



08-12-1999



U.S. PATENT AND TRA.

101115857

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Case Docket No. 990838

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Assistant Commissioner for Patents.
Please record the attached original documents or copy thereof.

1. Name of conveying party:

ZEON CHEMICALS INCORPORATED

Street Address:

4111 Bells Lane
Louisville, Kentucky 40211

Also located at Street Address:

Suite 1211, Three Continental Towers, 1701 Golf Road
Rolling Meadows, Illinois 60008

Additional name(s) of conveying party(ies) attached? Yes No XX

8-9-99

2. Name and address of receiving party:

Name: **ZEON CHEMICALS L.P.**

Street Address:

4111 Bells Lane
Louisville, Kentucky 40211

Additional name(s) & address(es) attached? Yes No XX

3. Nature of conveyance: XX **Transfer of Assignee**

Execution Date: **July 21, 1999**

08/11/1999 DCOATES 00000111 4296007

01 FC:581

560.00 00

4. Application number(s) or patent number(s):

A. Patent Application No.(s)

B. Patent No.(s)

4,296,007, issued October 20, 1981

4,408,013, issued October 4, 1983

If this document is being filed together with a new application, the execution date of the application is: _

Additional numbers attached? Yes **XX** No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Armstrong, Westerman, Hattori,
McLeland & Naughton
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006

6. Total number of applications and patents involved: 14

7. Total fee (37 CFR 3.41). \$ **560.00**

XX Check enclosed

9. Deposit Account No.: **01-2340**

10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.



Date: **August 9, 1999**

Nicolas E. Seckel
Reg. No.: 44,373

Total number of pages including cover sheet: 5

NES/tmb

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4. Additional application number(s) or patent number(s):

A. Patent Application No.(s)

B. Patent No.(s)

4,463,136, issued July 31, 1984
4,529,766, issued July 16, 1985
4,645,801, issued February 24, 1987
5,008,345, issued April 16, 1991
5,017,630, issued May 21, 1991
5,079,304, issued January 7, 1992
5,081,194, issued January 14, 1992
5,166,227, issued November 24, 1992
5,319,036, issued June 7, 1994
5,385,980, issued January 31, 1995
5,589,550, issued December 31, 1996
5,612,418, issued March 18, 1997

Additional numbers attached? Yes No XX

NES/tmb

U.S. ASSIGNMENT

WHEREAS, **Zeon Chemicals Incorporated**, a corporation duly organized under the laws of Delaware, having an office and place of business at 4111 Bells Lane, Louisville 40211, Kentucky, previously also known as **Zeon Chemicals U.S.A., Inc.**, a corporation duly organized under the laws of Delaware, having an office and place of business at 4111 Bells Lane, Louisville, Kentucky 40211, and another office and place of business at Suite 1211, Three Continental Towers, 1701 Golf Road, Rolling Meadows, Illinois 60008, is the assignee of the inventions described and claimed in United States Letters Patent Nos.

4,296,007 issued October 20, 1981,

4,408,013 issued October 4, 1983,

4,463,136 issued July 31, 1984,

4,529,766 issued July 16, 1985,

4,645,801 issued February 24, 1987,

5,008,345 issued April 16, 1991,

5,017,630 issued May 21, 1991,

5,079,304 issued January 7, 1992,

5,081,194 issued January 14, 1992,

5,166,227 issued November 24, 1992,

5,319,036 issued June 7, 1994,

5,385,980 issued January 31, 1995,

5,589,550 issued December 31, 1996, and

5,612,418 issued March 18, 1997,

and any Letters Patent of the United States obtained from any continuation, divisional, renewal, substitute or reissue of said Letters Patent for the full term or terms for which the same may be granted, by virtue of deeds of assignment recorded in the U.S. Patent and Trademark Office;

WHEREAS, **Zeon Chemicals Incorporated**, hereinafter ASSIGNOR in this Assignment, wishes to assign and transfer to **Zeon Chemicals L.P.**, a limited partnership duly organized under the laws of Delaware, having an office and a place of business at 4111 Bells Lane,

Louisville, Kentucky 40211, hereinafter ASSIGNEE in this Assignment, and said ASSIGNEE wishes to acquire Zeon Chemicals Incorporated's interest in said inventions and said United States Letters Patent;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt whereof is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE its interest in said inventions and said Letters Patent, and any Letters Patent of the United States obtained from any continuation, divisional, renewal, substitute or reissue of said Letters Patent for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment and sale.

ASSIGNOR further covenants that it will, upon request by the ASSIGNEE promptly provide all pertinent facts and documents relating to said inventions and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, have hereunto set hand and seal this 21st day of July, 1999.

Assignor: Zeon Chemicals Incorporated

By: Jeffrey T. Storch

Name: JEFFREY T. STORCH

Title: VICE - PRESIDENT

page 2