

08-24-1999



Form P

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# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. Department of Commerce

Docket No. SA9-98-144

8/13/99

To the Hon. Commissioner of Patents &amp; Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Peter Michael Baumgart, James Hammond Brannon,  
Tim Minvielle, Iraj Kakesh Pour and Timothy Carl StrandAdditional name of conveying party attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: **International Business Machines Corporation**Street Address: **New Orchard Road**  
City: **Armonk** State: **NY** ZIP: **10504**Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

Execution Date: 7/22/99, 7/20/99, 7/20/99, 8/10/99 and 8/4/99

## 4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: 7/22/99, 7/20/99, 7/20/99, 8/10/99 and 8/4/99.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address party to whom correspondence concerning document should be mailed:

Name: Robert O. Guillot  
Oppenheimer Wolff & Donnelly LLPStreet Address: 101 Park Center Plaza, Suite 400  
City: San Jose State: CA ZIP: 951136. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ Enclosed☒ Any discrepancy or overpayment is authorized to be charged to deposit account

## 8. Deposit Account number: 02-3964

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Robert O. Guillot

Signature

August 13, 1999

Date

Total number of pages including cover sheet, attachments, and document: 2

18510 U.S. PTO  
09/374549  
06/13/99

08/22/1999 VTRMLR 00000009 09374549

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**ASSIGNMENT**

Whereas, I, Peter Michael Baumgart of San Jose, James Hammond Brannon of Palo Alto, Tim Minvielle of San Jose, Iraj Kakesh Pour of Milpitas, and Tim Strand of San Jose, all of County of Santa Clara and State of California have invented certain improvements in **METHOD FOR LASER TEXTURING MAGNETIC RECORDING DISK** and executed, respectively, a United States patent application therefor on 7-22, 1999, 7/20, 1999, 7/20, 1999, 8/10, 1999, 8/4, 1999,

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

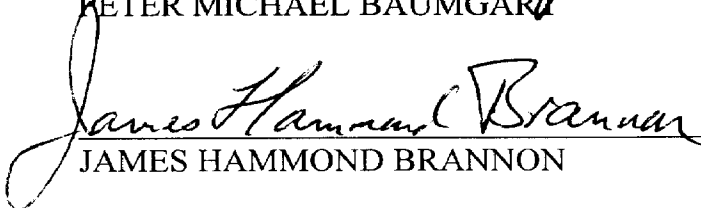
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

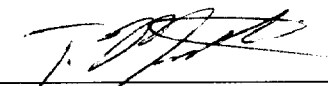
at San Jose, CA  
on 7-22, 1999

  
PETER MICHAEL BAUMGART

at San Jose, CA  
on 7/20, 1999

  
JAMES HAMMOND BRANNON

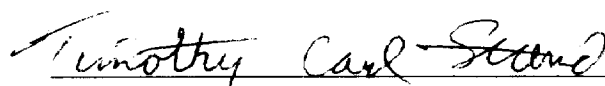
at San Jose, CA  
on 7/20, 1999

  
TIM MINVIELLE

at San Jose, CA  
on 8/10, 1999

  
IRAJ KAKESH POUR

at San Jose, CA  
on 8/4, 1999

  
TIM STRAND Timothy Carl Strand  
T.C.S.