

8-6-99

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

08-16-1999



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U.S. Department of Commerce
Patent and Trademark Office
PATENT

16535 U.S. PTO
09/370067
08/06/99

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID# _____
- Correction of PTO Error
Reel # _____ Frame # _____
- Corrective Document
Reel # _____ Frame # _____

Conveyance Type

- Assignment
 - Security Agreement
 - License
 - Change of Name
 - Merger
 - Other _____
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- Departmental File
 - Secret File

09370067

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Jeffrey M. Garibaldi Execution Date 07/29/99
 Month Day Year

Name (line 2) _____

Second Party

Name (line 1) Rogers C. Ritter Execution Date 07/29/99
 Month Day Year

Name (line 2) _____

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Stereotaxis, Inc. If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) _____

Address (line 1) 4041 Forest Park Avenue

Address (line 2) _____

Address (line 3) St. Louis Missouri 63108
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

Address (line 4) _____

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
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Correspondent Name and Address Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. #

Application Number(s) or Patent Number(s) Mark if additional numbers attached
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treaty (PCT)
Enter PCT application number PCT PCT
only if a U.S. Application Number has not been assigned. PCT PCT PCT

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bryan K. Wheelock
Name of Person Signing


Signature

06 AUGUST 1999
Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

U.S. PTO
09/370067
08/06/99

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year
07/29/99

Name (line 1) Gerard H. Epplin

Name (line 2)

Execution Date
Month Day Year
07/29/99

Name (line 1) Walter M. Blume

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Grid for Patent Application Number(s) with 5 rows and 3 columns of input boxes.

Grid for Patent Number(s) with 5 rows and 3 columns of input boxes.

PATENT

ASSIGNMENT

WHEREAS, we, Jeffrey M. Garibaldi of 3519 Burgundy Way Estate Drive, St. Louis, Missouri 63129, Rogers C. Ritter of 117 Chestnut Ridge Road, Charlottesville, Virginia 22911, Gerard H. Epplin of 2775 Diamond Ridge Lane, St. Louis, Missouri 63131, and Walter M. Blume of 446 Oak Street, Webster Groves, Missouri 63119 have invented certain new and useful improvements for a *Method and Apparatus for Magnetically Controlling Catheters in Body Lumens and Cavities* for which we have this same day executed an application for Letters Patent in the United States identifying us as the only inventors thereof (said application being identified as Howell & Haferkamp, L.C., Docket 3176-1029); and

WHEREAS, Stereotaxis, Inc., a corporation of the State of Delaware having its principal office and place of business at 4041 Forest Park Avenue, St. Louis, Missouri 63108, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Jeffrey M. Garibaldi, Rogers C. Ritter, Gerard H. Epplin, and Walter M. Blume, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Stereotaxis, Inc., its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by us concurrently herewith preparatory to filing for Letters Patent, in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said Stereotaxis, Inc. in accordance with this Assignment.

IN WITNESS WHEREOF, I have hereunto set my hand the 29th day of

July 1999.


Jeffrey M. Garibaldi

STATE OF

⋮

COUNTY OF

On this 29 day of July 1999 before me, a Notary Public, within and for the County of Jefferson State of Mo, personally appeared Jeffrey M. Garibaldi to me known to be the person described in and who executed the foregoing assignment and acknowledged that they executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "
Ann L. Stuart, Notary Public
Jefferson County, State of Missouri
My Commission Expires 12/14/2002


Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand the 29th day of

July, 1999.


Rogers C. Ritter

STATE OF

⋮

COUNTY OF

On this 29 day of July 1999 before me, a Notary Public, within and for the County of Jefferson State of Mo, personally appeared Rogers C. Ritter to me known to be the person described in and who executed the foregoing assignment and acknowledged that they executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "
Ann L. Stuart, Notary Public
Jefferson County, State of Missouri
My Commission Expires 12/14/2002


Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand the 29 day of

July 1999.

Gerard H. Epplin
Gerard H. Epplin

STATE OF

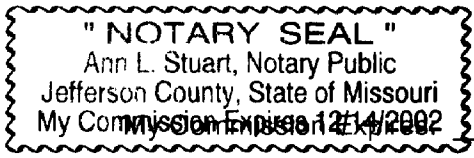
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COUNTY OF

On this 29 day of July 1999 before me, a Notary Public, within and for the County of Jefferson, State of Mo, personally appeared Gerard H. Epplin to me known to be the person described in and who executed the foregoing assignment and acknowledged that they executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Ann L. Stuart
Notary Public



IN WITNESS WHEREOF, I have hereunto set my hand the 29th day of

July 1999.

Walter M. Blume
Walter M. Blume

STATE OF

.....

COUNTY OF

On this 29 day of July 1999 before me, a Notary Public, within and for the County of Jefferson, State of Mo, personally appeared Walter M. Blume to me known to be the person described in and who executed the foregoing assignment and acknowledged that they executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Ann L. Stuart
Notary Public

