

08-31-1999

8-26-99



orney Docket No. 023070-084910US

FORM PTO-1595 (Rev. 6-93)

101132750

U.S. Department of Commerce Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): Jian-Yun DONG and Yuet-Wai KAN</p> <p>Additional name(s) of conveying parties attached? x No.</p>	<p>2. Name and address of receiving party(ies) Name: The Regents of the University of California</p> <p>Internal Address: Street Address: 1111 Franklin Street, 12th Floor</p> <p>City: Oakland State: California ZIP: 94607-5200</p> <p>Additional names and addresses attached? <input type="checkbox"/> Yes X No</p>
<p>3. Nature of conveyance:</p> <p>X Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other:</p> <p>Execution Date: Dong, June 25, 1999; Kan, August 8, 1999</p>	

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/256,703 B. Patent No(s):

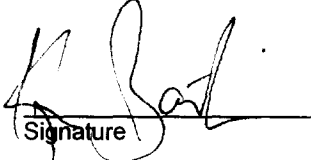

Additional numbers attached? Yes X No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p>	<p>6. Total number of applications and patents involved 1</p>
<p>Name: Kevin L. Bastian TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 (415) 576-0200</p>	<p>7. Total fee (37 CFR 3.41): -----\$40.00</p> <p><input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge Fees to Deposit Account</p> <p><input checked="" type="checkbox"/> Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.</p> <p>8. Deposit account number: 20-1430</p>

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

<p>Kevin L. Bastian Name of Person Signing Atty Reg. No. 34,774</p>	 Signature	 Date
<p>Total number of pages including cover sheet, attachments and document 3</p>		

10. Change Correspondence Address to that of Part 5? X Yes No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover to: Do not detach this portion

<p>08/30/1999 DNGUYEN 00000119 201430 09256703 01 FC:581 40.00 CH</p>	<p>Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231</p>
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ASSIGNMENT

U.C. Case No. 98-177-2

WHEREAS, I am acting as the agent for Howard Hughes Medical Institute ("HHMI") having an address at 4000 Jones Bridge Road, Chevy Chase, Maryland 20815 in conveying all right, title and interest of HHMI;

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR,

Yuet Wai Kan

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE "Efficient AAV Vectors"

and which is found in

- (a) _____ U.S. provisional application filed herewith
- (b) _____ U.S. patent application filed herewith
- (c) X U.S. application serial no. 09/256,703, filed on 2/24/99
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor

8 day of Aug, 1999

