

08-09-1999



101111961

Docket No.: SLA 402

EET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

AUG - 6

Tab settings → → → ▼ ▼

To the Honorable Commissioner of Pa

101111961 Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tingkai Li, Fengyan Zhang, Yoshi Ono and Sheng Teng Hsu

MRD 8-6-99

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: August 2, 1999

2. Name and address of receiving party(ies):

Name: Sharp Laboratories of America, Inc.

Address: 5750 NW Pacific Rim Boulevard

City: Camas

State/Prov.: WA

Country: USA

ZIP: 98607

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/302,272

April 28, 1999

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David C. Ripma, Patent Counsel

Registration No. 27,672

Address: Sharp Laboratories of America, Inc.

5750 NW Pacific Rim Boulevard

City: Camas

State/Prov.: WA

Country: USA

ZIP: 98607

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-1457

08/09/1999 NTHAI1 00000101 191457 09302272

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FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David C. Ripma, Reg. No. 27,672

Name of Person Signing

Signature

August 2, 1999

Date

5

Total number of pages including cover sheet, attachments, and

## ASSIGNMENT

WHEREAS, the undersigned Tingkai Li, a resident of Vancouver, WA, Fengyan Zhang, a resident of Vancouver, WA, Yoshi Ono, a resident of Camas, WA, and Sheng Teng Hsu, a resident of Camas, WA, (hereinafter termed "inventors") have invented certain new and useful improvements in:

### EPITAXIALLY GROWN LEAD GERMANATE FILM AND DEPOSITION METHOD

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

☐ On the \_\_\_\_ day of \_\_\_\_\_, 1999.

Or

☒ Said application having been previously filed and assigned  
Serial Number 09/302,272, and filing date April 28, 1999.

WHEREAS Sharp Laboratories of America, Inc., a corporation of the State of Washington, (hereinafter termed "Assignee"), having a place of business at 5750 NW Pacific Rim Boulevard, Camas, State of Washington, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other government grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the

United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting application for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, said Inventors' heirs, legal representatives and assigns.
4. Said Inventors hereby warrant and represent that said Inventors have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
5. This Assignment may be executed in multiple counterparts by the undersigned Inventors.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee on the date of acknowledgment before the Notary Public as given below.

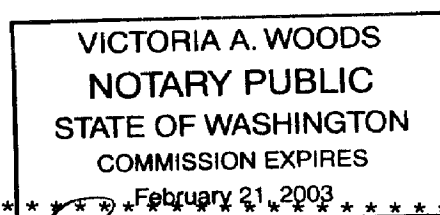
(1)   
(Inventor's Signature)

State of Washington       )  
                                      )  
County of Clark            )       ss

On August 2, 1999, before me, Victoria A. Woods, personally appeared Tingkai Li, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature:   
Notary Public



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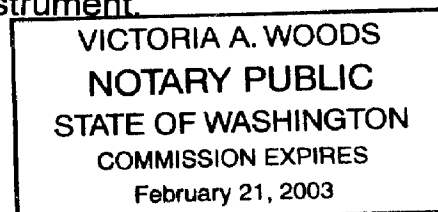
(2)   
(Inventor's Signature)

State of Washington       )  
                                      )  
County of Clark            )       ss

On August 2, 1999, before me, Victoria A. Woods, personally appeared Fengyan Zhang, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature:   
Notary Public



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(3)   
(Inventor's Signature)

State of Washington       )  
                                      )  
County of Clark            )       ss

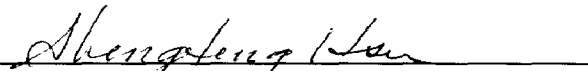
On August 2, 1999, before me, Victoria A. Woods, personally appeared Yoshi Ono, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature:   
Notary Public

VICTORIA A. WOODS  
NOTARY PUBLIC  
STATE OF WASHINGTON  
COMMISSION EXPIRES  
February 21, 2003

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(4)   
(Inventor's Signature)

State of Washington       )  
                                      )  
County of Clark            )       ss

On August 2, 1999, before me, Victoria A. Woods, personally appeared Sheng Teng Hsu, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature:   
Notary Public

VICTORIA A. WOODS  
NOTARY PUBLIC  
STATE OF WASHINGTON  
COMMISSION EXPIRES  
February 21, 2003

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