

63-61-8 08W

Client Code: STANF.121A

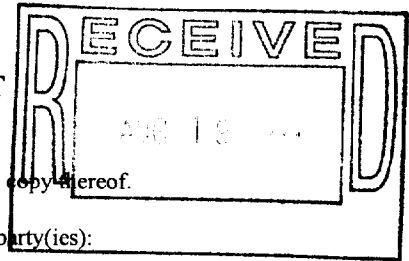
CORR1

08-23-1999



101124039

VER SHEET



TO THE ASSISTANT COMMISSIONER F

al documents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

- 1. Michel J.F. Digonnet
- 2. Alice Liu
- 3. Gordon S. Kino

Additional name(s) of conveying party(ies) attached?  
( ) Yes (X) No

2. Name and address of receiving party(ies):

Name: The Board of Trustees of the Leland Stanford Junior University

Internal Address:

Street Address:

City: Stanford State: CA ZIP: 94305

Additional name(s) of receiving party(ies) attached?  
( ) Yes (X) No

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)

- 1. April 22, 1999
- 2. April 22, 1999
- 3. April 22, 1999

4. Application number(s) or Patent number(s):

( ) Application(s) filed herewith Execution Date(s):

(X) Patent Application No.: 09/259,832  
Filing Date: March 1, 1999

( ) Patent No.:  
Issue Date:

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jerry T. Sewell  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995

Internal Address: Sixteenth Floor

Street Address: 620 Newport Center Drive

City: Newport Beach State: CA ZIP: 92660

Attorney's Docket No.: STANF.121A

7. Total fee (37 CFR 3.41): \$40

- Enclosed
- Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Jerry T. Sewell  
Name of Person Signing

*Jerry T. Sewell*  
Signature

AUGUST 16, 1999  
Date

31,567  
Registration No.

Total number of pages including cover sheet, attachments and document: 6

Mail documents to be recorded with required cover sheet information to:

08/20/1999 MTHAI1 00000301 09259832

01 FC:581

40.00 OP

JTS-2594.DOC:cs  
19990813

Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

RE

Client Code: STANF.121A

RECORDATION FORM COVER SHEET  
PATENTS ONLY

TO THE ASSISTANT COMMISSIONER FOR PATENTS: Please record the attached original documents or copy thereof.

62-67-8  
MED 8-19-99

1. Name of conveying party(ies): (If multiple assignors, list numerically)

- 1) Michel J.F. Digonnet
- 2) Alice Liu
- 3) Gordon S. Kino

Additional name(s) of conveying party(ies) attached?  
( ) Yes (X) No

2. Name and address of receiving party(ies):

*TRUSTEES*  
Name: The Board of Trustees of the Leland Stanford Junior University

Internal Address:  
Street Address:  
City: Stanford State: CA ZIP: 94305

Additional name(s) of receiving party(ies) attached?  
( ) Yes (X) No

*MED 5-24-99*

3. Nature of conveyance:

- (X) Assignment
- ( ) Merger
- ( ) Security Agreement
- ( ) Change of Name
- ( ) Other:

~~05-27-1999~~  
  
~~101048322~~

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) 1) 4/22/99 2) 4/22/99 3) 4/22/99

4. Application number(s) or Patent number(s):

- ( ) Application(s) filed herewith Execution Date(s):
- (X) Patent Application No.: 09/259,832  
Filing Date: March 1, 1999
- ( ) Patent No.:  
Issue Date:

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jerry T. Sewell  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995  
Internal Address: Sixteenth Floor  
Street Address: 620 Newport Center Drive  
City: Newport Beach State: CA ZIP: 92660  
Attorney's Docket No.: STANF.121A

7. Total fee (37 CFR 3.41): \$40

- (X) Enclosed
- (X) Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Daniel E. Johnson  
Name of Person Signing

*Daniel E. Johnson*  
Signature

May 20, 1999  
Date

37,033  
Registration No.

Total number of pages including cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

05/26/1999 DNGUYEN 00000207 09259832  
01 FC:581 40.00 DP  
E:\DOCS\DEJ\DEJ-1082.DOC  
051899

Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, We, **Michel J.F. Digonnet**, a citizen of France, residing at 2090 Cornell, Palo Alto, CA 94306, **Alice Liu**, a United States citizen, residing at 121 Campus Drive, Apartment 1309, Stanford, CA 94305; **Gordon S. Kino**, a United States citizen, residing at 867 Cedro Way, Stanford, CA 94305, have invented certain new and useful improvements in a **ELECTROSTRICTIVE FIBER MODULATORS** for which we have filed an application for Letters Patent in the United States, Application No. **09/259,832** filed on **March 1, 1999**;

AND WHEREAS, **The Board of Trustees of the Leland Stanford Junior University** (hereinafter "ASSIGNEE"), a California Corporation, with its principal place of business at Stanford, California 94305, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 22<sup>nd</sup> day of APRIL, 1999

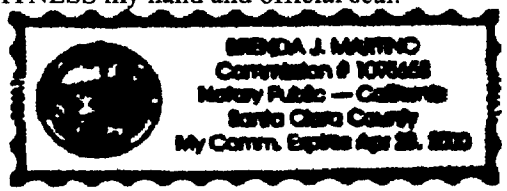
Michel J.F. Digonnet  
Michel J.F. Digonnet

STATE OF CALIFORNIA }  
COUNTY OF SANTA CLARA } ss.

On 2/22/99, 1999, before me, PRENDA S. MARTINO, personally appeared **Michel J.F. Digonnet** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Brenda Martino  
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 22 day of APRIL, 1999.

*Alice Liu*

Alice Liu

STATE OF CALIFORNIA }  
COUNTY OF SANTA CLARA } ss.

On 4/22/99, 1999, before me, BRENDA J. MARTINO, personally appeared Alice Liu personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



*Brenda J. Martino*

Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 22 day of APRIL, 1999.

*Gordon S. Kino*

Gordon S. Kino

STATE OF CALIFORNIA }  
COUNTY OF SANTA CLARA } ss.

On 4/22/99, 1999, before me, BRENDA J. MARTINO, personally appeared Gordon S. Kino personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



*Brenda J. Martino*

Notary Signature

JTS-1401.DOC  
19990419/2