

MKS-99
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of : Chen, et al.
 For : FLOATING IC DRIVEN DIMMING BALLAST
 Serial No. : 08-30-1999
 Filed : Herewith
 Attorney Docket No. : GEC 2 320 LD 11154



101129906

1c525 U.S. PTO
 09/378193
 08/20/99

ASSIGNMENT TRANSMITTAL LETTER

Assistant Commissioner
 for Patents
 Washington, DC 20231

Dear Sir:

Please record the attached original document or copy thereof.

1. Name of Parties conveying an interest:

Timothy Chen	Louis R. Nerone
2542 Brachton Avenue	8058 Tanager Oval
Germantown, TN 39139-6460	Brecksville, Ohio 44141

2. Name and Address of Party receiving an interest:

General Electric Company
 1 River Road
 Schnectady, NY 12345

09/378193

CERTIFICATE OF MAILING

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Date of Deposit 8/20/99

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1,10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Doreen M. Straw
 Doreen M. Straw

08/27/1999 MTHA11 00-100266 03376193
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3. Description of the interest conveyed:

Assignment Change of Name

Security Agreement Merger

Other _____

Execution Dates: August 5, 1999

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

August 9, 1999
Date

A. Patent Application No.(s) B. Patent No.(s)

Additional sheet attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Mark S. Svat
FAY, SHARPE, BEALL, FAGAN, MINNICH & MCKEE
1100 Superior Avenue, Seventh Floor
Cleveland, Ohio 44114-2518

6. Number of applications and patents involved: 1

7. Amount of fee enclosed or authorized to be charged:

\$40.00

8. Deposit Account Number (attached duplicate copy of this form if paying by Deposit Account): 06-0308

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9. Statement and signature.

10. Total number of pages 5.

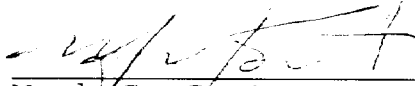
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

FAY, SHARPE, BEALL,
FAGAN, MINNICH & MCKEE

Date:

Aug 20 1949



Mark S. Svat
Reg. No. 34,261
1100 Superior Avenue
Seventh Floor
Cleveland, OH 44114-2518
(216) 861-5582

ASSIGNMENT

I, Timothy Chen, of 2542 Brachton Avenue, Germantown, TN 39139, and I, Louis R. Nerone, of 8058 Tanager Oval, Brecksville, Ohio, 44141, both U.S. citizens having invented certain new and useful improvements in:

FLOATING IC DRIVEN DIMMING BALLAST

for which I am about to make application for Letters Patent of the United States, the said application having been executed by me on this 5th day of August, 1999, do hereby, in consideration of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, sell, assign, and transfer unto:

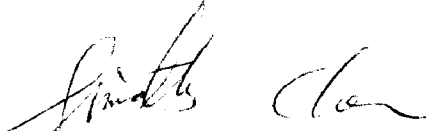
GENERAL ELECTRIC COMPANY

a New York corporation, its successors and assigns, the full and exclusive right, title, and interest in and to the said invention in the United States and its territorial possessions, and in all foreign countries with all rights under the International Convention including the right to claim priority, and the entire and exclusive right, title, and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries, and in and to any and all divisions, reissues, continuations, and extensions thereof.

I, therefore, authorize and request the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent when granted, solely to the said:

GENERAL ELECTRIC COMPANY

for its sole use, and that of its successors, and assigns.

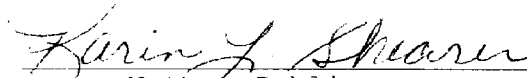


Timothy Chen

STATE OF OHIO)
) : SS
COUNTY OF CUYAHOGA)

Personally appeared before me this day, the above named Timothy Chen, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 5TH day of AUGUST, 1999.

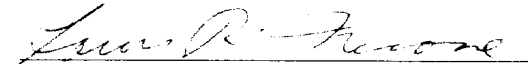


Notary Public

(Seal)

KARIN L. SHEARER
Notary Public, State of Ohio
Recorded in Cuyahoga Cty.
My Comm. Expires 03-26-2000

Signed at CLEVELAND, OH, on this 5TH day of AUGUST, 1999.

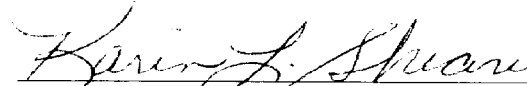


Louis R. Nerone

STATE OF OHIO)
) : SS
COUNTY OF CUYAHOGA)

Personally appeared before me this day, the above named Louis R. Nerone, to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this 5TH day of AUGUST, 1999.



Notary Public

(Seal)

KARIN L. SHEARER
Notary Public, State of Ohio
Recorded in Cuyahoga Cty.
My Comm. Expires 03-26-2000