-52 and set forth in an application for letters patent of the United States of America Serial Number _____ filed on 11-16-98,

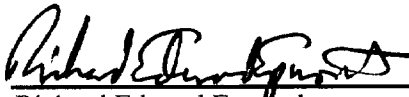
and all rights and privileges under any and all letters patent in all countries that may be granted for said inventions, to the intent that any such patent or other intellectual property protection shall be issued to Nortel alone or to its successors, assigns or legal representatives.

I (We) undertake without charge to Nortel but at its request and expense to execute all documents, take all oaths and do all reasonable acts to enable Nortel, its successors, assigns and legal representatives to procure and maintain patent or other intellectual property protection for said inventions in any and all countries and to vest title thereto in Nortel, its successors, assigns or legal representatives.

I (We) agree that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent or the like which may be required in any country for any purpose and more particularly in proof of the irrevocable right of Nortel, its successors, assigns and legal representatives to apply for and maintain patent or other intellectual property protection for said inventions in any and all countries and to claim the benefit of any right of priority.

This Assignment does not form part of a larger transaction or series of transactions in respect of which the amount or value or aggregate amount or value of the consideration exceeds £60,000.

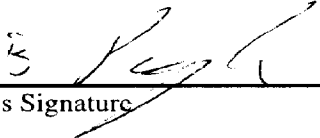

Witness Signature


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Sawbridgeworth
Herts CM21 OBD
United Kingdom

Date: Nov 3rd 1998
Assignor

GARY PETTITT
Witness Name (print)

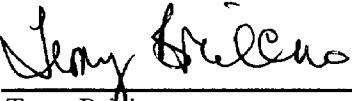
☐ Additional Assignors on attached Page 2



Witness Signature

B. J. PUGH

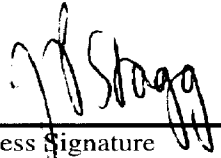
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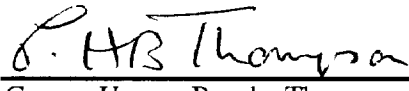
Date: 3rd November

Assignor 1998



Witness Signature

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Date: 4th November 1998

Assignor