

08-17-1999



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PATENT APPLICATION

*mid  
8.12.99*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of: )  
 )  
SOKOL )  
 )  
Serial No.: 08/784,247 )  
 )  
Filed: January 15, 1997 )  
 )  
Atty. File No.: 2848-27 )  
 )  
For: "USE OF ANTIOXIDANT AGENTS )  
TO TREAT CHOLESTATIC LIVER )  
DISEASE" )

Group Art Unit: 1614  
Examiner: CRIARES, T.

RECORDATION OF ASSIGNMENT

CERTIFICATE OF MAILING
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 ON August 6, 1999.
SHERIDAN ROSS P.C.
BY: <i>Sheridan C. Ross</i>

BOX ASSIGNMENTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

Ronald Sokol

2. Name and address of receiving party(ies):

University Technology Corporation  
3101 Iris Ave., Suite 250  
Boulder, Colorado 80301

3. Nature of Conveyance:

- |                                     |                    |                          |                |
|-------------------------------------|--------------------|--------------------------|----------------|
| <input checked="" type="checkbox"/> | Assignment         | <input type="checkbox"/> | Merger         |
| <input type="checkbox"/>            | Security Agreement | <input type="checkbox"/> | Change of Name |
| <input type="checkbox"/>            | Other: _____       |                          |                |

Execution Date: July 8, 1999

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

40.00 DP

00000215 08/784247

PATENT 08/16/1999-NTM11

01 FC:501

A. Patent Application No.(s): 08/784,247

B. Patent No.(s): None.

5. Name and address of party to whom correspondence concerning documents should be mailed:

Theresa A. Brown  
Sheridan Ross P.C.  
1700 Lincoln Street, Suite 3500  
Denver, Colorado 80203

6. Total number of applications and patents involved:

1.

7. Total fee (37 CFR 3.41).....\$40.00.

Enclosed

Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.

Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 4

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Theresa A. Brown  
Registration No. 32,547  
1700 Lincoln Street, Suite 3500  
Denver, Colorado 80203  
(303) 863-9700

Date: 6 August 1999

j:\28481-27\pto-assignment recordation

ASSIGNMENT

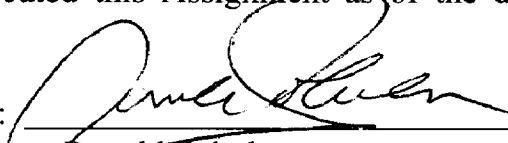
WHEREAS, I, Ronald Sokol, of 2500 So. Jasmine Street, Denver, Colorado, have invented a certain new and useful invention entitled "USE OF ANTIOXIDANT AGENTS TO TREAT CHOLESTATIC LIVER DISEASE," for which an application for Letters Patent of the United States has been prepared and filed on January 15, 1997, receiving Serial No. 08/784,247, and further identified as Attorney File No. 2848-27;

NOW THEREFORE, be it known that I, the said inventor, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, University Technology Corporation, a Colorado body corporate having a place of business at 3101 Iris Ave., Suite 250, Boulder, Colorado 80301, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; I also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; I warrant that I have made no assignment of the invention, application or patent therefor to a party other than University Technology Corporation and I am under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and I further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to University Technology Corporation, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, I have executed this Assignment ~~as~~ of the date indicated hereunder.

Date: 7-8-99

By:   
\_\_\_\_\_  
Ronald Sokol

STATE OF COLORADO                    )  
                                                  ) ss.  
COUNTY OF                            )

Before me, a Notary Public in and for said County and State, personally appeared Ronald Sokol, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 9<sup>th</sup> day of July, 1999.

Rebecca L. Adams  
Notary Public

My Commission Expires: 5/15/01