

08-27-1999



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Attorney Docket No: 03705.P019

**Conveying Party (ies)**☐ Mark if additional names of conveying parties attachedExecution Date  
Month Day Year

Name (1st party) Mao, Hong-Wei

August 9, 1999

Name (2nd party) Chen, Yi-Xin

August 9, 1999

Name (3rd party) Chang, Kok Wai

August 10, 1999

Name (4th party) \_\_\_\_\_

**Receiving Party**☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) E-TEK Dynamics

Name (line 2) \_\_\_\_\_

Address (line 1) 1865 Lundy Avenue

Address (line 2) \_\_\_\_\_

Address (line 3) San Jose

California

95131

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

(Complete only if receiving party is not domiciled in the United States)

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

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PATENT  
REEL: 010187 FRAME: 0949

**Correspondent Name and Address**

**Area Code and Telephone Number** (503) 684-6200

Name

Address (line 1) BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP

Address (line 2) 12400 Wilshire Blvd.

Address (line 3) 7th Floor

Address (line 4) Los Angeles, California 90025

**Pages**

Enter the total number of pages of the attached conveyance document.

# 2

**Application Number (s) or Patent Number (s)**

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number (s)**

09/342,891

**Patent Number (s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a  
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**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

**Fee Amount for Properties Listed (37 CFR 3.41):**

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Paul A. Mendonsa

42,879

*Paul A. Mendonsa*

*Aug 17, 1999*

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No.: 03705.P019 (For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, WE,  
the undersigned, Hong-Wei Mao, Yi-Xin Chen and Kok Wai Chang

hereby sell, assign, and transfer to ETEK DYNAMICS  
a corporation of Delaware, having a principal place of business at  
1865 Lundy Avenue, San Jose, California, 95131, ("Assignee"),  
and its successors, assigns, and legal representatives, the entire right, title, and interest for the  
United States and all foreign countries, in and to any and all improvements that are disclosed in  
the application for the United States patent that was filed June 29, 19 99 and assigned  
Application No. 09/342,891 and is entitled

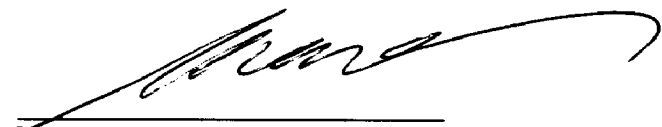
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and in and to said application and all divisional applications, continuation applications,  
continued prosecution applications, continuation-in-part applications, substitute applications,  
renewal applications, reissue applications, reexaminations, extensions, and all other patent  
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certificates, and extensions that have been or shall be issued in the United States and all  
foreign countries on said improvements; and in and to all rights of priority resulting from the  
filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said  
improvements in its own name; and that, when requested, without charge to, but at the  
expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in  
good faith the intent and purpose of this Assignment, the undersigned will execute all divisional  
applications, continuation applications, continued prosecution applications, continuation-in-part  
applications, substitute applications, renewal applications, reissue applications, reexaminations,  
extensions, and all other patent applications on any and all said improvements; execute all  
rightful oaths, assignments, powers of attorney, and other papers; communicate to said  
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relating to said improvements and the history thereof; and generally assist said Assignee, its  
successors, assigns, or representatives in securing and maintaining proper patent protection  
for said improvements and for vesting title to said improvements, and all applications for  
patents and all patents on said improvements, in said Assignee, its successors, assigns, and  
legal representatives; and

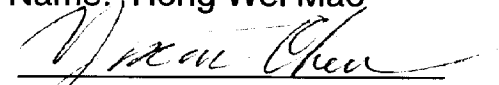
covenant with said Assignee, its successors, assigns, and legal representatives that no  
assignment, grant, mortgage, license, or other agreement affecting the rights and property  
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same as herein expressed is possessed by the undersigned.

Date: 08/09/99, 19\_\_



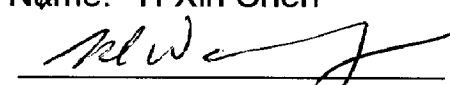
Name: Hong Wei Mao

Date: 08/09/99, 19\_\_



Name: Yi-Xin Chen

Date: 8/10/99, 19\_\_



Name: Kok Wai Chang