

08-25-1999

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PATENTS ONLY

Attorney Docket No. A-66488/JAS

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying parties:

Ronald E. Gerber, Edward C. Gage

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

8-20-99

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: \_\_\_\_\_

## 2a. Name and address of receiving party:

Name: Seagate Technology, Inc.

Address: 920 Disc Drive

Scotts Valley, California 95066

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: July 27, 1999

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/330,459 filed 6/11/99

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Sheridan

Address: Flehr, Hohbach Test Albritton &amp; Herbert LLP

Four Embarcadero Center, Suite 3400

San Francisco, CA 94111-4187

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account, if necessary

## 8. Deposit account number:

06-1300 (Order No. A-66488/JAS)

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Michael Ananian, Reg. No. 35,050

Name of Person Signing

Signature

August 17, 1999

Date

Total number of pages including cover sheet: 3

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08/23/1999 NHASSAWI 00000113 09330459

02 FC:581

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## ASSIGNMENT

WHEREAS, **RONALD E. GERBER**, residing at **7520 Washburn Avenue, S. Richfield, Minnesota, 55423**, and **EDWARD C. GAGE**, residing at **14050 Flintwood Court, Apple Valley, Minnesota, 55124** has invented certain new and useful improvements in **DUAL HALF-APERTURE FOCUSING AND PUSH-PULL TRACING USING A 3-BEAM WOLLASTON PRISM FOR MAGNETO-OPTICAL DATA STORAGE** and has executed concurrently herewith a Declaration for a United States patent application on the invention; and

Whereas, **Seagate Technology, Inc.** a corporation of the **State of Delaware**, having a place of business at **920 Disc Drive, Scotts Valley, CA 95066, State of California**, (hereinafter referred to as assignee) is desirous of acquiring the entire right, title, and interest in and to said invention, said application and the Letters Patent to be obtained therefor;

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to me in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I have sold, assigned, and set over and by these presents do hereby sell, assign, and set over unto the said **Seagate Technology, Inc.** and said assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both foreign and domestic, that may or shall issue thereon; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned assignee agreeably with the terms of this assignment.

I hereby authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, I convey to said assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and I do hereby covenant and agree with the said assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that we will at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

AUG 20 1999

IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee on the date set forth below.

Dated: July 27, 1999

[Signature]

RONALD E. GERBER

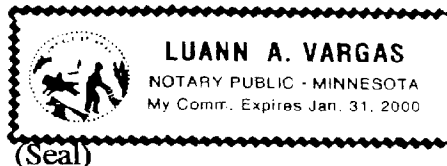
State of California }

County of Santa Clara }

On this 27<sup>th</sup> day of July, in the year 1999, before me, LuAnn A Vargas, Notary Public of the State of California in the County of Santa Clara, personally appeared RONALD E. GERBER, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature LuAnn A Vargas



IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee on the date set forth below.

Dated: July 27, 1999

[Signature]

EDWARD C. GAGE

State of California }

County of Santa Clara }

On this 27<sup>th</sup> day of July, in the year 1999, before me, LuAnn A Vargas, Notary Public of the State of California in the County of Santa Clara, personally appeared EDWARD C. GAGE, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature LuAnn A Vargas

