

08-19-1999



To the Honorable Commissioner of Patent and Trademarks: Please record	
1. Name of conveying party(ies): <u>MRD</u> Guido H. Hunziker Stan Lumish Jianhui Zhou  additional names of conveying party(ies) attached ? ___ Yes <u>X</u> No  3. Nature of Conveyance <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____  Execution Date: <u>July/August 1999</u>	2. Name and address of receiving party(ies) Name: <u>Lucent Technologies Inc.</u> Internal Address: _____  Street Address: <u>600 Mountain Avenue</u> <u>P.O. Box 636</u> City: <u>Murray Hill</u> State: <u>NJ</u> ZIP: <u>07974-0636</u>
4. Application Number(s) or patent number(s) If this document is being filed together with a new application, the execution date of the application is: <u>July/August, 1999</u>  A. Patent application No.(s)                      B. Patent No.(s)  <div style="text-align: center; font-size: 1.5em;">09/371,145</div> Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning documents should be mailed: Name: <u>Frederick B. Luludis</u> Address: <u>69 Virginia Avenue, Hazlet, New Jersey 07730</u>	6. Total Number of applications and parties involved: <u>1</u>
7. Total Fee (37 CFR 3.41) ..... \$ <u>40.00</u> ___ Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account	
8. Deposit Account Number: -- <u>12-2325</u> (Attach duplicate copy of this page if paying by deposit account)	
Do not use this space	
9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  <div style="display: flex; justify-content: space-between;"> <div> <u>Frederick B. Luludis</u>            Name of person signing         </div> <div>             Signature         </div> <div> <u>AUG 10 1999</u>            Date         </div> </div> Total number of pages including cover sheet, amendment and document <u>5</u>	

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patent & Trademarks, Box Assignment

Jc617 U.S. PRO  
 09/371165  
 08/10/99

## ASSIGNMENT AND AGREEMENT

For value received, we, Guido H. Hunziker of Highlands in the County of Monmouth and State of New Jersey, Stan Lumish of Red bank in the County of Monmouth and State Of New Jersey and Jianhui Zhou of Freehold in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, USA, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to CHANNEL BAND CONVERSION APPARATUS FOR OPTICAL TRANSMISSION SYSTEMS described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

G. H. Hunziker  
Guido H. Hunziker

Date: 7/30/99

United States of America )

State of New Jersey ) ss.:

County of Monmouth )

On this 30<sup>th</sup> day of July, 1999,  
before me personally came Guido H. Hunziker, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Nguyen Thi Nghiem  
Notary Public  
**NGUYEN T. NGHIEP**  
**NOTARY PUBLIC OF NEW JERSEY**  
Registered in Monmouth County  
My Commission Expires Sept. 22, 2008

Stan Lumish  
Stan Lumish

Date: 7/30/99

United States of America )

State of New Jersey ) ss.:

County of Monmouth )

On this 30<sup>th</sup> day of July, 1999,  
before me personally came Stan Lumish to me known to be the  
individual described in and who executed the foregoing instrument, and  
acknowledged execution of the same.

Nguyen T. Nghiem

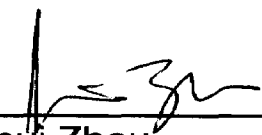
Notary Public

**NGUYEN T. NGHIEM**

**NOTARY PUBLIC OF NEW JERSEY**

Registered in Monmouth County

My Commission Expires Sept. 22, 2003

  
\_\_\_\_\_  
Jianhui Zhou

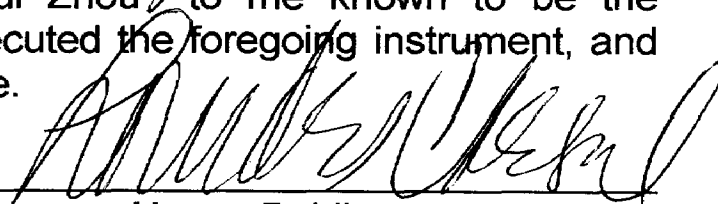
Date: Aug. 2, 1999

United States of America )

State of New Jersey ) ss.:

County of Monmouth )

On this 2nd day of August, 1999,  
before me personally came Jianhui Zhou to me known to be the  
individual described in and who executed the foregoing instrument, and  
acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636

**LOURDES CHESAL**  
**Notary Public State of New Jersey**  
**My Commission Expires 4/24/2001**