08-17-1999	
FORM PTO-1595 RECO	Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies):	2. Name and Address of receiving party(ies)
DAVID A. ACKERMAN THOMAS L. KOCH Additional name(s) of conveying party(ies) attached? Yes _X_No	Name: LUCENT TECHNOLOGIES INC. 600 Mountain Avenue, P.O. Box 636 Murray Hill, New Jersey 07974-0636
3. Nature of conveyance:	
<u>X</u> Assignment <u>Merger</u> <u>Security Agreement</u> <u>Change of Name</u>	Additional name(s) & address(es) attached?Yes X_No
Other	
Execution Date: July 21 and 27, 1999 respectively	
4. Application number(s) or patent number(s):	09/365085
If this document is being filed together with a new application, the execution date of the application is July 21 and 27, 1999 respectively A. Patent Application No.(s) B. Patent No.(s)	
Additional numbers attached? Yes _X No	
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications and patents involved: <u>1</u>
James J. DeCarlo, Esq. STROOCK & STROOCK & LAVAN LLP 180 Maiden Lane New York, New York 10038-4982	<ul> <li>7. Total fee (37 CFR 3.41) \$ _40.00</li> <li> Enclosed</li> <li>_X_ Any deficiency is authorized to be charged to Deposit Account No. 12-2325.</li> </ul>
	8. X Charge to Deposit Account No. 12-2325
	(Attach duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
James J. DeCarlo, Reg. No. 36,120	July 30, 1999
Name of Person Signing Signature	Date Total number of pages including cover sheet, attachments, and document:
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments	

Washington, D.C. 20231

## ASSIGNMENT AND AGREEMENT

For value received, we, David A. Ackerman, 7 East Prospect Street, Hopewell, New Jersey 08525, in the County of Mercer and State of New Jersey and Thomas L. Koch, 6 Forest Park Court, Holmdel, New Jersey 07733, in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue., P.O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to A METHOD AND DEVICE FOR OBTAINING A DESIRED PHASE OF OPTICAL CHARACTERISTIC OF A FABRY-PEROT ETALON described in an application for Letters Patent of the United States, executed by me of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid. and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate. We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

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DAVID A. ACKERMAN

Date: 7/2/199

United States of America ) State of fur firm )ss.: County of Unit )

**Notary Public** 

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THOMAS L. KOCH

Date:

United States of America

State of New Tersey )ss.: County of Union )

On this 27 day of 400, 19, 19, before me personally came THOMAS L. KOCH, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same. 7

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Lucent Technologies Inc. 600 Mountain Avenue (Room 3C-512) P. O. Box 636 Murray Hill, New Jersey 07974-0636 ANGELINA CAGLIOSTRO A NOTARY PUBLIC OF NEW JERSEY My Commission Expires 1/21/2004

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