

08-23-1999



Tab settings

To the Honorable Commissioner of Patents and T.

101124246

documents or copy thereof.

1. Name of conveying party(ies):

Peter B. Dervan and Eldon E. Baird

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No



3. Nature of conveyance:

Assignment

Execution Date: August 6, 1999

2. Name and address of receiving party(ies)

CALIFORNIA INSTITUTE OF TECHNOLOGY  
1200 East California Boulevard  
Pasadena, CA 92115

Additional name(s) & address(es) attached? ☐ Yes ☐ No



4. Application number(s) or patent number(s): Not yet assigned

If this document is being filed together with a new application, the execution date of the application is:  
August 12, 1999

A. Patent Application No.(s)

09/374702

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard J. Warburg

LYON & LYON

Street Address: 633 West Fifth Street

Suite 4700

City: Los Angeles State: CA Zip: 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

X Enclosed

Authorized to be charged to Deposit Account

8. Deposit account number:

12-2475

08/20/1999 DEUTLER 00000009 09374702  
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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Daniel M. Chambers

Name of Person Signing

Signature

August 12, 1999

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

### ASSIGNMENT

For valuable consideration, we, **PETER B. DERVAN** of 1235 St. Albans Road, San Marino, California 91108, and **ELDON E. BAIRD** of 311 Halyard Lane, Foster City, California 94404, (hereinafter referred to as "the Assignors"), hereby assign to hereby assign to **CALIFORNIA INSTITUTE OF TECHNOLOGY**, a non-profit organization organized and existing under and by virtue of the laws of the State of **California** and having its principal place of business at 1200 East California Boulevard, Pasadena, California 91125 and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent filed herewith, U.S. Serial No. to be assigned, and related applications and patents, entitled STEREOCHEMICAL CONTROL OF THE DNA BINDING AFFINITY, SEQUENCE SPECIFICITY, AND ORIENTATION-PREFERENCE OF CHIRAL HAIRPIN POLYAMIDES IN THE MINOR GROOVE, this assignment including said application, any and all United States and foreign patents granted for any of said inventions or improvements, including all divisions, continuations, reissues, continuations-in-part and extensions thereof, and the right to claim priority based on the filing date of said application and based on the filing date of any provisional application of which said application claims the benefit under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our names or in its own name for patents and like rights of exclusion and for inventor's certificates for said inventions and improvements; and we agree for ourselves and our heirs, legal representatives and assigns, without further compensation, upon request to perform such lawful acts, to promptly provide Assignee with all pertinent facts and documents relating to said invention or application as may be known and accessible to us, to testify in any interference or litigation related to said invention or application and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this Assignment.

The Assignors hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this Agreement.

IN WITNESS WHEREOF, I hereto set my hand and seal at 2 Corporate Dr 558, this 6  
day of August, 1999.



Peter B. Dervan

State of California:

SS:


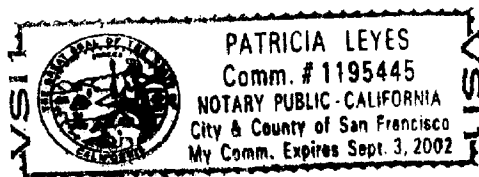
County of San Mateo:

On August 6, 1999 before me, Patricia Leyes,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

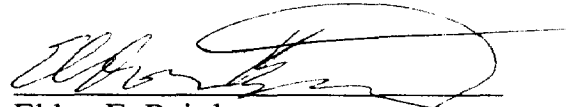
personally appeared Peter B. Dervan,  
Name(s) of Signer(s)

personally known to me -- OR -- proved to me on the basis of satisfactory evidence to be  
the person(s) whose name(s) is/are subscribed to the  
within instrument and acknowledged to me that  
he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their  
signature(s) on the instrument the person(s), or the  
entity upon behalf of which the person(s) acted,  
executed the instrument.

WITNESS my hand and official seal.

  
Signature of Notary Public

IN WITNESS WHEREOF, I hereto set my hand and seal at San Francisco, CA, this 6  
day of August, 1999.

  
Eldon E. Baird

State of California:

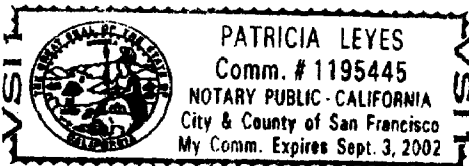
SS:

County of San Francisco:


On August 6, 1999 before me, PATRICIA LEYES,  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Eldon E. Baird,  
Name(s) of Signer(s)

personally known to me -- OR -- proved to me on the basis of satisfactory evidence to be  
the person(s) whose name(s) is/are subscribed to the  
within instrument and acknowledged to me that  
he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their  
signature(s) on the instrument the person(s), or the  
entity upon behalf of which the person(s) acted,  
executed the instrument.



WITNESS my hand and official seal.

  
Signature of Notary Public