

Med 7/30/99

08-17-1999



Docket: ST999013/1296P

101120230

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Department of Commerce  
Patent & Trademark Office

10525 U.S.P.  
09/364190  
07/30/99

To the Assistant Commissioner of Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Sheila Irene Sholars**

2. Name and address of receiving party(ies):  
Name: **International Business Machines Corporation**  
Street Address: **New Orchard Road**  
City: **Armonk** State: **NY** ZIP: **10504**

Additional name(s) of conveying party(ies) attached  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment

Execution Date(s): July 29, 1999

4. Application number(s) or patent number(s): **09/364190**

If this document is being filed together with a new application, the execution date of the application is: July 29, 1999.

A. Patent Application No.(s) Additional numbers attached?  Yes  No

B. Patent No.(s) Additional numbers attached:  Yes  No

08/06/1999 TDAE1 00000025 09364190  
03 FC:581  
04-FC:998

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: **Joseph A. Sawyer, Jr.**  
Internal  
Address: **Sawyer & Associates**  
Street  
Address: **P.O. Box 51418**  
**Palo Alto, California 94303**

6. Total Number of applications and patents involved: **One**

7. Total fee (37 CFR 3.41).....\$ **40.00**  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit Account Number: **02-2120** (Sawyer & Associates)  
(Attach copy of this page)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

7/30/99  
Date

Joseph A. Sawyer, Jr., Reg. No. 30,801

Total number of pages including cover sheet, attachments, and documents: 2

**ASSIGNMENT**

Whereas, I

**INVENTOR AND CITY** (1) **Sheila Irene Sholars** of **Holister,**  
**County of San Benito,** and State of **California**

has invented certain improvements in

**TITLE** **METHOD AND SYSTEM FOR AUTOMATING DEPENDENT ENTITY ACTIONS IN RESPONSE TO INFORMATION CHANGE**

and executed, respectively, a United States patent application therefor on

**DATE(s) THAT THE INVENTOR(s) SIGNED THE DECLARATION** (1) July 29, 1999

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

**SIGNED:**

**CITY** (1) at San Jose Sheila Irene Sholars **SIGNATURE INVENTOR**  
**DATE** on 7/29, 1999