

08-10-1999

PATENT



101112982

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10617 U.S. PTO
09/365194

08/02/99

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Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other 09/365,194
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached Execution Date
Month Day Year

Name (line 1) Berg, Mark R. 07/23/1999

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1) Fuller, Billy G. 07/13/1999

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Lucent Technologies Inc.

Name (line 2)

Address (line 1) 600 Mountain Avenue

Address (line 2)

Address (line 3) Murray Hill NJ 07974-0636
City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name James M. Graziano

Address (line 1) Duft, Graziano & Forest, P.C.

Address (line 2) 1790 - 30th Street, Suite 140

Address (line 3)

Address (line 4) Boulder, CO 80301-1018

08/09/1999 VBROWN 00000004 122325 09365194
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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number (303) 449-9497

Name James M. Graziano

Address (line 1) Duft, Graziano & Forest, P.C.

Address (line 2) 1790-30th Street, Suite 140

Address (line 3)

Address (line 4) Boulder, CO 80301-1018

Pages Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
07/23/1999

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

12-2325

Authorization to charge additional fees:

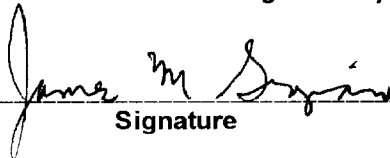
Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

James M. Graziano

Name of Person Signing



Signature

2 AUGUST 1999

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Sueper, David Martin

Execution Date
Month Day Year

07/29/1999

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT AND AGREEMENT

Brighton MS

For value received, we, Mark R. Berg of Boulder, in the County of Boulder and State of Colorado, Billy G. Fuller of Westminster, in the County of Adams and State of Colorado, and David Martin Sueper of Broomfield, in the County of Adams and State of Colorado, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **SYSTEM FOR SIMPLIFIED OPERATING SYSTEM SHUTDOWN** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Mark R. Berg
Mark R. Berg

Date: July 23, 1999

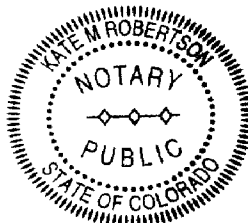
United States of America)

State of Colorado) ss.:

County of ~~Boulder~~ Adams)

On this 23rd day of July, 1999, before me personally came Mark R. Berg, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Kate M. Robertson
Notary Public



MY COMMISSION EXPIRES:
July 21, 2002

Billy G. Fuller

County of ^{wake} ~~Adams~~ ^{mtt})

PATENT
REEL: 010146 FRAME: 0469

David Martin Sueper
David Martin Sueper

Date: 7/29/99

United States of America)

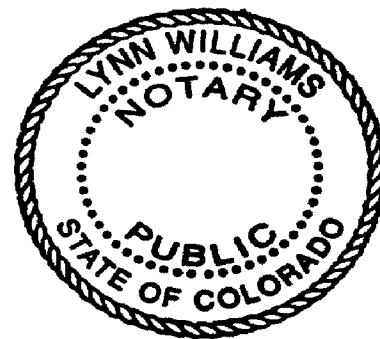
State of Colorado) ss.:

County of Adams)

On this 29th day of July, 1999, before me personally came David Martin Sueper, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Lynn Williams
Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636



My Commission Expires 01/12/2003