

Form PTO-1595

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August 12, 1999

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101122171

Attorney Docket No.: Essiambre 1-3-6

1. Name of conveying party(ies):
Rene'-Jean ESSIAMBRE
Benny MIKKELSEN
Gregory RAYBON

2. Name and address of receiving party(ies):

Name: Lucent Technologies IncStreet Address: 600 Mountain AvenueP. O. Box 636City: Murray Hill State: New Jersey Zip: 07974-0636Additional names and addresses attached? ☐ yes ☒ no

Additional name(s) of conveying party(ies) attached?
☐ yes ☒ no

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _

Execution Date: August 6, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is August 6, 1999;

A. Patent Application No.(s)

B. Patent No.(s):

N/A

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lance J. Lieberman, Esq.Internal Address:
Street Address: 551 Fifth Avenue, Suite 1210City: New York State: NY ZIP: 101766. Total number of applications and patents involved:
[1]7. Total fee (37 C.F.R. 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 12-2325
(Attach duplicate copy of this page if paying by deposit account)**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John G. Tutunjian, Esq.

Name of Person Signing

Reg. No. 39,405

Signature

August 12, 1999

Date

Total number of pages including cover sheet, attachments and document **[4]**

OMB No. 0651-0011 (exp. 4/84)

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Assistant Commissioner for Patents, Box Assignments
Washington, D.C. 20231

Attorney Docket No: Essiambre 1-3-6

ASSIGNMENT AND AGREEMENT

For value received, we, **Rene'-Jean ESSIAMBRE** of Red Bank in the County of Monmouth and State of New Jersey, **Benny MIKKELSEN** of Atlantic Highlands in the County of Monmouth and State of New Jersey, **Gregory RAYBON** of Shrewsbury in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Modulation Format with Low Sensitivity to Fiber Nonlinearity** described in a provisional application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

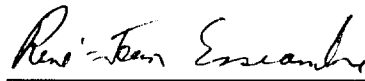
We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Attorney Docket No: Essiambre 1-3-6


Rene'-Jean ESSIAMBRE

Date: August 6 1999

United States of America)

State of N.J.) ss.: 051-30-7571

City of Holmdel)

On this 6 day of August, 1999 before me personally came **Rene'-Jean ESSIAMBRE**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

MY COMMISSION EXPIRES
2-13-2002


Benny MIKKELSEN

Date: 08-06-1999

United States of America)

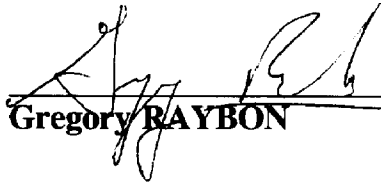
State of N.J.) ss.: 051-30-7571

City of Holmdel)

On this 6 day of August, 1999, before me personally came **Benny MIKKELSEN**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

MY COMMISSION EXPIRES
2-13-2002



Gregory RAYBON

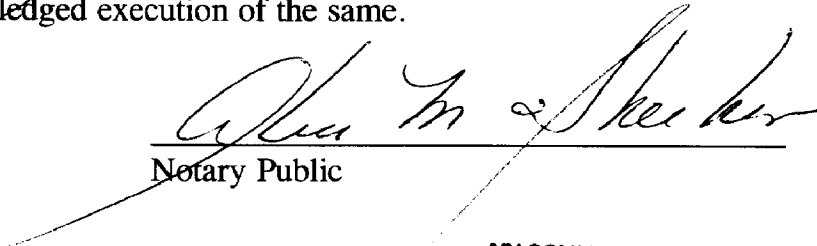
Date: Aug. 6, 1999

United States of America)

State of N.J.) ss.: 051-30-7571

City of Edmsted)

On this 6 day of August, 1999, before me personally came Gregory RAYBON, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

MY COMMISSION EXPIRES
8-13-2002

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636