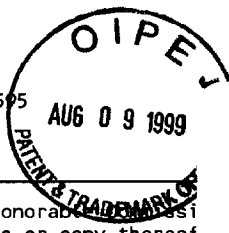


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FORM PTO-1595
1-31-92



08-23-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101124025

To the Honorable Commissioner of Patents and Trademarks
documents or copy thereof

Record the attached original

1. Name of conveying party(ies):

John R. Lindbery
Kourosh (NMI) Mehrayin
Jeffrey A. Reichard

Additional Names attached: ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Solar Turbines Incorporated

Street Address:
2200 Pacific Highway

City: San Diego State: California

Zip: 92186-5376

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ Other

Execution Date(s): 06/30/99, 06/24/99, and 07/28/99

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No.(s)
09/307,532, filed: May 7, 1999

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Title of Invention: REGULATION SYSTEM FOR A PERMANENT MAGNET GENERATOR

Attorney Docket No.: 98-753 S

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Keith P. Roberson
CATERPILLAR INC.

Internal Address: Intellectual Property Dept.

Street Address: 100 N. E. Adams Street

City: Peoria State: IL

Zip: 61629-6490

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1129
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Keith P. Roberson
Name of Person Signing

Keith P. Roberson
Signature

08/4/99
Date

Total number of pages comprising cover sheet, attachments, and document: [3]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, JOHN R. LINDBERY; KOUROSH (NMI) MEHRAYIN; and JEFFREY A. REICHARD, residing respectively at Santa Barbara, California; Vista, California; and West Allis, Wisconsin, hereinafter referred to as ASSIGNORS, have invented a new and useful

REGULATION SYSTEM FOR A PERMANENT MAGNET GENERATOR

for which ASSIGNORS have made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 09/307,532, filed May 7, 1999; and

WHEREAS, SOLAR TURBINES INCORPORATED, a Delaware corporation having a place of business at San Diego, California, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to SOLAR TURBINES INCORPORATED.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

John R. Lindberg
JOHN R. LINDBERY

6/30/99
Date

IN WITNESS OF the execution hereof by JOHN R. LINDBERY we have hereunto set our hands.

Philip H. Camell
Witness (1) (Date)

Virginia N. Roe 7/1/99
Witness (2) (Date)

Address: 13336 Camino Del Mar V. 11a
Del Mar, CA
92014

Address: 9737 Wintergardens #18
Lakeside, CA
92040

Kouros N. Mehrayin
KOUROSH (NMI) MEHRAYIN

6/24/99
Date

IN WITNESS OF the execution hereof by KOUROSH (NMI) MEHRAYIN we have hereunto set our hands.

Philip H. Camell
Witness (1) (Date)

Virginia N. Roe
Witness (2) (Date)

Address: 13336 Camino Del Mar V. 11a
Del Mar, CA
92014

Address: 9737 Wintergardens #18
Lakeside, CA
92040

Jeffrey A. Reichard
JEFFREY A. REICHARD

7/28/99
Date

IN WITNESS OF the execution hereof by JEFFREY A. REICHARD we have hereunto set our hands.

Laurence R. Jobe 7/28/99
Witness (1) (Date)

Jan [Signature] 7/28/99
Witness (2) (Date)

Address: _____

Address: _____

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