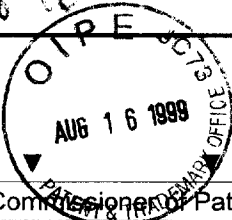


memo 8-16-99

08-18-1999

Docket No.: 98,593

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
Copyright 1996-97 LegalStar  
P08A/REV02



101120455

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**James Edward Colgate**  
**Michael A. Peshkin**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: Northwestern University

Address: 633 Clark Street

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other

Execution Date: March 9, 1999

City: Evanston State/Prov.: IL  
Country: USA ZIP: 60208-1111

Additional name(s) & address(es)  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.	Filing date	B. Patent No.(s)
08/959,357	10/28/97	

Additional numbers  Yes  No

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
 Authorized to be charged to deposit account

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George I. Lee

Registration No. 39,269

Address: McDONNELL BOEHNEN HULBERT  
& BERGHOFF  
300 South Wacker Drive, Suite 3200

City: Chicago State/Prov.: IL  
Country: USA ZIP: 60611

8. Deposit account number:  
13-2490

08/18/1999 NTHA11 00000192 08959357

DO NOT USE THIS SPACE

01903501 Statement and signature. 40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George I. Lee

August 10, 1999

Name of Person Signing

Signature

**3**

Date

Total number of pages including cover sheet, attachments, and

**ASSIGNMENT**

**WHEREAS**, we Michael A. Peshkin, residing at 927 Asbury Ave., Evanston, IL 60202, and James Edward Colgate, residing at 2210 Asbury, Evanston, IL 60201, hereinafter called "ASSIGNORS", have invented certain new and useful improvements in "Passive Constraint Devices Using Non-Holonomic Transmission Elements" for which an application for United States Letters Patent was executed on even date herewith; and


**WHEREAS**, **NORTHWESTERN UNIVERSITY**, hereinafter called "ASSIGNEE", having a place of business at 633 Clark Street, Evanston, Illinois 60208-1111 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries.

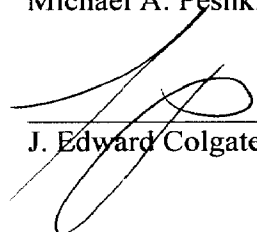
**NOW, THEREFORE**, in consideration of the sum of One Dollars (\$1.00) to us in hand paid, the receipt whereof is hereby acknowledged, and other valuable consideration, we the ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE the full and exclusive entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof.

We hereby authorize and request the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns.

FURTHER, we agree that we will communicate to said ASSIGNEE or its representative any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths or declarations, and generally cooperate with said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

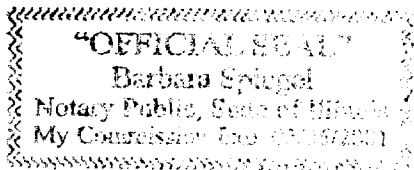
**IN WITNESS WHEREOF**, we have hereunto set our hands this 9<sup>th</sup> day of March, 1999.

  
\_\_\_\_\_  
Michael A. Peshkin (Seal)

  
\_\_\_\_\_  
J. Edward Colgate (Seal)

STATE OF ILLINOIS )  
 )SS.  
COUNTY OF COOK )

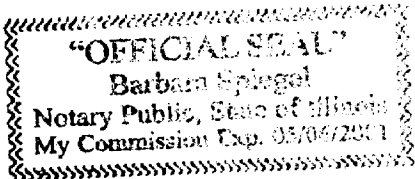
On this 9th day of March, 1999, Michael A. Peshkin appeared before me, a Notary Public in and for the State and County aforesaid, and acknowledged that \_\_\_\_\_ freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.



Barbara Spiegel  
Notary Public  
My commission expires: 5/06/2001

STATE OF ILLINOIS )  
 )SS.  
COUNTY OF COOK )

On this 9th day of March, 1999, J. Edward Colgate appeared before me, a Notary Public in and for the State and County aforesaid, and acknowledged that \_\_\_\_\_ freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.



Barbara Spiegel  
Notary Public  
My commission expires: 5/06/2001

DP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 98,593)



In re Application of: )  
Colgate, et al. )  
Serial No.: 08/959,357 (Batch No. 911) )  
Filed: October 28, 1997 )  
For: COBOTS )

Art Unit: 2837

Examiner: B. Sircus

Asst. Commissioner for Patents  
Washington, D.C. 20231-9999

TRANSMITTAL LETTER

1. We are transmitting herewith the attached papers for the above identified patent application:

- Assignment Recordation Cover Sheet
- Assignment (2 pages)
- Return Receipt Postcard

2. **GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

3. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 herein-above, are being deposited with the United States Postal Service with sufficient postage as "First Class Mail" in an envelope addressed to: Box Assignment, Asst. Commissioner for Patents, Washington, D.C. 20231, on this 10<sup>th</sup> day of August, 1999.

By: George I. Lee  
George I. Lee  
Registration No. 39,269