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08-05-1999

PATENT

Docket No.: U 012260-8

Box Patent Application
Commissioner of Patents
and Trademarks
Washington, D.C. 20231



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09/363346
07/29/99

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21
and 37 CFR 3.31(a)(4))

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

- a. Date of execution: JULY 22, 1999.
- b. Name of each inventor:
 - 1. MOTOHIRO NIKI
 - 2. ETUKO NIKI
 - 3. MIEKO NIKI
 - 4. ATSUSHI KIDACHI
 - 5. MISAKO KIDACHI
- c. Title of invention: SMALL ANIMAL BREEDING APPARATUS

09/363346

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date JULY 28, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EJ252887122US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

08/05/1999 DMGUYEN 00000090 09363346

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40.00 DP

DANIELLE JOHNSON

(type or print name of person mailing paper)

Danielle Johnson
(Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

EJ25.2 8.871.2.2 US

PATENT
REEL: 010139 FRAME: 0908

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

1. MOTOHIRO NIKI

Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: 1. MOTOHIRO NIKI
2. ETUKO NIKI
3. MIEKO NIKI
4. ATSUSHI KIDACHI
5. MISAKO KIDACHI

Address: 1. 22-11, YUSHIMA 2-CHOME,
BUNKYO-KU, TOKYO-TO, Japan
2. 22-11, YUSHIMA 2-CHOME,
BUNKYO-KU, TOKYO-TO, Japan
3. 22-11, YUSHIMA 2-CHOME,
BUNKYO-KU, TOKYO-TO, Japan
4. 7-5, OJIDAI 1-CHOME,
SAKURA-SHI, CHIBA-KEN, Japan
5. 7-5, OJIDAI 1-CHOME,
SAKURA-SHI, CHIBA-KEN, Japan

Telephone No.: ()

Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: William R. Evans

Address: Ladas & Parry
26 West 61 Street
New York, NY 10023

Telephone No.: (212) 708-1930

Date Assignment (Document) Executed (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on JULY 22, 1999.

Language of Assignment (Document) to be Recorded

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26

The attached document:

- ☒ is in the English language
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

Original Document or True Copy Submitted

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00

☒ Attached is a check for \$40.00

☐ Please charge Account 12-0425 \$40.00.
A duplicate of this cover sheet is attached.

Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: JULY 28, 1999

(Name of party submitting document)

(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)

(Signature of attorney)

Reg. No. 25,858

Tel. No. (212) 708-1930

WILLIAM R. EVANS
LADAS & PARRY
26 WEST 61ST STREET
NEW YORK, NEW YORK 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 8

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☐ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or entity(ies)
who own the invention

Motohiro NIKI*(type or print name(s) of ASSIGNOR(S))*22-11, Yushima 2-Chome, Bunkyo-Ku,

Address

Tokyo-To, JAPANJapanese

Nationality

*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____**Reel** _____**Frame** _____

hereby sells, assigns and transfers to

ASSIGNEE:Motohiro NIKI*(type or print name(s) of ASSIGNEE(S))*22-11, Yushima 2-Chome, Bunkyo-Ku,

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Tokyo-To, JAPANJapanese

Nationality

(Assignment of Invention—page 1 of 4) 16-3

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7-5, Ojidai 1-Chome, Sakura-Shi,

Address

Chiba-Ken, JAPAN

Japanese

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☐ the entire right, title and interest

☒ an undivided twenty percent (20 %) interest to each above assignee

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

SMALL ANIMAL BREEDING APPARATUS

Name of inventor(s) Motohiro NIKI

(Assignment of Invention—page 2 of 4) 16-3

and which is found in (37 C.F.R. § 3.21)

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention:
 ☐ Express mail label no.: _____
 Mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☐ U.S. application no. _____ / _____ filed on _____
(e) ☐ International application no. PCT / _____ / _____ filed on _____
(f) ☐ U.S. patent no. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

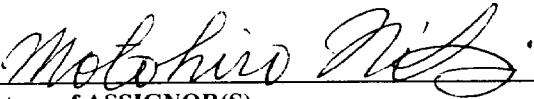
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 22nd day of July, 1999.

Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: July 22, 1999



Signature of ASSIGNOR(S)

Date: _____

Date: _____

(Assignment of Invention—page 3 of 4) 16-3

Date:

Date:

(if ASSIGNOR is a legal entity, complete the following information)

*(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)*

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

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