

08-18-1999

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Attorney Docket No. 018941-000200US



FORM PTO-1595 (Rev. 6-93) 101119785 U.S. Department of Commerce Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies): <p>Wilhelm GRUISSEM and John JELESKO</p> Additional name(s) of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.	2. Name and address of receiving party(ies): Name: The Regents of the University of California Internal Address: Street Address: 1111 Franklin Street, 12 <sup>th</sup> Floor City: Oakland State: California ZIP: 04607 5200
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: June 29, 1999	Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/134,014 B. Patent No(s):

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved 1
Name: Kevin L. Bastian TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 <sup>th</sup> Floor San Francisco, California 94111-3834 (415) 576-0200	7. Total fee (37 CFR 3.41): -----\$40.00 <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge Fees to Deposit Account <input checked="" type="checkbox"/> Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8. Deposit account number: 20-1430

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*

Kevin L. Bastian  
 Name of Person Signing  
 Atty Reg. No. 34,774

Signature

8/12/99  
 Date

Total number of pages including cover sheet, attachments and document 3

10. Change Correspondence Address to that of Part 5?  Yes  No

OMB No. 0651-0011 (exp. 4/94)

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Asst. Commissioner for Patents  
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1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Masaki FURUYA

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

Hitachi, Ltd.  
Hatoyoma, Saitama, 350-0395, Japan

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

SF 150911 v1  
SF 1018581 v1

**ASSIGNMENT**

U.C. Case No. B98-052

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Wilhelm Gruissem
- 2. John Jelesko
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**HOMOLOGOUS RECOMBINATION IN PLANTS**

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (c)  U.S. application serial no. 09/134,014,  
filed on August 14, 1998
- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

29 day of June, 1999  
29 day of JUNE, 1999  
 \_\_\_ day of \_\_\_\_\_, 19\_\_  
 \_\_\_ day of \_\_\_\_\_, 19\_\_

Signature of Inventor(s)

1. John Jelesko  
 2. W. Gruissem  
 \_\_\_\_\_  
 \_\_\_\_\_

