

08-31-1999

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket No: OPTV114267

101131080

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lokanathan M. Iyer, Dawn E. Barkans, Brian D. Hensch

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution date: August 19, 1999

2. Name and address of receiving party(ies):

Name: Optiva Corporation

Address: 35301 Center Street

City: Snoqualmie State: WA ZIP: 98155

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No(s). --

B. Patent No(s). --

09,378557

Additional numbers attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is: August 19, 1999

5. Name and address of party to whom correspondence concerning document should be mailed:

Jeffrey M. Sakoi, Esq.
CHRISTENSEN O'CONNOR
JOHNSON & KINDNESS^{PLLC}
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Suite 2800
Seattle, WA 98101-2347
(206) 682-8100

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41): \$ 40.00
Check No. 108357 in the amount of \$40.00 is enclosed.

8. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application.

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey M. Sakoi
Name of Attorney or Agent
Registration No. 32,059
Direct Dial (206) 695-1713

Signature

Date

Total number of pages including cover sheet, attachments and document: 4

OMB No. 0651-0011 (exp. 4/94)

08/30/1999 MTHAI1 00000300 09378557
01 FC:581 40.00 OP

Attorney Docket No. OPTV114267

ASSIGNMENT

WHEREAS, we, Lokanathan M. Iyer, residing at 6550 153rd Avenue S.E., Bellevue, WA 98006, Dawn E. Barkans, residing at 7233 245th Way N.E., Redmond, WA 98053 and Brian D. Hench, residing at 1117 N.E. 187th St., Shoreline, WA 98155, are the inventors named in an application for Letters Patent of the United States, entitled GUMS CONTAINING ANTIMICROBIAL AGENTS, executed by Lokanathan M. Iyer on August 19, 1999, executed by Dawn E. Barkans on August 19, 1999, and executed by Brian D. Hench on August 19, 1999;

AND, WHEREAS, Optiva Corporation, Washington corporation, having a principal place of business at 35301 Center Street, Snoqualmie, WA 98065 (hereinafter referred to as ASSIGNEE), is desirous of acquiring our entire right and title to and interest in our invention disclosed in said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE our entire right and title to and interest in said application and said invention, including the right to apply for international patents and patents thereon in foreign countries in our name or in the name of ASSIGNEE, said invention and all applications and patents on said invention to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made, and we do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention, to enforce any and all patents on said invention, and to confirm in ASSIGNEE legal title to said invention and all applications and patents on said invention, all without charge to ASSIGNEE but at no expense to us.

Executed at Bellevue, Washington, this 19th day of August, 1999.

Lokanathan M. Iyer

STATE OF WASHINGTON)
COUNTY OF KING) ss.

I certify that I know or have satisfactory evidence that Lokanathan M. Iyer is the person who appeared before me, and said person acknowledged that he signed this instrument

08/19/99 11:00 FAX

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and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: August 19, 1999

(Seal or stamp)

Lynne A. Clewell
Signature
Printed Name: LYNNE A. CLEWELL
Notary Public
My appointment expires July 9, 2000

[illegible]

Executed at Bellevue, Washington, this 19th day of August, 1999.

Dawn E. Barkans
Dawn E. Barkans

STATE OF WASHINGTON)
) ss.
COUNTY OF KING)


I certify that I know or have satisfactory evidence that Dawn E. Barkans is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: August 19, 1999

(Seal or stamp)

Lynne A. Clewell
Signature
Printed Name: LYNNE A. CLEWELL
Notary Public
My appointment expires July 9, 2000

[illegible]


Brian D. Hench