

FORM PTO-1619A

Expires 06/30/99

OMB 0651-0027

08-31-1999

U.S. Department of Commerce

Patent and Trademark Office

PATENT

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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- ☒ New
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- ☐ Correction of PTO Error

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Conveyance Type:

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government

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☐ Departmental File☐ Secret File

Conveying Party(ies):

☒ Mark if additional names of conveying parties attached

Name (line 1): David L. Dean, Jr.

Execution Date: August 23, 1999

Name (line 2): Rodney Throckmorton

Second Party

Name (line 1):

Execution Date:

Name (line 2):

Receiving Party:

Name (line 1): Siecor Operations, LLC

Name (line 2):

Address (line 1): 800 17th Street NW

Address (line 2):

City: Hickory

State/Country: NC

Zip Code: 28601

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Domestic Representative Name and Address:

Enter for the first Receiving Party only.

Name:

Address (line 1):

Address (line 2):

Address (line 3):

Address (line 4):

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Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 010194 FRAME: 0993

Correspondent Name and Address:

Michael L. Leetzow
Siecor Operations, LLC
PO Box 489
Hickory, NC 28603-0489
(828) 327-5319



Pages: Enter the total number of pages of the attached conveyance document including any attachments **#5**

Application Number(s) or Patent Number(s): ☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Date: August 23, 1999

Patent Cooperation Treaty (PCT):

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT _____

PCT _____

PCT

PCT _____

PCT _____

PCT

Number of Properties:

Enter the total number of properties involved: **#1**

Fee Amount:

Fee Amount for Properties Listed (37 CFR 3.41): \$40.00

Method of Payment: ☐ Enclosed

☒ Deposit Account

Deposit Account Number: 19-2167

Authorization to charge additional fees: ☒ Yes ☐ No

Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Date: 8/23/99


Michael L. Leetzow

RECORDATION FORM COVER SHEET
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PATENTS ONLYU.S. Department of Commerce
Patent and Trademark Office
PATENT**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Karl M. Wagner

Execution Date
Month Day Year

08/23/99

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party(ies)☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)**Patent Number(s)**

ASSIGNMENT
Of Patent Application for: UNIVERSAL FERRULE

THIS ASSIGNMENT, made this 23rd day of August 1999 by:

David L. Dean, Jr.
2520 17th St. NE
Hickory, NC 28601

Rodney Throckmorton
2599 Chandy Street
Newton, NC 28658

Karl M. Wagner
1330 5th St. NE #75
Hickory, NC 28601

WHEREAS, the said assignors have invented certain new and useful improvements in **UNIVERSAL FERRULE** set forth in an application for Letters Patent of the United States, executed on even date herewith; and,

WHEREAS, Siecor Operations, LLC, a limited liability company duly organized under and pursuant to the laws of the State of North Carolina and having its principal place of business at 800 17th Avenue NW, Hickory, N. C. 28603, (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title, and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignors have sold, assigned, transferred and set over and by these presents, do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the above mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the said assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above mentioned, and that the same are unencumbered and that the said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

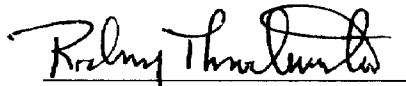
AND for the same consideration, the said assignors hereby covenant and agree to and with the said assignee, its successors, legal representatives, and assigns, that the said assignors will, whenever counsel of the said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including

interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent for said inventions, without charge to the said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives, and assigns.

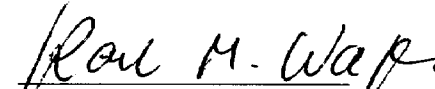
AND the said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee, as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives, and assigns.


David L. Dean, Jr.

Date: 8-23-99


Rodney Throckmorton

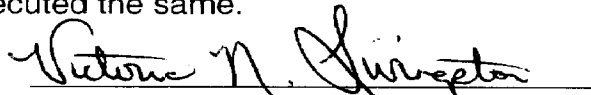
Date: 8/23/99


Karl M. Wagner

Date: 08/23/99

STATE OF NORTH CAROLINA
COUNTY OF CATAWBA

On this 23 day of August, 1999, personally before me came David L. Dean, Jr., Rodney Throckmorton, and Karl M. Wagner, known to me, and known to me to be the persons described in and who signed the foregoing Assignment and being duly sworn, acknowledged that they executed the same.


Notary Public

(Notary Seal)

My commission expires November 30, 2003