

08-26-1999

HEET



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U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

(1)Lynn M. Shimada
(2)William J. Worthen
(3)Scott M. Evans
(4)Xia Luo
(5)Robert Pecor
(6)Blair D. Walker

2. Name and Address of receiving party(ies):

Name: Alsius Corporation

Street Address: 15770 Laguna Canyon Road, Suite 150
Irvine, California 92618Additional name(s) of conveying
party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached?
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ MergerSecurity/Agreement ☐ Name Change☐ OtherExecution Date: (1)July 26, 1999 (2)July 20, 1999 (3)July 20, 1999
(4)July 26, 1999 (5)July 30, 1999 (6)July 22, 1999

4.

Application number(s) or patent number(s):

If this document is being filed together with a new application,
the execution date of the application is: August 18, 1999

A. Patent Application No.(s)

09/376524

B. Patent No.(s)

Additional Numbers Attached?

Yes ☐ No ☒5. Name and Address of Party to whom
concerning document should be mailed:Name: John L. Rogitz
Address: ROGITZ & ASSOCIATES
750 "B" Street, Suite 3120
San Diego, CA 921016. Total number of applications and patents
involved: 17. Total fee (37 CFR 3.41) \$40.00
☒ Enclosed

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John L. Rogitz

Name of Person Signing

Signature of Person Signing

Date

Total number of pages including cover sheet, attachments, and document: 3

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ASSIGNMENT

WHEREAS, WE, Scott M. Evans, a U.S. citizen, residing at 1252 Country Hills Drive, Santa Ana, California 92705, William J. Worthen, a U.S. citizen, residing at 37 Oakbrook, Coto de Caza, California 92679, Lynn M. Shimada, a U.S. citizen, residing at, 7906 E. Deerfield Lane, Orange, California 92869, Xia Luo, a U.S. citizen, residing at 5869 W. 74th Street, Los Angeles, California 90045, Robert Pecor, a U.S. citizen, residing at 9 Woodcrest Lane, Aliso Viejo, California 92656, and Blair D. Walker, a U.S. citizen, residing at 24742 San Doval Lane, Mission Viejo, California 92691 have invented certain new improvements in a CENTRAL VENOUS CATHETER WITH HEAT EXCHANGE PROPERTIES, for which we have executed an application for Letters Patent in the United States, specification of which is filed herewith.

AND WHEREAS, Alsius Corporation, a California corporation, with its principal place of business at 15770 Laguna Canyon Road, Suite 150, Irvine, California 92618, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Alsius Corporation, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Alsius Corporation, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said Alsius Corporation, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said Alsius Corporation, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

STATE OF CALIFORNIA)

) SS:

COUNTY OF ORANGE)

By: Scott M. Evans/Inventor

Dated: 7/20/99

STATE OF CALIFORNIA)

) SS:

COUNTY OF ORANGE)

By: William J. Worthen/Inventor

Dated: 7/20/99

STATE OF CALIFORNIA)

) SS:

COUNTY OF ORANGE)

By: Lynn M. Shimada/InventorDated: 1/26/99

STATE OF CALIFORNIA)

) SS:

COUNTY OF ORANGE)

By: Xia Luo/InventorDated: 7/26/99

STATE OF CALIFORNIA)

) SS:

COUNTY OF ORANGE)

By: Robert Pecor/InventorDated: 7/30/99

STATE OF CALIFORNIA)

) SS:

COUNTY OF ORANGE)

By: Blair D. Walker/InventorDated: 7/22/99