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RECORD



08-06-1999

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S. DEPARTMENT OF
Internal and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Marcus C. Heller Michael Mann and

Christoph Ernecke

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: July 19, 1999

2. Name and address of receiving party(ies):

Otis Elevator Company

Internal Address: 10 Farm Springs

Street Address: 10 Farm Springs

City: Farmington State: CT Zip: 06032

Additional name(s) and addresses(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

09/363,267

If this document is being filed together with a new application, the execution date of the application is: July 29, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ yes ☒ no

5. Name and Address of party to whom correspondence concerning document should be mailed:

Name: Randy G. Henley

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

Internal Address: Otis Elevator Company

☐ Enclosed

☒ Authorized to be charged to deposit account

Street Address: 10 Farm Springs

8. Deposit account number:

15-0750, OT-4209

City: Farmington State: CT Zip: 06032

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth A. Dudek

Name of Person Signing

Elizabeth A. Dudek
Signature

July 29, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments

08/05/1999 DNGUYEN 00000172 150750 09363267

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40.00 CH

ASSIGNMENT

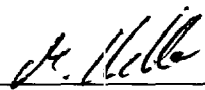
WHEREAS, We, Marcus C. Heller, Michael Mann and Christoph Ernecke of Spachtelweg 4, 13437 Berlin, Germany; Seerosensteig 10A, 12347 Berlin, Germany; and Ulmenallee 42, 14050 Berlin, Germany, have invented certain improvements in "METHOD AND APPARATUS FOR INITIALIZATION AND OPERATION OF FIELD-COMMUTATED MOTORS AND MACHINES INCORPORATING FIELD-COMMUTATED MOTORS", identified as Attorney Docket No. OT-4209, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, OTIS ELEVATOR COMPANY, of Farmington, Connecticut, a corporation of the State of New Jersey (assignee) is desirous of acquiring the entire United States right, title and interest in and to said invention or inventions and any and all U.S. Patents to be obtained therefor;


NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire United States right, title and interest in and to said invention or inventions, as described in the aforesaid U.S. Patent application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

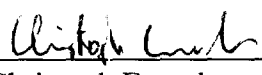
IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) 
Marcus C. Heller

7-19, 1999

(L.S.) 
Michael Mann

7-18, 1999

(L.S.) 
Christoph Ernecke

7-19, 1999