

08-06-1999

MRU 8-5-99

ney Docket No. 02307E-053610



FORM PTO-1595 (Rev. 6-93)

101112675

U.S. Department of Commerce Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies): On behalf of inventor, Demetrios P. Papahadjopoulos (deceased), signed for by Francis C. Szoka, executor of the last will and testament of Demetrios P. Papahadjopoulos Additional name(s) of conveying parties attached? [] Yes [X] No.

2. Name and address of receiving party(ies) Name: The Regents of the University of California Internal Address: 12th Floor Street Address: 1111 Franklin Street City: Oakland State: California ZIP: 94607-5200

3. Nature of conveyance: [X] Assignment [] Merger [] Security Agreement [] Change of Name [X] Other: Assignment with Proof of Authority to sign on behalf of deceased inventor Execution Date: July 26, 1999

Additional names and addresses attached? [] Yes [X] No

4. Application Number(s) or Patent Numbers. If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s): 08/634,197 B. Patent No(s): Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kenneth A. Weber, Esq. TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 (415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00 [] Enclosed [X] Charge Fees to Deposit Account [X] Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. Kenneth A. Weber Name of Person Signing Signature Date 8/2/99 Atty Reg. No. 31,677 Total number of pages including cover sheet, attachments and document 5

10. Change Correspondence Address to that of Part 5? [X] Yes [] No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover to: Do not detach this portion

08/06/1999 NTHA11 00000099 201430 08634197 01 FC:581 40.00 CH

Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231

ASSIGNMENT

U.C. Case No.: 93-203-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Francis C. Szoka, as executor of the last will and testament of Demetrios P. Papahadjopoulos (deceased),

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS

and which is found in

- (a) ___ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) ___ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) X U.S. application serial no. 08/634,197, filed on April 18, 1996
- (d) ___ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

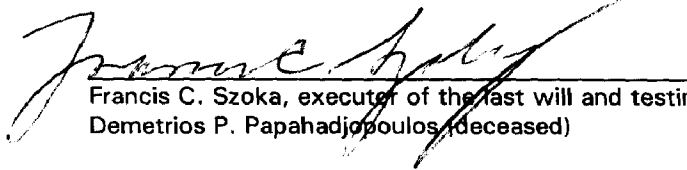
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Executor

26 day of July, 1999



 Francis C. Szoka, executor of the last will and testament of
 Demetrios P. Papahadjopoulos (deceased)

1013169

Practitioner's Docket No. 2307E-536-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Benz et al.

For: IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS
the specification which:

(check and complete (a), (b) or (c))

- (a) is attached hereto.
- (b) was filed on 4/18/96 as Application No. 08/634,197 and was amended on _____ *(if applicable)*.
- (c) was described and claimed in International Application No. _____ filed on _____ and as amended on _____ *(if any)*.

PROOF OF AUTHORITY OF ADMINISTRATOR(TRIX), EXECUTOR(TRIX) OR LEGAL REPRESENTATIVE(S)

The declaration for the above identified application was signed on behalf of the

- deceased
- incapacitated

inventor Demetrios P. Papahadjopoulos,
(type or print name of deceased or incapacitated inventor)

by Francis C. Szoka.
(type or print name(s) of administrator(trix), executor(trix) legal representative, or all heirs)

Attached is

(check and complete (d) or (e))

- (d) a certificate of the clerk of a competent court or the register of wills that the appointment of the signatory is still in force and effect.
- (e) a certificate from the appropriate court that they are all the heirs and that the estate did not require the appointment of an administrator or that they have the authority corresponding to that of an administrator or heir.

(also check and complete (f) and/or (g), if applicable)

- (f) The court papers mentioned above are not in English. An English translation of such papers are also attached.

NOTE: *The translation need not be sworn or affirmed. MPEP § 409.01(a).*

- (g) A consular officer of the United States has authenticated the signature of the foreign officer attesting to the papers submitted as proof of authority.

(Proof of Authority of Administrator(trix), Executor(trix) or Legal Representative(s) [1-8]—page 1 of 2)

Date:



SIGNATURE OF PRACTITIONER

Reg. No. 31,677

Kenneth A. Weber

(type or print name of practitioner)

Tel. No. (415) 576-0200

Townsend and Townsend and Crew, LLP

P.O. Address

Two Embarcadero Center, 8th Floor

Customer No.

San Francisco, CA 94111-3834

(Proof of Authority of Administrator(trix), Executor(trix) or Legal Representative(s) [1-8]—page 2 of 2)

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state bar number, and address): (415)-979-2631 (FAX) TELEPHONE AND FAX NOS.: 415-442-1211 W. Scott Thomas, Esq. (SBN 63597) Brobeck, Phleger & Harrison LLP One Market Plaza, Spear St. Tower San Francisco, CA 94105-1193 ATTORNEY FOR (Name): W. Scott Thomas, Esq.		FOR COURT USE ONLY ENDORSSED FILED San Francisco County Superior Court FEB 11 1999 ALAN CARLSON, Clerk BY: _____ Deputy Clerk
SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco STREET ADDRESS: 400 McAllister St., Room 204 MAILING ADDRESS: 400 McAllister St., Room 103 CITY AND ZIP CODE: San Francisco, CA 94102 BRANCH NAME:		
ESTATE OF (Name): DEMETRIOS P. PAPAHADJOPOULOS DECEDENT		
LETTERS <input checked="" type="checkbox"/> TESTAMENTARY <input type="checkbox"/> OF ADMINISTRATION WITH WILL ANNEXED <input type="checkbox"/> OF ADMINISTRATION <input type="checkbox"/> SPECIAL ADMINISTRATION		CASE NUMBER: 271365

LETTERS

1. The last will of the decedent named above having been proved, the court appoints (name):
 Francis Szoka & Christos Papahadjopoulos

a. executor.
 b. administrator with will annexed.

2. The court appoints (name):

a. administrator of the decedent's estate.
 b. special administrator of decedent's estate
 (1) with the special powers specified in the Order for Probate.
 (2) with the powers of a general administrator.
 (3) letters will expire on (date):

3. The personal representative is authorized to administer the estate under the Independent Administration of Estates Act with full authority with limited authority (no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).

4. The personal representative is not authorized to take possession of money or any other property without a specific court order.

AFFIRMATION

1. PUBLIC ADMINISTRATOR: No affirmation required (Prob. Code, § 7821(c)).

2. INDIVIDUAL: I solemnly affirm that I will perform the duties of personal representative according to law.

3. INSTITUTIONAL FIDUCIARY (name):
 I solemnly affirm that the institution will perform the duties of personal representative according to law. I make this affirmation for myself as an individual and on behalf of the institution as an officer.
 (Name and title):

4. Executed on (date): 10/23/98
 at (place): San Francisco, California.

 Francis Szoka (SIGNATURE) **Christos Papahadjopoulos

CERTIFICATION

I certify that this document is a correct copy of the original on file in my office and the letters issued the personal representative appointed above have not been revoked, annulled, or set aside, and are still in full force and effect.

WITNESS, clerk of the court, with seal of the court affixed.

(SEAL)

Date: FEB 11 1999
 ALAN CARLSON
 Clerk, by
 WILLIAM KNOWLES
 (DEPUTY)

Date: FEB 11 1999
 ALAN CARLSON
 Clerk, by
 WILLIAM KNOWLES
 (DEPUTY)

