

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

RECORD



T

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings 0 0 0

101146046

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Tatsushi Makino

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: NEC Corporation

Internal Address: _____

Street Address: 7-1, Shiba 5-chome, Minato-ku,
Tokyo, Japan

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

4-8-97

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: April 1, 1997

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 1, 1997

A. Patent Application No.(s)

B. Patent No.(s)

08/827730

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Sean M. McGinn, Esq.

Name: Whitham, Curtis, Whitham & McGinn

Internal Address: _____

Street Address: Reston International Center

11800 Sunrise Valley Drive, Suite 900

City: Reston State: VA ZIP: 20191

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

(Fee included in total fee on transmittal sheet)

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

40E
NO 3rd
FO

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Debra Ann DeBello

Name of Person Signing

Debra Ann DeBello

Signature

April 8, 1997

Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 010166 FRAME: 0175

PATENT OR DESIGN: SOLE OR JOINT

(U.S. and Foreign Rights)

ASSIGNMENT FOR UNFILED APPLICATION FOR UNITED STATES
PATENT*(Sole or Joint Inventors)*FULL NAME(S) AND
POST OFFICE ADDRESS(S)
OF INVENTOR(S) (including
country)WHEREAS: TATSUSHI MAKINO
c/o NEC Corporation
7-1, Shiba 5-chome, Minato-ku,
Tokyo, JapanTITLE OF
INVENTION(hereinafter referred to as ASSIGNOR), have invented and
own a certain invention entitled:METHOD AND APPARATUS FOR ADJUSTING
PHASE OF INTERNAL CLOCK SIGNALfor which application for Letters Patent of the United States
has been executed on even date herewith,FULL NAME AND
ADDRESS (including country)
OF ASSIGNEEWHEREAS: NEC Corporation
7-1, Shiba 5-chome, Minato-ku,
Tokyo, Japan(hereinafter referred to as ASSIGNEE), is desirous of acquiring
the entire interest in, to and under said invention and in,
to and under Letters Patent or similar legal protection to be
obtained therefor in the United States and in any and all foreign
countries.NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:
Be it known that in consideration of the payment by ASSIGNEE
to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which
is hereby acknowledged, and for other good and valuable consideration,
ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and
exclusive right, title and interest to said invention in the United States
and its territorial possessions and in all foreign countries and to all
Letters Patent or similar legal protection in the United States and its
territorial possessions and in any and all foreign countries to be
obtained for said invention by said application or any continuation,
division, renewal, substitute or reissue thereof or

(Assignment for Unfiled Application for United States Patent—page 1 of 2)

any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this April 1, 1997

(Date of Signing)

Warning

DATE OF SIGNING:

This must be the same as the date of signing of the declaration and power of the patent or design application.

SIGNATURE(S)

The signature(s) must correspond with the name(s) of the inventor(s) above.

Tatsushi Makino 
(Signature) TATSUSHI MAKINO

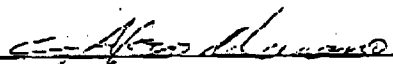
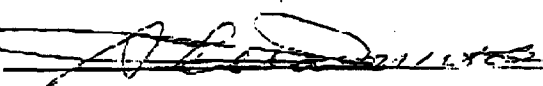
(Signature)

(Signature)

(Signature)

(Signature)

Witness:

Instruction
sheet for
assignment
Signing

All information, names of inventor(s) and assignee, title of invention and particulars of application should be completed.

No witnessing or legalization is necessary. However, if this assignment is legalized then it will only be prime facie evidence of the execution of the assignment.

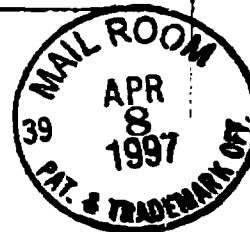
(Assignment for Unfiled Application for United States Patent—page: 2 of 2)

HAND DELIVERED

REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER

Docket No. 97 USEP122 In re: ☒ patent/ ☐ trademark application ofFor Takashi Makino
Method And Apparatus For Adjusting Phase
Of Internal Clock System23 Pages in Specification 18 No. of claims [3 independent; 15 dependent]5 Sheets of Drawings 1 pg. Abstract ☐ Specimens☒ Transmittal Sheet ☐ Fee Calculation Form☒ Combined Declaration and Power of Attorney ☐ Ver. Stmt/Sm Entity Status☒ Assignment (2 pgs.) ☒ Recordation Form Cover Sheet☒ Information Disclosure Statement ☒ PTO-1449 and associated art (1 docs.)☒ Priority Document(s) (1) ☒ Other Change Of Address☒ Fees \$810.⁰⁰ Deposit Account No. (if applicable) _____
(770.⁰⁰ filing fee; 40.⁰⁰ Assignment charge; _____ Extra Claims fee)

SERIAL NO. _____

Client Matter No. 383012 AA Atty: Asst. Jmm:DD

HAND DELIVERED

REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER

Docket No. 97 USEP122 In re: ☒ patent/ ☐ trademark application ofFor Takashi Makino
Method And Apparatus For Adjusting Phase
Of Internal Clock System23 Pages in Specification 18 No. of claims [3 independent; 15 dependent]5 Sheets of Drawings 1 pg. Abstract 705 Specimens PTO☒ Transmittal Sheet ☐ Fee Calculation Form 08827730☒ Combined Declaration and Power of Attorney ☐ Ver. Stmt/Sm Entity Status☒ Assignment (2 pgs.) ☒ Recordation Form Cover Sheet 8827730☒ Information Disclosure Statement ☒ PTO-1449 and associated art (1 docs.)☒ Priority Document(s) (1) ☒ Other Change Of Address☒ Fees \$810.⁰⁰ Deposit Account No. (if applicable) _____
(770.⁰⁰ filing fee; 40.⁰⁰ Assignment charge; _____ Extra Claims fee)

SERIAL NO. _____

Client Matter No. 383012 AA Atty: Asst. Jmm:DD