

08-19-1999

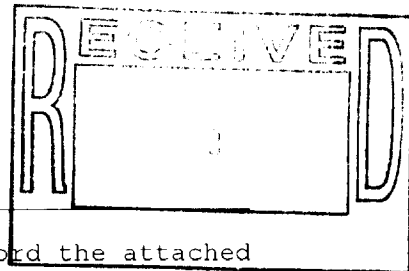
S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

*MD*  
*8.13.99*



101121850

EET



**PATENTS ONLY**

CASE 20180

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**F. HOFFMANN-LA ROCHE AG**

2. Name and address of receiving party(ies):

Name: **HOFFMANN-LA ROCHE INC.**

Internal Address: \_\_\_\_\_

Additional name(s) of conveying

party(ies) attached? \_\_\_ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

\_\_\_ Merger

\_\_\_ Security Agreement

\_\_\_ Change of Name

\_\_\_ Other \_\_\_\_\_

Street Address: **340 KINGSLAND STREET**

City: **NUTLEY** State: **NJ** Zip: **07110**

Execution Date: **JUNE 23, 1999**

Additional name(s) & address(es) attached:

\_\_\_ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

E. Patent No.(s)

USSN: 09/345,917

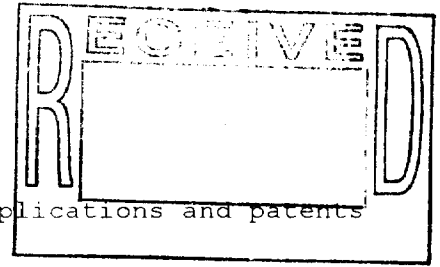
FILED: JULY 01, 1999

Additional numbers attached? \_\_\_ Yes ☒ No

08/18/1999 DC0ATES 00000267 082525 09345917

01 FC:581

(40.00 CH)



5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: ONE

Name: GEORGE W. JOHNSTON

Internal Address:

7. Total fee (37 CFR 3.41).....\$ 40.00

HOFFMANN-LA ROCHE INC.

☒ Authorized to be charged to deposit account

PATENT LAW DEPARTMENT

☒ Authorized any deficiencies to be charged to deposit account

Street address: 340 KINGSLAND STREET

8. Deposit account number:

08-2525

City: NUTLEY State: NJ Zip: 07110

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

PATRICIA S. ROCHA-TRAMALONI

Name of Person Signing

A handwritten signature in dark ink, appearing to read "Patricia S. Rocha-Tramaloni".

Signature

AUGUST 11, 1999

Date

CONTACT: Lou Ann Donatoni

TELEPHONE: (973) 235-4389

FAX: (973) 235-2363

Total number of pages including cover sheet, attachments and document: 3

# Assignment

We,

**F. Hoffmann-La Roche AG (Assignor), a Swiss Company**  
of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland)

hereby assign to

**HOFFMANN-LA ROCHE INC. (Assignee)**

a corporation organized and existing under and by virtue of the laws of the State of New Jersey, and having its principal place of business at Nutley, New Jersey, U.S.A.,

all of our right, title and interest within the United States of America and its territorial possessions in and to the invention described and claimed in a patent application entitled

## **PROCESS AND INTERMEDIATES FOR PREPARATION OF SUBSTITUTED PIPERIDINES**

which is about to be filed in the U.S. Patent Office in the name(s) of the inventor(s)

**Bruno Lohri, Rudolf Schmid, Eric Vieira**

and in respect of which a declaration and Power of Attorney was executed on:

**June 22, 1999**

and in and to said patent application and Letters Patent that may issue thereon.

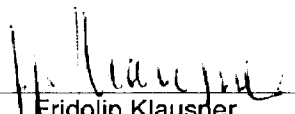
Said U.S. Patent Application is based upon the following foreign application(s):

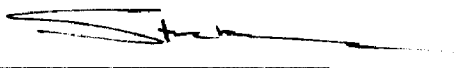
**in Europe on August 10, 1998 under No. 98114974.3**

and was assigned to F. Hoffmann-La Roche AG, Basle, Switzerland, by the said inventor(s) by an assignment dated June 22, 1998.

Basle, Switzerland, this 23rd day of June 1999.

**F. Hoffmann-La Roche AG**

  
Fridolin Klausner  
Holder of procuration

  
Denise Strebel  
Mandatory