

08-12-1999

U.S. Department of Commerce
Patent and Trademark Office
PATENT



101114853

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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☐ New

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Document ID#

☐ Correction of PTO Error
Reel # Frame #

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Conveyance Type

☐ Assignment ☐ Security Agreement

☐ License ☒ Change of Name and address

☐ Merger ☐ Other

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Name (line 1) Execution Date
Month Day Year

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

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Name (line 1)

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Address (line 1)

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If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number (502) 584-1135

Name John F. Salazar, Esq.

Address (line 1) Middleton & Reutlinger

Address (line 2) 2500 Brown & Williamson Tower

Address (line 3) Louisville, KY 40202

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/031,501

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes

☐

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John F. Salazar, Esq., Reg. No. 39,353

Name of Person Signing

Signature

Date

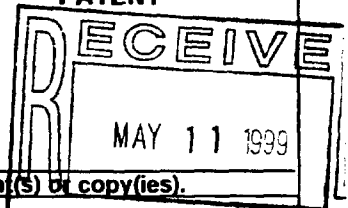
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Expires 06/30/99
OMB 0651-017

05-19-1999



101035768

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Reel # 9000 Frame # 0311

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☐ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☒ Other Change of Assignee's name and address

U.S. Government
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) British-American Tobacco Company Limited

Name (line 2) Westminster House, 7 Millbank
London, England SW1P 3JE

Execution Date
Month Day Year
 5/25/90

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) British American Tobacco (Investments) Limited

Name (line 2) Globe House

Address (line 1) 1 Water Street

Address (line 2)

Address (line 3) London United Kingdom WC2R 3LA

City State/Country Zip Code

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Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name John Salazar

Address (line 1) Middleton & Reutliner

Address (line 2) 2500 Brown & Williamson Tower

Address (line 3) Louisville, KY 40202

Address (line 4)

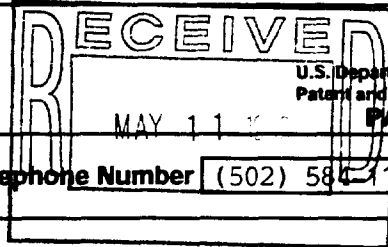
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PATENT
REEL: 010153 FRAME: 0388



Correspondent Name and Address

Area Code and Telephone Number (502) 584-1135

Name John F. Salazar, Esq.

Address (line 1) Middleton & Reutlinger

Address (line 2) 2500 Brown & Williamson Tower

Address (line 3) Louisville, KY 40202

Address (line 4)

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has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved. #

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John F. Salazar, Esq.

Name of Person Signing

Signature

5/7/99

Date



I certify that this is a true
copy of the original document

Margot Ruth Walford
Margot Ruth WALFORD
Assistant Company Secretary

THE COMPANIES ACT 1985

Company No. 74974

The Registrar of Companies for England and Wales hereby certifies that **BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED** (originally called **BRITISH-AMERICAN TOBACCO COMPANY, LIMITED**) was incorporated under the Companies Acts 1862 to 1900 as a limited company on 29th September 1902, and re-registered under the Companies Acts 1948 to 1980 as a public company on 15th July 1981 as **BRITISH-AMERICAN TOBACCO P.L.C.**, then re-registered under the Companies Acts 1948 to 1980 as a private company on 2nd April 1982 as **BRITISH-AMERICAN TOBACCO COMPANY LIMITED** which name was changed by special resolution on 24th April 1998 to **BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED**.

The Registrar further certifies that according to the latest notice given by the company, the situation of the registered office is **GLOBE HOUSE, 1 WATER STREET, LONDON WC2R 3LA.*******

Given at Companies House, Cardiff, the 10th December 1998

J. M. Mayor
MRS. J. M. MAYOR
for the Registrar of Companies

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:
Case, Paul David

Serial No. 09/031,501

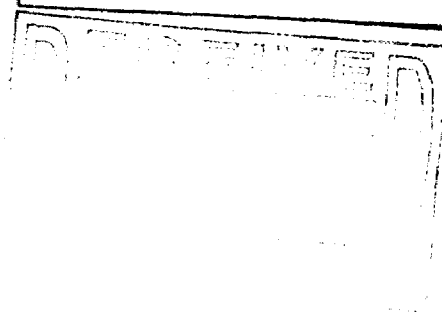
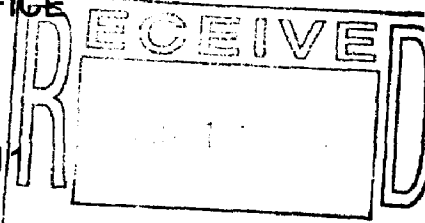
Filed: 2/26/98

For: IMPROVEMENTS RELATING TO
SMOKING ARTICLES

filed
8-10-99

Reel/Frame: 9000/0311

Recordation Date: 2/26/98



REQUEST FOR CHANGE OF NAME AND ADDRESS

U.S. Patent and Trademark Office
ASSIGNMENT DIVISION
BOX ASSIGNMENTS, CG-4
1213 Jefferson Davis Hwy., Suite 320
Washington, D.C. 20231

Dear Sir:

Corresponding to your Document ID No. 101035768, enclosed are the following:

Please amend your records where appropriate.

1. A Transmittal Form;
2. A New Cover Sheet;
3. Copies of the previously filed Cover Sheet, including the official date of receipt;
4. Certified copy of an extract from the Register of Companies House;
5. Return postcard for evidencing receipt of above.

Respectfully submitted,

John F. Salazar, Esq.

Reg. No. 39,353

MIDDLETON & REUTLINGER

2500 Brown & Williamson Tower

Louisville, Kentucky 40202

Date: August 6, 1999
encl