

08-26-1999

Docket No.: FI9-99-063

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02



SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John T. Federico, Perry G. Hartswick, Alan C. Thomas,
Anne Marie Pelella

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 8/3, 8/6, 8/4, 8/4/99

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 8/3, 8/6, 8/4, 8/4/99

A. Patent Application No.(s)

EXPRESS MAIL NO.: EE 468937184US
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT AS THE FILE DATE.

DATE OF DEPOSIT: 8/8/99

BY SIGNATURE & DATE: *Robert J. Zere*
Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Shahan Islam, Esq. (Reg. No. 32,507)

Internal Address: Friedman, Siegelbaum LLP

Street Address: Seven Becker Farm Road

City: Roseland State: NJ ZIP: 07068

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-(397)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jay H. Anderson Reg. # 38,371

Name of Person Signing

Signature

18 August 99

Date

Total number of pages including cover sheet, attachments, and document:

3

REEL: 010185 FRAME: 0679

ASSIGNMENT (JOINT)

WHEREAS, WE, John T. Federico, Perry G. Hartswick, Alan C. Thomas and Anne Marie Pelella, ASSIGNORS

Inventors' Name

citizens of USA, residing at 610 Chelsea Cay, Wappingers Falls, NY 12590, Rodrigo Knolls, Millbrook, NY
Country

12545, New Hamburg Road, Hughsonville, NY 12537, 3 Hillside Avenue, Wappingers Falls, NY 12590, are

Home Addresses

the inventors of the invention in Requalification Controller for Durables,

Title of Application

for which we have executed an application for a Patent of the United States; and

☒ which is executed on X even date herewith or ☐

Date of Execution

☒ which is identified by Friedman Siegelbaum Docket No. 2711/IBM

☐ which was filed on _____, Serial No. _____

WHEREAS, International Business Machines Corporation, ASSIGNEE is desirous of

Name of Assignee

obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said **ASSIGNORS**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **ASSIGNEE**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said **ASSIGNEE**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, or will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said **ASSIGNEE**, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said **ASSIGNEE**, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date: Aug 3 1999

John T. Federico
Signature: John T. Federico

Date: Aug 4 1999

Perry G. Hartswick
Signature: Perry G. Hartswick

Date: Aug 4 1999

Alan C. Thomas
Signature: Alan C. Thomas

Date: _____ 1999

Signature: Anne Marie Pelella

ASSIGNMENT (JOINT)

WHEREAS, WE, John T. Federico, Perry G. Hartswick, Alan C. Thomas and Anne Marie Pelella, ASSIGNORS

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Title of Application

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☒ which is executed on X even date herewith or ☐

Date of Execution

☒ which is identified by Friedman Siegelbaum Docket No. 2711/IBM

☐ which was filed on _____, Serial No. _____

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Name of Assignee

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Date: _____, 1999

Signature: John T. Federico

Date: _____, 1999

Signature: Perry G. Hartswick

Date: _____, 1999

Signature: Alan C. Thomas

Date: 8/4/, 1999

Anne Marie Pelella
Signature: Anne Marie Pelella