

08-27-1999

SHEET

8.23.99



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To the Honorable Commissioner of

and original documents or copy thereof.

1. Name of conveying party(ies)

1. **Mohammad Taghi Fatehi**

2. **Bruce Lee Nelson**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **Lucent Technologies Inc.**

Internal Address: _____

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: **600 Mountain Avenue, P. O. Box 636**

City: **Murray Hill** State: **NJ** Zip: **07974-0636**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: **1. 7/23/99; 2. 8/13/99**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

B. Patent No. (s)

09/336543

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Internal Address: **Room 3C-512**

Street Address: **600 Mountain Avenue**

P. O. Box 636

City: **Murray Hill**, State: **New Jersey**, Zip: **07974-0636**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ **\$40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **12-2325**

(Attach duplicate copy of this page if paying by deposit account)

08/26/1999 DCOATES 00000201 122325 09336543

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory J. Murgia- Reg. No. 41209

Name of Person Signing

Signature

August 19, 1999

Date

Total number of pages including cover sheet, attachments, and document: **4**

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D. C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231,

on **August 19, 1999**

Date **August 19, 1999**

Margaret Cardoso

M. T. Fatehi 27-3

ASSIGNMENT AND AGREEMENT

For value received, we, Mohammad Taghi Fatehi, of Middletown in the County of Monmouth and State of New Jersey, and Bruce Lee Nelson, of Middletown in the County of MONMOUTH and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Scalable Add/Drop Architecture For Lightwave Communication Systems** described in an application for Letters Patent of the United States, Serial No. 09/336543, filed June 19, 1999, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

M.T. Fatehi 27-3

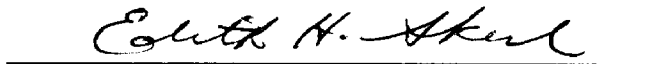
We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Mohammad Taghi Fatehi

Date: 7/23/99

United States of America)
State of New Jersey) ss.:
County of Monmouth)

On this 23rd day of July, 19 99, before me personally came Mohammad Taghi Fatehi, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

EDITH H. SKERL
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Oct. 21, 2003

M.T. Fatehi 27-3

Bruce Lee Nelson
Bruce Lee Nelson

Date: 8/13/99

United States of America)
State of New Jersey) ss.:
County of monmouth)

On this 13th day of August, 1999, before me personally came Bruce Lee Nelson, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Edith H. Skerl
Notary Public

EDITH H. SKERL
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Oct. 21, 2003

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636