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08-27-1999

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Revised Form PTO-1595
(Rev. 6-93)U.S. Department of Commerce
Patent and Trademark Office

A-411A



101129480

To the Honorable Commissioner of Patents and Trademarks: Please record the serial number of original documents or copy thereof.

1. Name of conveying party(ies):

Thomas Charles Boone, Susan Irene Hershenson,
Ellen Ngoi Yin Cheung and John David YoungAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Amgen Inc.Internal Address: M/S 27-4-AStreet Address: One Amgen Center DriveCity: Thousand Oaks State: CA Zip: 91320-1799Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 15, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 15, 1998

A. Application filed herewith claiming priority to Nos.:

PCT/US97/12609 filed July 17, 1997
08/684,353 filed July 19, 1996

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: U.S. Patent Operations/RJMInternal Address: Dept. 430, M/S 27-4-AAMGEN INC.Street Address: One Amgen Center DriveCity: Thousand Oaks State: CA Zip: 91320-17996. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account.

8. Deposit account number: 01-0519

- ☒ The Commissioner is hereby authorized to charge any additional filing fees which may be required or credit any overpayment to Deposit Account No. 01-0519

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document*Richard J. Mazza

Name of Person Signing

Richard J. Mazza

Signature

December 23, 1998

Date

Total number of pages including cover sheet, attachments, and document: 6

ASSIGNMENT

Whereas, we, Thomas Charles Boone, 3010 Deer Valley Avenue, Newbury Park, California 91320 U.S.A.
Ellen Ngoi Yin Cheung, 4847 Aliano Drive, Agoura, California 91301 U.S.A.
Susan Irene Hersenson, 189 Heavenly Valley Road, Newbury Park, California 91320 U.S.A.
John David Young, 2576 Sapra Street, Thousand Oaks, California 91362 U.S.A.

have made an invention which is the subject of an application for Letters Patent of the United States ("Application")
entitled

ANALOGS OF CATIONIC PROTEINS

which is found in:

- ☒ (a) the U.S. Patent Application executed on even date herewith;
- ☐ (b) the U.S. Patent Application executed on _____
- ☒ (c) PCT Application No.: US97/12609 filed on July 17, 1997
claiming priority to U.S. Serial No. 08/684,353 filed on July 19, 1996
- ☐ (d) U.S. Patent No.: _____

Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California 91320-1799, wishes to acquire the entire interest in all inventions disclosed in such Application:

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto AMGEN INC., its successors and assigns (collectively "AMGEN") our entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, and/or continuation-in-part applications based on the above-identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by AMGEN for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

Further, we hereby sell, assign, transfer, and set over unto AMGEN our entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited by us or at our direction or deposited on our behalf by Amgen, its affiliates, employees or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, our unreserved and irrevocable consent and authorization to AMGEN to refer to the deposited microorganisms(s) or other biological materials(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

We authorize and request the Commissioner of Patents and Trademarks of the United States to issue any such Letters Patent which may be granted on the Application to AMGEN as assignee of the entire right, title and interest therein and thereto.

For ourselves and for our legal representatives, we covenant and agree with AMGEN that we have not granted to any others any license to make, use or sell any of such inventions, that our right title and interest in such inventions has not been encumbered, that we have good right and title to sell and assign the same, and that we will not execute any instrument in conflict herewith.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with AMGEN that upon request we and they will: (i) execute continuing, divisional or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to AMGEN any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigations; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for AMGEN, may be necessary or desirable to secure the grant of Letters Patent to AMGEN or its nominees, in the United States and in all other countries where AMGEN may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for AMGEN and to vest and confirm in AMGEN or its nominees, the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid but at the expense of AMGEN.

In Witness Whereof I have executed this assignment on the _____ day of _____ December 19 98

Thomas Charles Boone
(Name of Inventor)

Thomas Charles Boone
(Signature of Inventor)

UNITED STATES OF AMERICA

State of California }
County of Ventura } ss:

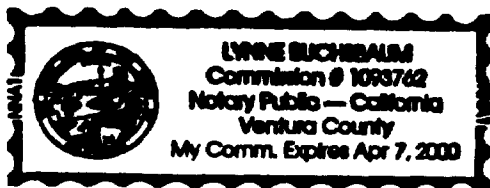
December 10 19 98

Before me, a Notary Public for Ventura County, State of California, personally appeared _____

Thomas Charles Boone

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Lynne Buchsbaum
Notary Public

My commission expires

April 7 2000

In Witness Whereof I have executed this assignment on the 10th day of December 19 98

Ellen Ngoi Yin Cheung
(Name of Inventor)

Ellen Ngoi Yin Cheung
(Signature of Inventor)

UNITED STATES OF AMERICA

State of California }
County of Ventura } ss:

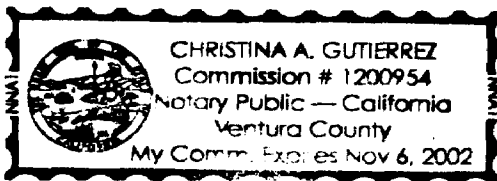
December 10 19 98

Before me, a Notary Public for Ventura County, State of California, personally appeared _____

Ellen Ngoi Yin Cheung

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Christina A. Gutierrez
Notary Public

My commission expires

November 6 1st 2002

In Witness Whereof I have executed this assignment on the 11 day of December 19 98

Susan Irene Hershenson
(Name of Inventor)

Susan Irene Hershenson
(Signature of Inventor)

UNITED STATES OF AMERICA

State of California }
County of Ventura } ss:

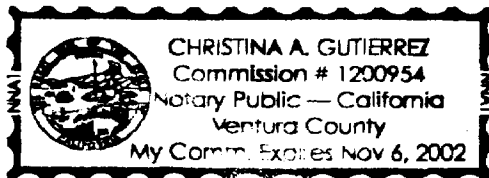
December 11 1998

Before me, a Notary Public for Ventura County, State of California, personally appeared _____

Susan Irene Hershenson

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Christina A. Gutierrez
Notary Public

My commission expires

November 6 2002

In Witness Whereof I have executed this assignment on the 15 day of December 19 98

John David Young
(Name of Inventor)

John David Young
(Signature of Inventor)

UNITED STATES OF AMERICA

State of California }
County of Ventura } ss:

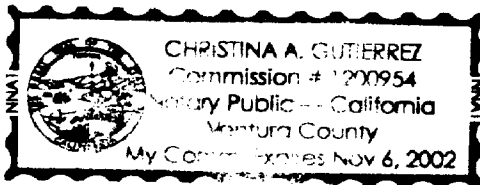
December 15 19 98

Before me, a Notary Public for Ventura County, State of California, personally appeared _____

John David Young

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Christina A. Gutierrez
Notary Public

My commission expires

November 26 19 2002