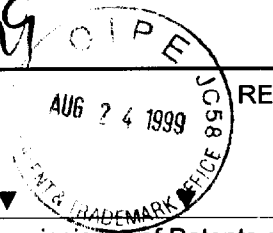


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08-30-1999



101130375

Docket No.: P07 42591

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents a.

101130375 and the attached original documents or copy thereof.

1. Name of conveying party(ies):

**CHRISTOPHER J. AUERNHAMMER
SHLOMO MELMED**

Additional names(s) of conveying party(ies)

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 07/09/99; 07/13/99

2. Name and address of receiving party(ies):

Name: **CEDARS-SINAI MEDICAL CENTER**

Address: **8700 BEVERLY BOULEVARD**

City: **LOS ANGELES**

State/Prov.: **CA**

Country: **U.S.A.**

ZIP: **90048-1869**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

09/327,138

06/07/99

08/26/1999 ASAHLE 00000044 09327138

01 FC:581

40.00 DP

Additional numbers

B. Patent No.(s)

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **EDWARD G. POPLAWSKI, ESQ**

Registration No. **33,439**

Address: **PRETTY, SCHROEDER & POPLAWSKI, P.C.**

444 South Flower Street - 19th Floor

City: **Los Angeles**

State/Prov.: **CA**

Country: **U.S.A.**

ZIP: **90071-2909**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-2460

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nisan A. Steinberg, Ph.D., Reg. # 40,345

Name of Person Signing

Signature

AUGUST 20, 1999

Date

Total number of pages including cover sheet, attachments, and

8

ASSIGNMENT

WHEREAS, Christopher J. Auernhammer of Germany and Shlomo Melmed of Los Angeles, California; Assignors, have invented a new and useful **SUPPRESSOR OF CYTOKINE SIGNALING (SOCS)-3 PROMOTER AND METHODS FOR ITS USE IN GENETIC THERAPY IN HUMANS** for which an application for United States Letters Patent, Serial No. 09/327,138, filed June 7, 1999, was executed by them on or about the same date as this Assignment; and

WHEREAS, Assignors believe themselves to be the original and joint inventors of the invention disclosed and claimed in the application for Letters Patent; and

WHEREAS, Cedars-Sinai Medical Center, a non-profit, public benefit corporation, having a place of business at 8700 Beverly Boulevard, Los Angeles, California 90048, Assignee, desires to acquire by formal, recordable assignment the entire right, title and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to the invention, the application, and any Letters Patent that might be granted for the invention in the United States and throughout the world, including the right to file foreign applications directly in the name of Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, their legal representatives and assigns will perform all lawful acts, including the execution of papers and the giving of testimony, that might be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for the invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to the invention, the application, and any Letters Patent granted for the invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted by this Assignment.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for the invention, whether on the application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in the invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment.

Assignors,

Date: _____

Date: 7/7/99

Christoph J. Auernhammer


Shlomo Melmed

Germany

}
} ss

On this ____ day of _____, in the year of 1999, before me personally appeared **Christoph J. Auernhammer**, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.

Notary Public in and for Said
Country

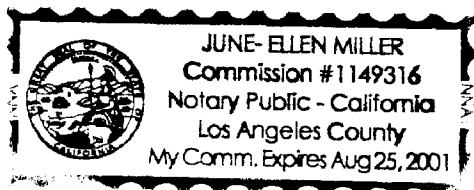
State of California

}
}
} ss.
}

County of Los Angeles

On this 9th day of July, in the year of 1999, before me personally appeared **Shlomo Melmed** personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed it.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year of this certificate first above written.



June- Ellen Miller
Notary Public in and for Said
County and State