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FORM PTO-1596

1-31-92

## RECORDATION FORM COVER SHEET



Docket No.: 54664-011

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached.

101120850

## 1. Name of Conveying Parties:

Helene G. BAZIN, Tulay POLAT and Robert J. LINHARDT

Additional name(s) of conveying party(ies) attached? ☐ Yes

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: July 19 and August 11, 1999

## 2. Name and address of receiving party:

Name: University of Iowa Research Foundation

Address: Oakdale Research Campus

100 Oakdale Campus #214 TIC

Iowa City, Iowa

Additional name(s) & address(es) attached? ☐ Yes

## 4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/321,225, filed May 27, 1999

B. Patent No(s).

Additional numbers attached? ☐ Yes

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL &amp; EMERY

Internal Address:

Street Address: 600 13<sup>th</sup> Street, N.W.

City: Washington State: DC Zip: 20005

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41)

\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

500417

08/18/1999 DCOATES 00000233 500417 09321225

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David J. Serbin, 30,589

August 16, 1999

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

ASSIGNMENT

WHEREAS WE, Helene G. BAZIN, Tulay POLAT, Robert J. LINHARDT of Muscatine, IA; Iowa City, IA; Iowa City, IA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled SUCROSE BASED SURFACTANTS AND METHODS THEREOF, for which an application for United States Letters Patent was filed on May 27, 1999, and identified by United States Serial No. 09/321,225, which claims priority from Provisional Application Serial No. 60/086,756.

AND WHEREAS, University of Iowa Research Foundation, a corporation of the State of \_\_\_\_\_ and having an address of Oakdale Research Campus, 100 Oakdale Campus #214 TIC, Iowa City, Iowa 52242-5000 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto University of Iowa Research Foundation, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including the right of priority and including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said University of Iowa Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date July 19, 1999

Date August 11, 1999

Date August 11, 1999

Bazin  
Helene G. Bazin

Tulay Polat  
Tulay Polat

Robert J. Linhardt  
Robert J. Linhardt

STATE OF Iowa )  
 ) SS:  
COUNTY OF Muscatine )

On this 19<sup>th</sup> day of July, 1999, before me personally appeared Helene G. Bazin, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

PAMELA J. McCULLOUGH

Pamela J. McCullough  
Notary Public

My commission expires July 31, 2001

STATE OF Iowa )  
 ) SS:  
COUNTY OF Johnson )

On this 11<sup>th</sup> day of August, 1999, before me personally appeared Tulay Polat, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Patricia A. Sadowski  
Notary Public

My commission expires



PATRICIA A. SADOWSKI  
MY COMMISSION EXPIRES  
1-25-02

STATE OF Iowa )  
 ) SS:  
COUNTY OF Johnson )

On this 11<sup>th</sup> day of August, 1999, before me personally appeared Robert J. Linhardt, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Patricia A. Sadowski  
Notary Public

My commission expires



PATRICIA A. SADOWSKI  
MY COMMISSION EXPIRES  
1-25-02