

FORM PTO-1595 (Modified)
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PATI

08-20-1999

J.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

To the Honorable Commissioner of Patents and Trademark.

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all documents or copy thereof.

1. Name of conveying party(ies):
Charles M. Carlstrom, Jr.

2. Name and address of receiving party(ies):

Name: Plug Power, L.L.C.

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: 968 Albany-Shaker Road

City: Latham State: NY ZIP: 12110

Execution Date: August 11, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: August 11, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeff Rothenberg, Esq.

Internal Address:

Street Address: Heslin & Rothenberg, P.C.

5 Columbia Circle

City: Albany State: NY ZIP: 12203

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1935

08/20/1999 MTHAI1 00000006 09371818

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Pascarella, Reg. No. 36,632

Name of Person Signing

Signature

August 11, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT

REEL: 010171 FRAME: 0324

ASSIGNMENT

WHEREAS, I, **Charles M. Carlstrom, Jr.**, a citizen of the United States of America, residing at 6 Wilden Way, Clifton Park, New York 12065, have invented certain new and useful improvements in AN END PLATE ASSEMBLY HAVING A TWO-PHASE FLUID-FILLED BLADDER AND METHOD FOR COMPRESSING A FUEL CELL STACK for which I have executed an application for Letters Patent of the United States, of even date herewith; and

WHEREAS, **Plug Power, L.L.C.**, having offices at 968 Albany-Shaker Road, Latham, New York 12110, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said **Charles M. Carlstrom, Jr.**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Plug Power, L.L.C.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Plug Power, L.L.C.**, its successors,

legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

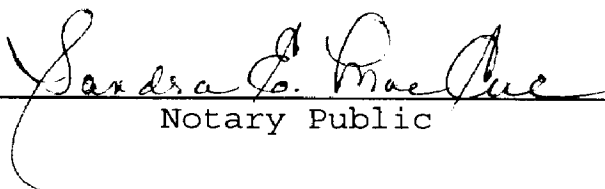
AND I HEREBY further covenant and agree that I will communicate to the said **Plug Power, L.L.C.**, its successors, legal representatives and assigns, any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Plug Power, L.L.C.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 11 day of August, 1999.


Charles M. Carlstrom, Jr.

STATE OF NEW YORK)
) ss.:
COUNTY OF Albany)

On this 11 day of August 1999, before me personally came Charles M. Carlstrom, Jr., to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.


Notary Public

SANDRA E. MacCUE
Notary Public, State of New York
No. 4784620
Residing in Rensselaer County
Commission Expires August 31, 1999