

08-10-1999

ER SHEET U.S. DEPARTMENT OF

Patent and Trademark Office

To the Honorable Commissioner
thereof.

101112824

and the attached original documents or copy

1. Name of conveying party(ies):

Scott R. Andrews (1)
Norman Roger Lampert (2)
Robert Wayne Mock (3)
Gregory A. Sandels (4)
Naif Taleb Subh (5)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.
Street Address: 600 Mountain Avenue
P.O. Box 636
Murray Hill, NJ 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: (1) 07/27/99; (2) 07/28/99; (3) 07/27/99;
(4) 07/27/99; (5) 07/27/99

4. Application number(s) or patent number(s):

09363906

If this document is being filed together with a new application, the execution date of the application is: 07/28/99

A. Patent application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

David P. Kelley, Esq.
Thomas, Kayden, Horstemeier & Risley
100 Galleria Parkway
Suite 1500
Atlanta, Georgia 30339

6. Total number of applications/patents involved: ☒ 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number:

12-2325

(Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature.

08/04/1999 MAILED 00000010 122325 09363906
08/04/1999 10:00 AM
I, the undersigned, declare under oath that the foregoing information is true and correct and any attached copy is a true copy of the original document.

David P. Kelley.

Name of Person Signing

Signature

Date

Lucent #: 60103-1850 Total number of pages including cover sheet, attachments, and document: ☒ 7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT AND AGREEMENT

Dacula

GWINNETT

For value received, we, **Scott R. Andrews** of ~~Marietta~~ in the County of ~~Cobb~~ and State of Georgia, **Norman Roger Lampert** of Norcross in the County of Gwinnett and State of Georgia, **Robert Wayne Mock** of Lawrenceville in the County of Gwinnett and State of Georgia, **Gregory A. Sandels** of Buford in the County of Gwinnett and State of Georgia, and **Naif Taleb Subh** of Lawrenceville in the County of Gwinnett and State of Georgia, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **TUNING OPTICAL FIBER CONNECTOR** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Scott R. Andrews
Scott R. Andrews

Date: 7/27/99

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 27th day of July, 1999, before me personally came **Scott R. Andrews**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams
Notary Public

Notary Public, Forsyth County, Georgia
My Commission Expires September 28, 2001

Norman Roger Lampert
Norman Roger Lampert

Date: July 28, 1999

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 28th day of July, 1999, before me personally came **Norman Roger Lampert**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Margaret Preston Fugate
Notary Public

Notary Public, DeKalb County, Georgia
My Commission Expires April 4, 2003


Robert Wayne Mock

Date: 7/27/99

United States of America)


State of Georgia) ss.:

County of Gwinnett)

On this 27th day of July, 1999, before me personally came **Robert Wayne Mock**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Notary Public, Forsyth County, Georgia
My Commission Expires September 28, 2001


Gregory A. Sandels

Date: 7-27-99

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 27th day of July, 1999, before me personally came **Gregory A. Sandels**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Notary Public, Forysth County, Georgia
My Commission Expires September 28, 2001

Naif T. Subh
Naif Taleb Subh

Date: 7/27/99

United States of America)

State of Georgia) ss.:

County of Gwinnett)

On this 27th day of July, 1999, before me personally came **Naif Taleb Subh**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams
Notary Public

Notary Public, Forsyth County, Georgia
My Commission Expires September 28, 2001

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636