

8.16.99

AUG 16 1999

08-19-1999

FORM PTO-1596
1-31-92

RECORDATION FORM COVER SHEET



Docket No.: 54664-011

PATENTS ONLY

101120850

To the Honorable Commissioner of Patents and Trademarks: Please record the attached.

1. Name of Conveying Parties:
Helene G. BAZIN, Tulay POLAT and Robert J. LINHARDT
Additional name(s) of conveying party(ies) attached? Yes

2. Name and address of receiving party:
Name: University of Iowa Research Foundation
Address: Oakdale Research Campus
100 Oakdale Campus #214 TIC
Iowa City, Iowa
Additional name(s) & address(es) attached? Yes

3. Nature of Conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other
Execution Date: July 19 and August 11, 1999

4. Application number(s) or patent number(s):
If the document is being filed together with a new application, the execution date of the application is:
A. Patent Application No(s).
09/321,225, filed May 27, 1999

B. Patent No(s).

Additional numbers attached? Yes

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: MCDERMOTT, WILL & EMERY
Internal Address:
Street Address: 600 13th Street, N.W.
City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41) \$40.00
 Enclosed
 Authorized to be charged to deposit account
8. Deposit account number:
500417

08/18/1999 DCOATES 00000233 500417 09321225

01 PG:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David J. Serbin, 30,589

August 16, 1999

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

ASSIGNMENT

WHEREAS WE, Helene G. BAZIN, Tulay POLAT, Robert J. LINHARDT of Muscatine, IA; Iowa City, IA; Iowa City, IA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled SUCROSE BASED SURFACTANTS AND METHODS THEREOF, for which an application for United States Letters Patent was filed on May 27, 1999, and identified by United States Serial No. 09/321,225, which claims priority from Provisional Application Serial No. 60/086,756.

AND WHEREAS, University of Iowa Research Foundation, a corporation of the State of _____ and having an address of Oakdale Research Campus, 100 Oakdale Campus #214 TIC, Iowa City, Iowa 52242-5000 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto University of Iowa Research Foundation, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said University of Iowa Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date July 19, 1999

Bazin
Helene G. Bazin

Date August 11, 1999

Tulay Polat
Tulay Polat

Date August 11, 1999

Robert J. Linhardt
Robert J. Linhardt

STATE OF Iowa)
) SS:
COUNTY OF Muscatine)

On this 19th day of July, 1999, before me personally appeared Helene G. Bazin, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

Pamela J. McCullough
Notary Public

My commission expires July 31, 2001

SEAL
PAMELA J. MCCULLOUGH

STATE OF Iowa)
) SS:
COUNTY OF Johnson)

On this 11th day of August, 1999, before me personally appeared Tulay Polat, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

Patricia A. Sadowski
Notary Public

My commission expires _____



SEAL

STATE OF Iowa)
) SS:
COUNTY OF Johnson)

On this 11th day of August, 1999, before me personally appeared Robert J. Linhardt, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

Patricia A. Sadowski
Notary Public

My commission expires _____



SEAL