

08-26-1999



101128069

SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of

the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alexander H. Frey, Jr.

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: August 13, 1999

2. Name and address of receiving party(ies):

Name: Tricord Systems, Inc.

Address: 13100 N.W. Freeway, Suite 400

City: Houston State/Prov.: TX

Country: U.S.A. ZIP: 77040

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: August 13, 1999

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Coe F. Miles

Registration No. 38,559

Address: Trop, Pruner, Hu & Miles, P.C.

8554 Katy Freeway, Suite 100

City: Houston State/Prov.: TX

Country: U.S.A. ZIP: 77024

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

20-1504 (TRIC-0006-US)

8/25/1999 MTHAI1 00000216 09375909

DO NOT USE THIS SPACE

1 FC:581 40.00 OP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Coe F. Miles

Name of Person Signing

Signature

August 17, 1999

Date

Total number of pages including cover sheet, attachments, and

3

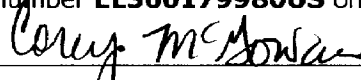
ASSIGNMENT

Inventor : Alexander H. Frey, Jr.
Title : Self-Healing Computer System Storage
Serial No. : Unknown Docket : TRIC-0006-US
Filed : Herewith

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.10)

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, Express mail label number **EL360179980US** on **August 17, 1999**.


Corey McGowan

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which is hereby acknowledged, the undersigned do hereby:

SELL, ASSIGN AND TRANSFER to TRICORD SYSTEMS, INC., (the "Assignee"), a corporation of Delaware having a place of business at 13100 N.W. Freeway, Ste. 400, Houston, Texas 77040 the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent identified above, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

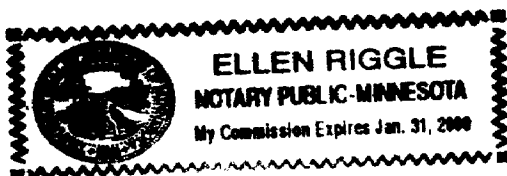
Executed on 13 Aug 1999 by Alexander H. Frey, Jr.
 Date Alexander H. Frey, Jr.

STATE OF MINNESOTA

County of Hennepin

BEFORE ME, this 13th day of August personally appeared the above-named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein expressed.

SEAL



[Signature]
 Notary or Consular Officer
 My Commission Expires: 1/31/2000