IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of :

Chen, et al.

For

FLOATING IC DRIVEN DIMMING

BALLAST

Serial No.

:

08-30-1999

Filed

Herewith

Attorney Docket No. :

GEC 2 320 LD 11154

101129906

## ASSIGNMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, DC 20231

Dear Sir:

Please record the attached original document or copy thereof.

Name of Parties conveying an interest: 1.

Timothy Chen

2542 Brachton Avenue

Louis R. Nerone 8058 Tanager Oval

Germantown, TN 39139-6460 Brecksville, Ohio 44141

2. Name and Address of Party receiving an interest:

General Electric Company

1 River Road

Schnectady, NY 12345

#### CERTIFICATE OF MAILING

"Express	Mail"	Mailing	Label	Number_	ELZ7235504/US	<u>,                                     </u>
Date of I	Deposit		bok	2		

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1,10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Doreen M. Straw

08/27/1999 MTHALL 00:00286 \$3376193

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PATENT REEL: 010192 FRAME: 0631

3.	Description of the interest conveyed:						
	X Assignment Change of Name						
	Security Agreement Merger						
	Other						
	Execution <u>Dates: August 5, 1999</u>						
4.	Application number(s) or patent number(s).						
	If this document is being filed together with a new application, the execution date of the application is:						
	August 9, 1999						
	Date						
	A. Patent Application No.(s) B. Patent No.(s)						
	Additional sheet attached? YesX_ No						
5.	Name and address of party to whom correspondence concerning document should be mailed:						
	Mark S. Svat FAY, SHARPE, BEALL, FAGAN, MINNICH & McKEE 1100 Superior Avenue, Seventh Floor Cleveland, Ohio 44114-2518						
6.	Number of applications and patents involved:						
7.	Amount of fee enclosed or authorized to be charged:						
	\$40.00						
8.	Deposit Account Number (attached duplicate copy of this						
Ο.							
	form if paying by Deposit Account): <u>06-0308</u>						

## DO NOT USE THIS SPACE

- 9. Statement and signature.
- 10. Total number of pages 5.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

FAY, SHARPE, BEALL, FAGAN, MINNICH & MCKEE

Date: Ac 20 1944

Mark/S. Svat

Req/ No./34,261

1100 Superior Avenue

Seventh Floor

Cleveland, OH 4411 (216) 861-5582 44114-2518

## ASSIGNMENT

I, Timothy Chen, of 2542 Brachton Avenue, Germantown, TN 39139, and I, Louis R. Nerone, of 8058 Tanager Oval, Brecksville, Ohio, 44141, both U.S. citizens having invented certain new and useful improvements in:

# FLOATING IC DRIVEN DIMMING BALLAST

for which I am about to make application for Letters Patent of the United States, the said application having been executed by me on this  $\underline{5th}$  day of  $\underline{August}$ , 1999, do hereby, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto:

#### GENERAL ELECTRIC COMPANY

a New York corporation, its successors and assigns, the full and exclusive right, title, and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, and extensions thereof.

I, therefore, authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent when granted, solely to the said:

### GENERAL ELECTRIC COMPANY

for its sole use, and that of its successors, and assigns.

STATE OF OHIO

): SS

Personally appeared before me this day, the above named Timothy Chen, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this  $5^{7\mu}$  day of  $\mu$ 6057, 1999.

Karin & Shearen Notary Public

(Seal)

COUNTY OF CUYAHOGA

KARIN L. SHEARER Notary Public, State of Ohio Recorded in Cuyahoga Cty. My Comm. Expires 03-28-2000

Signed at  $\underbrace{Caevernob}_{CH}$ , on this  $\underbrace{5^{7H}}_{C}$  day of  $\underbrace{August}_{C}$ , 1999.

Louis R. Nerone

STATE OF OHIO ): SS COUNTY OF CUYAHOGA )

Personally appeared before me this day, the above named Louis R. Nerone, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this  $\underline{\mathcal{G}^{7H}}$  day of  $\underline{\mathcal{H}ggus_{7}}$ , 1999.

Notary Public

KARIN L. SHEARER

(Seal)

Notary Public, State of Ohio Recorded in Cuyahoga Cty. My Comm. Expires 03-26-2000